



INDEPENDENT AUDIT 2022 AUDIT REPORT

COLLECTOR WIND FARM - MP10_0156

APRIL 2023

Authorisation

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ACRONYMS AND DEFINITIONS

Acronym	Definition / Meaning
CoA	Condition of Approval
CWF	Collector Wind Farm (CWF)
COLWF	Collector Wind Farm Pty Ltd
IAPAR	Independent Audit Post Approval Requirements (IAPAR 2020).
O&M	Operation and Maintenance
DPE	NSW Department of Planning and Environment
EPL	Environment Protection License
OEMP	Operations Environmental Management Plan
BRMP	Bushfire Risk Management Plan
RFS	Rural Fire Service
BBAMP	Bird and Bat Adaptive Management Plan

EXECUTIVE SUMMARY

This Audit (IA-2022) presents the outcomes of the independent audit conducted on the operations of the Collector Wind Farm (CWF), which is located in the Upper Lachlan Shire local government area (LGA), approximately 55 kilometres northeast of Canberra.

IA-2022 was undertaken in accordance with Condition of Approval (CoA) C10 of Major Project Approval no MP10_0156, which requires an audit to be conducted within six months of the commencement of construction and every three years thereafter, in accordance with the *Independent Audit Post Approval Requirements 2020 (IAPAR)*.

Construction of the Project began in May 2019 and it commenced operation in May 2021. RATCH-Australia Corporation Limited, through its wholly owned subsidiary Collector Wind Farm Pty Ltd (COLWF), is the owner of the CWF. Vestas Australian Wind Technology Pty Ltd (Vestas) has been engaged to operate and service the CWF.

This report presents the outcome of the first audit of the CWF's operations covering the period of its operation up to 13 December 2022, with the objective of verifying compliance with the relevant operational CoA and the effectiveness of environmental management on the project.

The outcome of IA-2022 indicated strong compliance with the CoA and implementation of the Operations Environmental Management Plan (OEMP). Overall:

- Environmental records were well-organized, maintained, and readily available during the records review;
- Environmental inspections and internal audits were carried out appropriately to ensure continuous improvement and compliance with the CoA and OEMP; and
- There were three complaints (recorded as closed) and no environmental incidents reported during the operational phase of the Project.

Summary of Audit Findings

- A total of 102 CoA were assessed;
- The previous findings of the 2019 Audit Report - which related to the construction phase of the project - have been addressed and closed out;
- Two CoA were considered by the Auditor to be non-compliant:
 - CoA B7: Related to the late retirement of the biodiversity offset requirements; and
 - CoA B18: related to the latest Bushfire Risk Management Plan not having been submitted to the Crookwell Rural Fire Service (RFS) and a fire drill not having been conducted.
- One observation was identified in relation to the following:
 - Given the concerns raised by PLALC regarding consultation during the construction phase, it is recommended that the Project team maintains a proactive dialogue with the PLALC during operations and that consideration be given to inviting a representative from the PLALC to join the Community Consultative Committee for the Project.



- 60 CoA were considered by the Auditor to be compliant; and
- 41 CoA were considered by the Auditor to be not triggered.

The Auditor acknowledges the auditees' high level of organization, collaboration, and assistance during this audit.

1. INTRODUCTION

1.1 Background

This Audit Report presents the outcomes of the 2022 Independent Audit 2022 (IA-2022 or Audit) conducted on the operations of the Collector Wind Farm (CWF, Project or 'The Wind Farm'). The Project commenced construction on 24 May 2019 and commenced full operations on 20 May 2021.

IA-2022 was undertaken as required by Major Project no MP10_0156 Condition of Approval (CoA) C10 that requires an audit to be conducted within 6 months of the commencement of construction, and every 3 years thereafter in accordance with the Department's *Independent Audit Post Approval Requirements* (IAPAR 2020). This is the first of the three year audits and covers the operation of the project.

WolfPeak Pty Ltd (WolfPeak) was approved by the Department on 8 November 2022 to undertake this audit. A site inspection was conducted on 15 November 2022 followed by an interview on 13 December 2022. An extension for the submission date of the final audit report to 15 April 2023 was approved by the Department (Refer to Appendix G).

1.2 Project details

RATCH-Australia Corporation Limited, through its wholly-owned subsidiary Collector Wind Farm Pty Ltd (COLWF), is the owner of the CWF. Vestas Australian Wind Technology Pty Ltd (Vestas) has been engaged to operate the Wind Farm and service the wind turbine generators under a Warranty Operation and Maintenance (O&M) Contract; under the overall management of a COLWF Site Manager.

The Wind Farm is located on the southern side of the Hume Highway about 33 km southwest of Goulburn, and about 3.5 km northwest of the village of Collector (refer to Figure 1). The Wind Farm is situated within farmland largely used for livestock grazing and cropping. Site access is via Lerida Road South, from the Hume Highway.

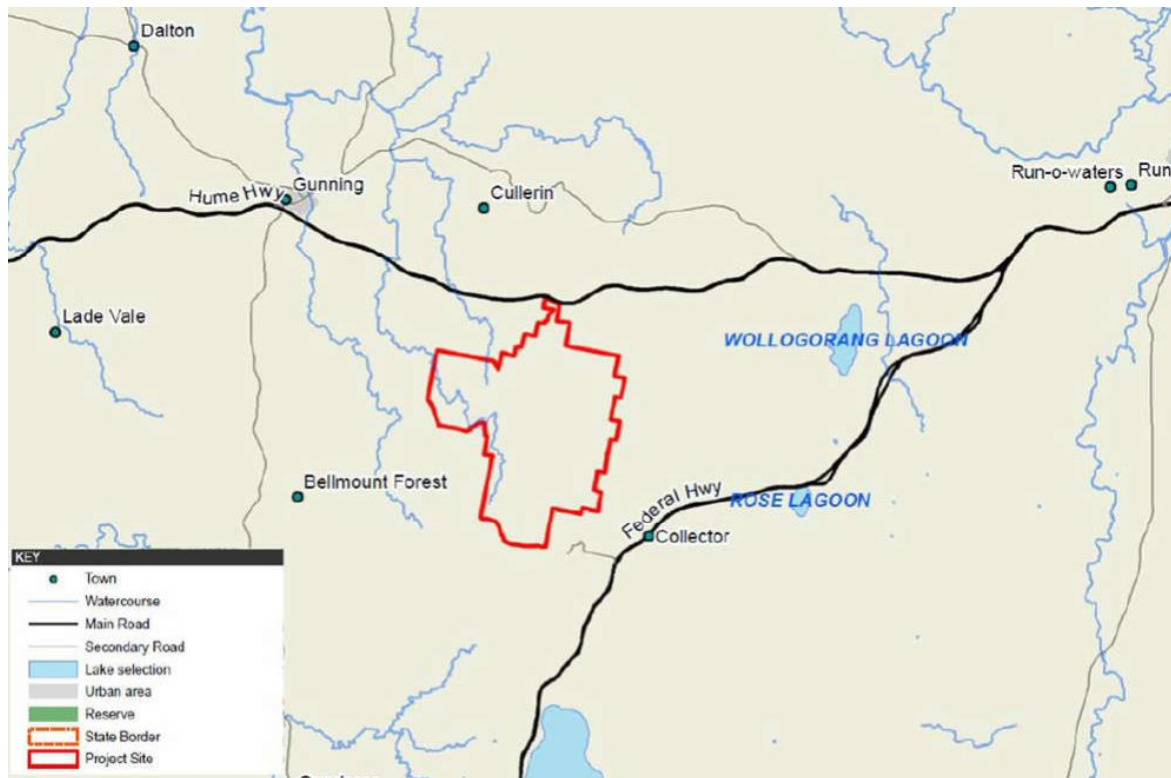


Figure 1: Site Location (Source: OEMP)

The Wind Farm comprises 54 x 4.2-megawatt (MW) wind turbines that deliver a total output of 226.8 MW of power to the national electricity market via the 33kV:330kV substation installed at the northern end of the site (refer to Figure 2).

In addition to the wind turbines, the project includes the operation of associated infrastructure, including:

- Site access tracks, providing safe access to each turbine and associated infrastructure;
- Underground electrical cabling connecting each turbine to the 33kV switchyard located at the northern end of the site;
- A combined 33kV switchyard and 330kV substation (with two high voltage transformers), connecting the wind farm to one of the 330kV transmission lines crossing the site; and
- A dedicated operations and maintenance facility, comprising an O&M building (providing a base for managing site operations) and a dedicated warehouse facility (providing secure storage of spare parts and maintenance materials).

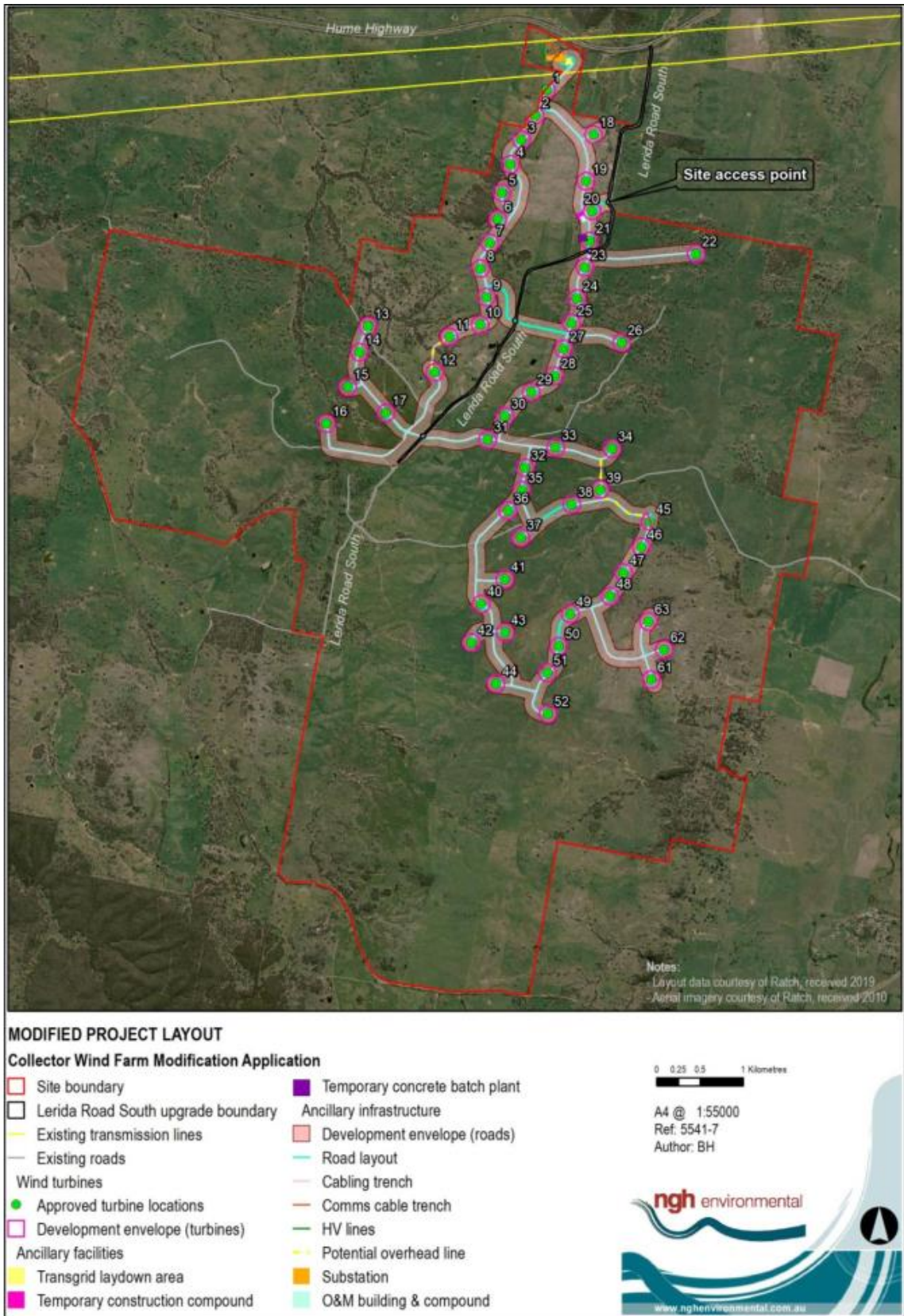


Figure 2: Collector Wind Farm Layout (Source: Project Approval)

1.3 The audit team

In accordance with Schedule 2, CoA C10, and Section 3.1 of the IAPAR, the Independent Auditors must be suitably qualified, experienced and independent of the Project, and appointed by the Planning Secretary. The list of independent auditors who performed the audit are presented in Table 1. Approval of the Audit Team was provided by the Department on 8 November 2022. The letter is presented in Appendix B and the auditors' independence declarations are attached in Appendix F.

Table 1: Audit Team

Name	Company	Participation	Certification
Annabelle Tungol	WolfPeak	Lead Auditor	Exemplar Global Certified Environmental Lead Auditor - Certificate No 119536
Steve Fermio	WolfPeak	Peer Reviewer	Exemplar Global Certified Principal Environmental Auditor (Certificate No 110498).

1.4 The audit objective

The objective of this audit is to satisfy the requirements of MP10_0156 Conditions of Approval C10, as follows:

C10 - Within 6 months of the commencement of construction, and every 3 years thereafter, unless the Secretary directs otherwise, the Proponent must commission and pay the full cost of an Independent Environmental Audit of the project. This audit must:

- a) be prepared in accordance with the relevant Independent Audit Post Approval Requirements (DPE 2018, or its latest version);*
- b) be conducted by a suitably qualified, experienced and independent team of experts whose appointment has been endorsed by the Secretary;*
- c) be carried out in consultation with the relevant agencies;*
- d) assess whether the project complies with the relevant requirements in this approval, and any strategy, plan, or program required under this approval; and*
- e) recommend appropriate measures or actions to improve the environmental performance of the project and any strategy, plan, or program required under this approval.*

Within 3 months of commencing an audit, or unless otherwise agreed by the Secretary, a copy of the audit report must be submitted to the Secretary, and any other NSW agency that requests it, together with a response to any recommendations contained in the audit report, and a timetable for the implementation of the recommendations.

The recommendations must be implemented to the satisfaction of the Secretary.

1.5 Audit scope

IA-2022 is the first audit for the Operations Phase covering the period from the commencement of operations in May 2021 to 13 December 2022 (the audit period).

This audit included the following:

- A review of the compliance against the operational requirements of the Project Approval;
- Assessment of the implementation of the post-approval operational environmental management plans and sub-plans including:
 - Operation Environmental Management Plan (OEMP)
 - Operation Noise Management Plan (ONMP)
 - Bird and Bat Adaptive Management Plan (BBAMP)
- A review of the compliance against the operating conditions as per Environmental Protection License 21250 including the implementation of Pollution Incident Response Management Plan (PIRMP);
- A review of actions taken in response to the previous (2019) construction phase audit findings and recommendations, and how they have been addressed;
- A review of the issues raised during consultation with the following agencies:
 - Department of Planning and Environment (the Department)
 - Biodiversity and Conservation Division Department of Planning and Environment (BOS)
 - DPE Water Assessments (Water)
 - Community and Place, South Region Regional and Outer Metropolitan Transport for NSW (TfNSW)
 - Pejar Local Aboriginal Land Council (LALC)
 - Environment Protection Agency (EPA)
 - NSW Rural Fire Service (RFS)

2. AUDIT METHODOLOGY

2.1 Audit process

The Independent Audit was conducted in a manner consistent with *AS/NZS ISO 19011.2019 – Guidelines for Auditing Management Systems* (AS/NZS ISO 19011) and the methodology set out in the Department's IAPAR 2020.

2.2 Audit process detail

2.2.1 Audit initiation

Prior to the commencement of the audit, the following tasks were completed:

- Establish initial contact with the Auditee;
- Confirm the audit team;
- Confirm the audit purpose, scope, and criteria; and
- Confirm the scheduled dates for the conduct of site inspection and document review.

2.2.2 Preparing audit activities

The Auditor performed a document review, prepared an audit plan, and prepared work documents (audit checklists) and distributed to the Project team in preparation for the Independent Audit.

2.2.3 Consultation

On 13 October 2022, WolfPeak consulted with the Department to obtain their input into the scope of the Independent Audit under Section 3.2 of the IAPAR. The Department responded on 17 November 2022, refer to Section 3.6 for the summary of the consultation. The consultation records are presented in Appendix C.

2.2.4 Meetings and Site Inspection

2.2.4.1 Opening meeting and site inspection

The opening meeting was held on 15 November 2022 at the site office located on Cullerin Range between the Hume Highway and the Collector-Gunning Road, Collector NSW, with the project's key personnel and the auditor. Key items discussed included:

- Confirmation of the purpose and scope of this audit IA-2022;
- Overview of the Wind Farm operation;

- Update on the status of the Project’s environmental documentation (OEMP and its sub-plans);
- Process for conducting the audit including checklist review, interviews with personnel, site inspection, and review of records provided as evidence of compliance;
- Any incidents, complaints, and non-compliances during the audit period; and
- Status of previous (2019) audit findings.

The site inspection was conducted following the opening meet with detailed observations discussed in Section 3 and Appendix A. Photos taken during the inspection are presented in Appendix E. The following areas were inspected:

- Wind Farm access roads and turbine locations;
- Site office and maintenance shed; and
- Substation area.

2.2.5 Interviews and closing meeting

Interviews were conducted during both the site inspection on 15 November 2022 and the online review of records on 13 December 2022. The details of personnel interviewed during this audit are provided in Table 2.

Table 2: Personnel interviewed.

Name	Role	Organisation
Paul McDonald	Operation Manager	RAC
Paison Sutjarit	General Manager	RAC
Nicholas Warren	Operations and Maintenance Manager	Vestas

The closing meeting was held online on 13 December 2022 after the interview and review of evidence presented by project personnel. General feedback and preliminary audit findings were discussed during the closing meeting.

2.2.6 Document review

The audit included a review of records and documentation that acts as evidence of compliance. The documents sighted are referenced in Section 3.1 and detailed in the compliance tables presented in Appendix A.

2.2.7 Compliance evaluation

The Auditor determined the compliance status of each compliance requirement using the descriptors from Table 2 of the IAPAR. These are replicated in Table 3 below.

Table 3: Compliance status descriptors

Status	Description
Compliant	The Auditor has collected sufficient verifiable evidence to demonstrate that all elements of the requirement have been complied with within the scope of the audit.
Non-compliant	The Auditor has determined that one or more specific elements of the conditions or requirements have not been complied with within the scope of the audit.
Not Triggered	A requirement has an activation or timing trigger that has not been met at the time when the audit is undertaken, therefore an assessment of compliance is not relevant.

Observations and notes were also raised to provide context, identify opportunities for improvement or highlight positive initiatives.

2.2.8 Evaluation of post approval documentation

The auditor assessed whether operational environmental management plans:

- Have been developed in accordance with the CoAs and all other environmental licences and approvals applicable to the Project (if any) and their content is adequate; and
- Have been implemented in accordance with the CoAs and all other environmental licences and approvals applicable to the Project (if any).

The adequacy of operational environmental management plans was evaluated on whether:

- There are any non-compliances resulting from the implementation of the document; and
- Whether there are any opportunities for improvement.

2.2.9 Completing the audit

The Audit Report was distributed to the proponent to check factual matters and for input into actions in response to findings (where relevant). The Auditor retained the right to make findings or recommendations based on the facts presented.

3. AUDIT FINDINGS

3.1 Approvals and documents audited, and evidence sighted.

Detailed records reviewed during this audit are provided in the checklist attached as Appendix A. The primary documents reviewed during this audit are as follows:

- Consolidated Consent / Project Approval dated 02/12/13 issued by the DPE;
- Collector Wind Farm Pre-Operation Compliance Report_Rev4_FINAL dated 14/05/21 prepared by Arcadis Completion;
- Pre-Construction Compliance Report dated 24/05/19 prepared by Arcadis;
- Environment Protection License 21250 anniversary date 29 March. Licensee Collector Windfarm Pty Ltd, Premises: Collector Wind Farm Lerida Road Cullerin NSW 2581;
- COLWF Operation Environmental Management Plan (OEMP) dated 17/02/21 prepared by Collector Wind Farm P/L;
- Independent Environmental Audit Report – Collector Wind Farm MP_0156 dated 20/01/20 prepared by J2M Systems;
- Collector Wind Farm Compliance Program Rev 5 dated 23/11/22 prepared COLWF;
- Annual Operating Report for Collector Wind Farm dated 06/18/21 to 06/17/22 prepared by Vestas;
- Preventive Maintenance CIM4784 Inspection chain guide tube inserts 06/05/2022;
- Annual Operating Report for Collector Wind Farm dated 06/18/21 to 06/17/22 prepared by Vestas;
- Conformity Statement (Statement No.) SSDA-06564-1 issued 18/11/20 issued by DNV-GL issued for Site Specific Design Statement;
- Vertical Structure Notification Form for COLWF-Mar 2021-TBL-WTG Location & Height;
- Collector Wind Farm WTG Foundation for Vestas Wind System A/S, drawings and specifications issued for construction (COLWF-C-5601- 5607, Rev 0);
- Collector Wind Farm Pre-Operation Compliance Report (POCR) Rev 4 dated 05/14/21 prepared by ARCADIS;
- Environmental Aspects Check Sheet dated 27/09/22 prepared by Eduardo Dos Santos;
- COLWF Monthly Report SC-032182– November 2022 prepared by Vestas;
- Annual Operating Report for COLWF from 18/06/21 to 17/06/22 prepared by Vestas;
- Operation Noise Management Plan Rev 2 dated 13/04/21 prepared by Marshall Day Acoustics;

- COLWF Post-Construction Noise Assessment dated 25/02/22 prepared by Marshall Day Acoustics;
- COLWF Bird & Bat Adaptive Management Program prepared Brett Lane & Associates P/L;
- Pollution Incident Response Management Plan dated 27/05/22 prepared by COLWF;
- Pre-clearing Survey Report for Collector Wind Farm dated Feb 2020 prepared by NARLA Environment;
- Post Clearing Report No.2 Collector Wind Farm Track 6,7, & 8 March 2020; and
- First Annual Report on the Implementation of Bird & Bat Adaptive Management Program Report No.: 13100.4 (15.0) September 2022 prepared by Nature Advisory.

3.2 Previous audit findings

The previous audit (construction phase) was conducted on 27 November 2019 by J2M Systems with the report dated 20 January 2020. There were no non-compliances reported in the previous audit, however, seven recommendations were raised which are presented in Table 4 below. The status of the previous audit recommendations and proponent responses were reviewed during this audit.

Most of the recommendations were addressed and closed, except for the finding concerning submission of the Bushfire Risk Management Plan to RFS. This audit finding has been elevated to a non-compliance in this audit.

Table 4: Independent Audit 2019 – Review of Previous Audit Findings and Recommendations (by J2M Systems) and Proponent Response

Item	CoA	Type	Details of Item	Previous Findings and Recommendations	Proponent Response	Completed Action	Status
AR1	A8H	Recommendation	The Proponent shall ensure that all plant and equipment used on site, or in connection with the project is maintained in a proper and efficient condition; and operated in a proper and efficient manner.	<p>Recommendation: Whilst plant registers and plant pre-use authorisation processes appear satisfactorily implemented and include processes for obtaining the most recent service record for the plant, it is recommended the registers and process be updated to confirm hours of use before commencement on site and that the monthly audit of maintenance schedules be completed as provided for in Section 9.1 of the CEMP.</p> <p>Observation: It was noted during the audit that the service history for one excavator on site (EXK-29) indicated that the last service was almost 12 months prior to arrival at the site and the accuracy of this record (i.e., should another service have been undertaken in that time) was not verified before commencing on site.</p>	<p>CWF has requested its contractors review the registers and service histories of all construction plant and machinery operating across the Wind Farm project to ensure any other such discrepancies are addressed, and to demonstrate it is being maintained in a proper and efficient condition.</p> <p>Timeline: Review and update to be completed before the end of March 2020.</p>	<p>Operational plant and maintenance were appropriately managed by Vestas. Records of the plant and turbine maintenance register were presented during this audit. Internal audits and site inspections were also conducted.</p> <p>Vestas has prepared a Workplace Health and Safety Environmental Management Plan (WHSEMP) which details the Vestas' arrangements for ensuring health, safety, environmental, reliability and technical standards are established and complied with during Wind Farm operation and maintenance.</p> <p>Technical documents (including manuals, procedures, work instructions and checklists) that relate to service provisions, monitoring, inspection, testing and measurement are included in the Operation and Maintenance Manuals for the Wind Farm.</p>	Closed

Item	CoA	Type	Details of Item	Previous Findings and Recommendations	Proponent Response	Completed Action	Status
AR2	A8F	Observation	<p>The Proponent shall ensure that all new buildings and structures, and any alterations or additions to existing buildings and structures, are constructed in accordance with the relevant requirements of the BCA.</p> <p>Notes: Under Part 4A of the EP&A Act, the Proponent is required to obtain construction and occupation certificates for the proposed building works. Part 8 of the EP&A Regulation sets out the requirements for the certification of the development.</p>	<p>Recommendation: Confirm requirements for construction certificates under the Part 4 of the EP&A Act and obtain all required construction certificates for the building and structure works to be undertaken on the project.</p>	<p>Following the 2019 audit, CWF sought both legal and professional technical advice on the requirement for construction certificate(s) for the wind farm project. This advice confirmed that certification was required for all building works related to the erection of the approved wind turbines, the project substation and switchyard, and the site operations and maintenance building.</p> <p>Based on this advice, CWF has taken the following actions to manage the certification requirements of the wind farm:</p> <ul style="list-style-type: none"> - Appointed Pro Cert Group Pty Ltd in mid-Dec 2019 as the Principal Certifying Authority for all 'building work' associated with the project; - Obtained a construction certificate for 'building work' involving civil construction for wind turbine foundations and access roads; as issued on 8 January 2020 to CWF, DPE and ULSC; - Worked with Pro Cert to set out a program of work to ensure construction certificate(s) are issued promptly for all future 'building work' related to the erection of the approved wind turbines, the project substation and switchyard, and the site operations and maintenance building (prior to before commencing); - Worked with Pro Cert to engage with the Upper Lachlan Shire Council to agree the process by which a Building Information Certificate (BIC) will be obtained for those relevant works on the project that have already been constructed, based on the delineation of site infrastructure defined by the Pro Cert team following review of site status and associated documentation (as described in Table 1 and Table 2 of the construction certificate issued on 8 January 2020. - Regularly updated the Compliance Team within DPE on actions and progress regarding construction certificates and the BIC process; with correspondence dated 11 December 2019, 19 December 2019, and 4 February 2020. Page 3 of 10. <p>Timeline: Timing of specific actions already completed are detailed above. Issue of future construction certificate(s) will be completed consistent with the project construction schedule (and issued to DPE) through 2020. Completion of the BIC process will be defined through ongoing actions with Upper Lachlan Shire Council.</p>	<p>CWF has taken the actions to manage the Certification requirements of the Wind Farm.</p> <p>The auditor acknowledged the Proponent's response and confirmed that this construction phase related action is now closed.</p>	Closed
AR3	B1	Observation	<p>The clearing of all native vegetation is to be limited to the minimal extent practicably required. Details regarding the procedures for clearing vegetation and minimising the extent of clearing shall be included in the Construction Flora and Fauna Management Plan contained in condition D25 (f). The Proponent shall ensure that no more than 42 hectares of EEC is cleared for the project, unless the Secretary agrees otherwise in consultation with OEH</p>	<p>Recommendation: Whilst a Clearing Register is being maintained and currently completed clearing appears less than the clearing limit, it is recommended that the register be updated to capture decimal points from the survey reports as the figures presently being reported are rounded down to the whole number.</p>	<p>CWF provided the recommendation to the civil contractor to be implemented into the existing clearance tracker during the construction phase.</p> <p>Timeline: Completed</p>	<p>The auditor acknowledged the Applicant response and confirmed that this construction phase related action is now closed. The total cleared area of EEC was 40.12 hectares which is less than the limit of 42 hectares.</p>	Closed

Item	CoA	Type	Details of Item	Previous Findings and Recommendations	Proponent Response	Completed Action	Status
AR4	B18	Observation	The Proponent must, in consultation with the local RFS, prepare a Bushfire Risk Management Plan based on the guidelines Planning for Bushfire Protection (RFS, 2006 or its latest edition). The plan must include: (a) details of the bushfire hazards and risks associated with the project; (b) mitigation measures including contingency plans; (c) procedures and programs for liaison and regular drills with the local RFS; and (d) procedures for regular fire prevention inspections by the local RFS and implementation of any recommendations	<p>Recommendation:</p> <p>The current approved Bushfire Risk Management Plan does not set out procedures and programs for liaison and regular drills with the local Rural Fire Service, as required by point (c) of this condition. It is recommended that the plan be reviewed and revised to include this information.</p>	<p>One of the Health & Safety Officers for the construction contractor has been liaising with the NSW Rural Fire Service (RFS) regarding bushfire risk management at the site and involvement with RFS. This is summarised as follows:</p> <p>Initial contacts with Goulburn RFS following commencement of the construction works and establishment of the site compound. This included a visit to the Goulburn RFS HQ on 28 August 2019 and follow up discussions on 12 September 2019 to seek active engagement with the project team;</p> <p>The HSE Officer was referred to the Yass RFS Control Centre as the relevant centre for the area.</p> <p>A meeting was held on 16 October 2019 with the Incident Controller at Yass RFS HQ regarding the project presence & activities; at which the approved Bushfire Risk Management Plan was shared [for comment] alongside an invitation to visit the project site for familiarisation;</p> <p>It was noted that the local RFS station is at Gunning (approx. 5km from the site) but as a volunteer station this is only attended during drill and training nights, and has not yet accepted the invitation to attend site;</p> <p>Likewise, given the extent of the bushfire crisis in NSW and numerous TOBAN days, no other RFS representative has been in a position to attend site.</p> <p>All relevant RFS stations have been provided with key contact details of the construction team, and the invitation to attend site for familiarisation and combined training / drills has been left open for RFS response.</p> <p>Timelines:</p> <p>Action open, awaiting feedback / response from relevant RFS stations to site invitations.</p> <p>CWF considers that the project has met the obligations of the particular condition; and will maintain engagement with the RFS through the remaining construction schedule of the project (and into operations).</p>	<p>Consultation with RFS was conducted in 2020. However, the BRMP was updated in 2021 and was not submitted to RFS.</p> <p>This recommendation is closed but a new Audit Finding was raised, refer to Table 6.</p>	Closed
AR5	D1	Observation	The Proponent shall construct and operate the Project in a manner that minimises dust generation from the site, including wind-blown and traffic generated dust as far as practicable. All Project related activities on the site shall be undertaken with the objective of preventing visible emissions of dust from the site. Should visibly dust emissions attributable to the Project occur during construction and operation, the Proponent shall identify and implement all practicable dust mitigation measures, including cessation of relevant works, as appropriate, such that emissions of visible dust cease.	<p>Recommendation:</p> <p>Given the similarities in exposure and activities, it is recommended that a more coordinated approach be taken between the two crushing plant activities and when activities need to cease in windy conditions causing excessive dust.</p>	<p>CWF had already noted the discrepancy between operations of the two-crusher plant (managed under separate construction contracts) and had reached agreement between the contractors for coordinated action on ceasing crushing operations to avoid excessive dust generation (managed through the weekly site meeting held by CWF with all contractors).</p> <p>However, given progress with construction since the time of the audit, the crusher that was located within the substation area. Its operation will continue to be managed to avoid excessive dust generation.</p> <p>As a result, the recommendation no longer applies.</p> <p>Timeline:</p> <p>Completed</p>	The auditor acknowledged the Proponent response and confirmed that this construction phase related action is now closed.	Closed

Item	CoA	Type	Details of Item	Previous Findings and Recommendations	Proponent Response	Completed Action	Status
AR6	D17	Observation	Soil and water management measures consistent with Managing Urban Stormwater- Soils and Construction Volumes 1 and 2, 4th Edition (Landcom, 2004), or its latest version shall be employed during the construction of the Project to minimise soil erosion and the discharge of sediment and other pollutants to land and/or waters	<p>Recommendation:</p> <p>TransGrid Substation works– It is recommended that UGL and TransGrid review erosion and sediment controls downslope of the substation to reduce scour potential of the earth bund and decrease the reliance on the sediment fence installed by RATCH-Australia which is located outside of the substation construction footprint (but within the overarching project footprint).</p>	<p>Following the 2019 audit, the contractor for the TransGrid substation works (UGL), reviewed the erosion and sediment controls downslope (north) of the substation bench to assess the need for any further works. The UGL team has reported that the established sediment control fences have been improved and strengthened twice since that time – with fences being extended to cover a larger area and additional fencing support being installed. These controls are reviewed regularly by the CWF site team, as well as the UGL team, to assess effectiveness and identify potential improvements – particularly after any rain events. This approach will continue through the remainder of the construction program.</p> <p>It is also noted that a permanent drainage and stormwater management solution will be installed by CWF for long-term management of water run-off from the substation.</p> <p>Timeline: Completed (with ongoing review)</p>	The auditor acknowledged the Proponent response and confirmed that this construction phase related action is now closed.	Closed
AR7	E5	Observation	The Proponent shall implement a revegetation and rehabilitation program for all areas of the Project footprint which are disturbed during the construction of the Project, and which are not required for the ongoing operation of the Project, including temporary construction facility sites and sections of construction access roads. The Proponent shall ensure that all revegetation measures are implemented progressively where possible and in all cases within six months of the cessation of construction activities at the relevant area. Unless otherwise agreed to by the Secretary, the Proponent shall monitor and maintain the health of all revegetated areas until such time that the plantings have been verified by an independent and suitably qualified expert (whose appointment has been agreed to by the Secretary) as being well established, in good health and self-sustaining.	<p>Observation:</p> <p>The progressive rehabilitation and seeding of completed site access tracks has been delayed due to prolonged dry weather in recent months and has been limited to final top dressing of topsoil, with sediment controls remaining in place. It was reported that alternative soil binding agents, such as soil binder or hydro mulch is being considered in higher risk areas such as steep slopes.</p> <p>Recommendation:</p> <p>It is recommended that progressive rehabilitation and re-seeding be undertaken as soon as practicable and/or implement alternative soil binding agents, such as soil binder or hydro mulch, focussing on higher risk areas such as steep slopes, drainage zones and areas exposed to high winds, in consultation with the Soil Conservationist.</p>	<p>Given the ongoing climatic conditions, CWF has been assessing options to effectively manage rehabilitation, to minimise dust and maintain integrity of disturbed land. This included consideration of soil binding agents, but it was concluded that the potential effects on the land and vegetation outweighed the benefit of their use. Instead, CWF has confirmed the following approach:</p> <p>Areas that have been rehabilitated with topsoil will be seeded to facilitate pasture growth to hold the soil together;</p> <p>An additional water truck will be brought to site to be dedicated to the regular irrigation of the rehabilitated areas and support new pasture growth.</p> <p>The success of the rehabilitation program will be monitored and tweaked as necessary.</p> <p>This program will be gradually implemented across the site as rehabilitation progresses.</p> <p>Timeline: Ongoing (depending on weather patterns)</p>	Site revegetation and rehabilitation program has been observed with continuous monitoring of the Project team. The auditor considered this issue as closed. However, refer to observations raised in Table 6.	Closed

3.3 Summary of compliance

The audit confirmed that CWF have generally complied with the operational conditions of Approval MP10_0156. A total of 102 conditions were assessed, with 60 being found compliant and 41 not triggered.

There were two non-compliances and one observation raised during this audit, summarized in Table 5 below.

Table 5: Assessment of Compliance Ratings

CoA Requirements Schedule B	No. of Conditions	Status	Findings
Part A - Administrative Conditions	17	Compliant	11
		Non-Compliant	0
		Not Triggered	6
Part B - Environmental Performance	32	Compliant	22
		Non-Compliant	2
		Not Triggered	8
Part C - Community Information, Reporting and Auditing	11	Compliant	5
		Non-Compliant	0
		Not Triggered	6
Part D - Construction Environmental Management	26	Compliant	8
		Non-Compliant	0
		Not Triggered	18
Part E - Operation Environmental Management	11	Compliant	9
		Non-Compliant	0
		Not Triggered	2
Part F - Additional Procedures Decommissioning	5	Compliant	0
		Non-Compliant	0
		Not Triggered	5

3.4 Adequacy of Environmental Management Plans, sub-plans and post approval documents

The implementation of a sample of mitigation measures from the following plans was verified during the site inspection and records review as detailed in Appendix A-1, Section 3.9 and Appendix E:

- OEMP: Overall, the OEMP has been implemented and maintained. Vestas has prepared a Workplace Health and Safety Environmental Management Plan (WHSEMP)

which details Vestas' arrangements for ensuring health, safety, environmental, reliability and technical standards are established and complied with during wind farm operation.

Records of regular site inspections to ensure implementation of mitigation measures as required by the WHSEMP and OEMP were presented during this audit e.g., Environmental Aspects Check Sheets. The inspection check sheet included a review of the following controls required by the OEMP and other plans:

- Damage to vegetation - Checking for evidence that all vehicles and equipment have kept to designated tracks and roads.
- Imported material, Vehicle, Equipment or Mobile Plant - Checking for evidence where practical, machinery and vehicles have been inspected and cleaned to remove any soil or plant material before entry to any part of the wind farm, movement throughout the wind farm and departing from the wind farm.
- Injury to flora and fauna – Checking for evidence that work has been limited to existing road and hardstand areas and that vehicle speeds have been kept to a minimal on these designated areas. Recording of any bird, bat or other fauna injury or kills in the Project's incident and non-compliance recording process (CR360).
- Visitor / Tourist access - Checking for evidence that the Wind Farm entrance has appropriate signage to prevent any unauthorised person accessing.
- Farming activities – Checking for evidence that grazing animals have been removed from work areas.
- Site access - Checking for evidence that gates have been left as they were found. In general, gates shall be presumed to be closed unless directed otherwise.
- Aboriginal heritage – Checking for evidence that, in the event of any Aboriginal site being located during ground disturbance work, Vestas has ceased work in the area, advised the Proponent's representative and entered the incident into CR360.
- Turbines – Checking that all turbines were painted in light neutral colours and had no visual damage.
- Service activities – Checking for evidence that service hours have been kept between 0700 and 1600 hours and that where work activities are required outside normal working hours, the Proponent's representative has provided approval.
- Traffic volume – Checking that the volume of traffic been kept to the absolute minimum necessary to undertake the works.
- Road damage – checking for any evidence of road damage.

- Dust suppression – Checking for evidence that vehicle speeds have been kept to a minimum on site and any dust emissions produced by Vestas have been mitigated.
- Speed – Checking for evidence that all vehicles used during operations and maintenance are driven in a manner and at a speed compatible with the conditions and drivers have completed the Site Induction.
- Minor events – Checking the evidence that in the unlikely event of a fire, there is an adequate number of fire extinguishers available on site to extinguish any minor fire.
- Emergency event – Checking for evidence that the Emergency Response Plan is available on site which clearly defines the process of contacting the required emergency services and the requirements of all personnel on site.
- Waste Management – Checking for evidence that waste is being stored in the correct waste disposal units and segregated correctly and items which are prone to be windblown are secured correctly.
- Fuel - Checking for evidence that fuel for vehicles, plants and generators is being stored correctly in a trailer-mounted and banded tank unit or appropriate container, with an appropriate spill kit nearby.
- Hydrocarbons and Chemicals – Checking for evidence that all hydrocarbons and chemicals are being stored and locked safely within the site storage containers and waste hydrocarbons and filters being recycled by an approved waste collector.
- ONMP: Compliance with operational noise levels in the ONMP was verified by The Collector Wind Farm Post-Construction Noise Assessment Report (February 2022, Marshall Day Acoustics) which was accepted by the Department on 1 March 2022. The findings of the Report indicate that the Wind Farm is compliant with the operational noise requirements of the Project Approval.
- BBAMP: Bird and bat monitoring is being conducted in accordance with the Plan. The first Annual Report on the Implementation of the Bird and Bat Adaptive Management Program (September 2022) did not recommend any changes to the monitoring program based on the results of the bird and bat carcass surveys undertaken in the first 12 months of monitoring
- BRMP: This Plan describes how bushfire risk is managed throughout the operation of the Wind Farm and was prepared in consultation with the local RFS (based on the guidelines for Bushfire Protection (RFS, 2006). However, the updated copy of the plan was not provided to RFS, and the drill has not been conducted (refer to the non-compliance raised in Table 8).
- PIRMP: There have been no pollution incidents reported since operations commenced. It is noted that annual PIRMP testing will be conducted prior to May 2023.

Given the absence of any reported environmental incidents, notices from agencies, pollution events and limited number of complaints from the community during the audit period and overall high level of compliance with the Project Approval conditions, it is considered that the operational

management plans referred to above are adequate, subject to the issues noted in relation to the implementation of the BRMP.

3.5 Summary of notices from agencies

There were no formal notices issued by the Department or the EPA with regards to the operational performance of the CWF within the audit period.

3.6 Consultation and other matters considered relevant by the Auditor or DPE

The following Table 7 presents the response to the consultation feedback from the Department and other stakeholders:

Table 6: Consultation feedback and Auditor's response

Agency	Comments/Feedback	Audit Findings
DPE	The department requested that TfNSW, Local Council, Local Aboriginal Councils, BCD, Department of Industry – Lands & Water, NSW Heritage, RFS and EPA be consulted.	Refer to details provided below. Copies of consultation emails and responses are presented in Appendix C.
	The Department requested the following aspects be included in the audit scope	
	Noise compliance and monitoring (operations)	Operational noise assessment indicates CWF is compliant with operational noise levels.
	Bird and bat management/ monitoring	Bird and bat monitoring is being undertaken as part of the Project's regular environmental inspection regime and the requirements of Condition B6.
	Protection and management of heritage sites and retained vegetation	Retained vegetation is protected and fenced off. No ground disturbance has occurred during operations and regular environmental inspections continue to cover areas of heritage value.
	Land management issues (weed management, erosion and sediment controls/measures)	There was an observation made related to erosion and weed management. Refer to Table 8 Findings and Recommendations.
	Update on progress of implementation of design and landscape management plan	Ongoing rectification of landscape defects is occurring.
Update on any watercourses and/or associated riparian vegetation rehabilitation	There were no permanent watercourses impacted by construction; however, some low order (1 and 2) streams were crossed by wind farm infrastructure (underground cables, access roads). These crossings were designed to allow for a 1 in 50 rainfall events in accordance with the Blue Book. In the event that permanent watercourses and/or associated riparian vegetation are	

Agency	Comments/Feedback	Audit Findings
		disturbed as a result of operation, COLWF will rehabilitate the disturbance in consultation with the DPI Water and DPI (Fisheries). There was no disturbance noted during the audit site inspection.
	Update on the implementation of the revegetation and rehabilitation program	This is being managed through the defects process.
	Adherence to commitments in management plans	Implementation is managed primarily through the regular environmental site inspection checklist process. Refer to Section 3.4.
	Implementation and compliance with the OEMP	Implementation is managed primarily through the regular environmental site inspection checklist process. Refer to Section 3.4.
	Complaint handling, management and reporting	Three complaints were received during the audit period. These appear to have been carefully considered and addressed by the Project and are now recorded as closed in the complaints register. Refer to Section 3.7.
	Status of biodiversity credits.	A further application to DPE was made by the Proponent on 21 March 2023 seeking an extension to the timeframe to complete the retirement process due to issues outside the Project's control. An extension was granted on 3 April to 30 June 2023. The credit retirement process appears to be in the final stages and is expected to be fully completed by April 2023
EPA	No response received from EPA.	Not applicable
NSW Heritage	No response received from NSW Heritage	Not applicable
Upper Lachlan Shire Council (ULSC)	Council advised that they had no input to the scope of the audit.	Not applicable
Water (DPE)	<p>The requirement to prepare and implement management plans that relate to water sources and their dependent ecosystems and users, and associated impact management and mitigation. These plans may include:</p> <ul style="list-style-type: none"> Water Management Plans and related sub-plans e.g., Site Water Balance, Erosion and Sediment Control Plan, Stormwater Management Plan, Surface and Groundwater Management Plan. 	Relevant aspects (erosion and sedimentation, liquid waste, maintenance of drainage structure, stormwater run-off) that require specific management actions are documented in Section 5.3.8 of the OEMP.
	The requirement to prepare and implement trigger action response plans for water source impacts which set clearly defined limits and	There is no specific requirement under the Project Approval to prepare TARPs for water source impacts. Not applicable

Agency	Comments/Feedback	Audit Findings
	actions. This is to be reported on within annual and exceedance-based reporting.	
	Water supply availability is clearly defined for the project.	Water is supplied by rainwater collected from site buildings or via delivery from an external contractor.
	Water take at the site via storage, diversion, interception or extraction is clearly documented and is authorised by a relevant Water Access Licence or exemption under the Water Management (General) Regulation 2018	Not applicable to the Project as there was no onsite water extraction or bores onsite. Source of water is as per above, rainwater and delivery from external contractor.
	Water metering at the site is in accordance with the NSW Non-Urban Metering Framework where relevant.	Not applicable as per above.
	Water Access Licence/s used to account for water take by the project nominates the work where the water is being taken from.	Not applicable as per above.
	Annual reporting clearly documents; 1) water take, use and water source impacts, 2) compares results with previous year's, and 3) identifies exceedances and how these are managed/mitigated.	Not applicable as per above.
Biodiversity and Conservation (BOS)	Biodiversity and Conservation team had communicated to Planning that they have provided an extensions to the windfarm to finalise the offsets for this project.	A further application to DPE was made by the Proponent on 21 March 2023 seeking an extension to the timeframe to complete the retirement process due to issues outside the Project's control. An extension was granted on 3 April to 30 June 2023. The credit retirement process appears to be in the final stages and is expected to be fully completed by April 2023.
	BOS also provided advice back to planning on the Bird and Bat Adaptive Management Plan (BBAMP) which they have requested be updated.	On 6 October 2022, the first annual report for BBAMP (First Annual Report on the implementation of the Bird and Bat Adaptive Management Program September 2022) was submitted to BOS. The OEMP and its sub plans (including the BBAMP) will be reviewed within 3 months of the completion of this audit in accordance with the requirements of condition C6A.
TfNSW	TfNSW had no comments or concerns to raise regarding the operation of the Collector Wind Farm.	Not applicable
NSW Rural Fire Service	No response received.	Not Applicable
Pejar Local Aboriginal Land Council (PLALC)	The PLALC expressed deep disappointment at being left out of the consultation process during the installation of turbines and construction of the substation (near the	This audit covered the review of the implementation of the environmental operational requirements and the auditor can only rely on the records reviewed in

Agency	Comments/Feedback	Audit Findings
	<p>registered sites), which they saw as a clear breach of their requirements. They noted that they were not invited to conduct cultural awareness training for new subcontractors and staff when they began working at the Collector Wind Farm. The PLALC felt that their concerns were not given due consideration until the opening of operations and stressed the importance of being included in all stages of the project to avoid future breaches of their requirements.</p>	<p>the previous audit conducted during the construction phase.</p> <p>Based on the records reviewed, the Applicant consulted with all the Registered Aboriginal Parties (RAP) including PLALC during the development of Construction Heritage Management Plan (CHMP) refer to the consultation log in Annex E of the plan. It was noted that they were invited during the opening of the commencement of the operation of the Wind Farm.</p> <p>While outside the scope of this operational audit, there was no evidence available in the 2019 audit to demonstrate that the PLACL were invited to witness the construction works. The 2019 audit found that the Project had complied with the requirements of the CHMP in relation to managing the aboriginal registered sites.</p> <p>This IA-2022 found that the Project has maintained the unexpected finds procedure defined in the OEMP.</p>

Records of consultations are attached in Appendix C.

3.6.1 Other matters considered relevant by the Auditor

Given the concerns raised by PLALC regarding consultation during the construction phase, it is recommended that the Project team maintains a proactive dialogue with the PLALC during operations and that consideration be given to inviting a representative from the PLALC to join the Community Consultative Committee for the Project.

The auditor concluded that based on the site inspection and records reviewed during the operations phase, the Project had implemented the necessary mitigation measures to protect the Aboriginal sites and no damage had been reported to date. It is understood that the design of the Project was modified to protect Aboriginal sites to ensure the sites were outside the construction footprint of the turbines and substation.

3.7 Complaints

The complaints register was presented as evidence during this audit. There were a total of three (3) complaints related to operations. The complaints register indicated that all the complaints have been carefully considered and responded to by the Project. All the complaints are now recorded as closed out in the register.

CWF website <https://www.collectorwindfarm.com.au/operations> includes contact details for the public to raise enquiries and complaints (enquiries@ratchaustralia.com) if needed. The auditor called the 1800 # available on the website after hours and it was responded to by a Project team member.

3.8 Incidents

There were no reportable incidents as defined by Condition 8 of the Project Approval during the audit period.

3.9 Site Inspection

A site inspection was conducted on the 15 November 2022 with representatives from COLWF and Vestas and the WolfPeak auditor, wherein the auditor reviewed the effectiveness of environmental mitigation measures. Refer to Appendix E, for photos taken during the site inspection. The site inspection noted the following:

- Site office and maintenance shed area were fenced and secured. The ground was paved and bunded. Any potential chemical spills during refueling and maintenance will be captured within the site with spill kits available on point of use. Fire extinguishers were installed, tagged, and tested.
- Substation area – the area was secured and fenced off with security camera installed. The substation was also paved and bunded and any potential or accidental spills will be captured within the site.
- Wind Farm – access roads and 54 turbines were inspected. Access roads were well maintained, and no dust generation was noted during the inspection.
- Landscape – There was some minor erosion noted along the batters and drainage lines which were already noted on the defects list to be rectified.
- Bird and bat management – during the audit, monitoring of bird and bat as per the Bird and Bat Adaptive Management Program was being conducted.

3.10 Actual versus predicted impacts

The audit considered the actual impacts arising from the carrying out of the operations and whether they are consistent with the relevant impacts predicted in the EIS. A high level assessment is presented in Table 7.

Table 7: Summary of predicted versus actual impacts

Aspect	Summary of predicted impacts	Summary of actual impacts observed during audit period	Consistent (Y/N)
Visual	In summary the EIS determined that the Proposal is unlikely to result in any significant 'direct', 'indirect' or 'sequential' cumulative visual impact.	There was one complaints received during the audit period regarding landscaping screening trees. This has been addressed by the Project and is now considered closed.	Y
Noise	The potential impact of low frequency noise from the operation of the turbines was considered as part of the noise impact assessment in accordance with NSW Wind Farm Noise Guidelines (2011). The predicted low frequency noise levels are below the 65dBC (day) and 60dBC (night) trigger levels. A review of the literature on environmental noise from wind farms noted that whilst noise generated by wind turbines has an infrasound component, the actual levels of infrasound from modern wind turbines would not be perceptible at receiver locations beyond 200 metres (m).	There was one complaint received regarding noise during the audit period. This complaint extended over a considerable length of time and was subject to a significant amount of liaison and contact between the Project and the complainant. This matter is recorded as closed by the Project as the findings of the Post Construction Noise Assessment (Marshall Day Acoustics, February 2022) indicated that the Wind Farm is compliant with the operational noise requirements of the Project Approval and consistent with what was predicted in the EIS.	Y
Flora and Fauna	The potential impacts are on threatened fauna, mainly birds and bats, through collision with turbines, and loss or degradation of their habitats. Species listed under the TSC Act with the potential to be impacted by the CWF include raptors, woodland birds and microbats. Species listed under the EPBC Act with the potential to be impacted include the Vulnerable Superb Parrot and Migratory-listed White-bellied Sea Eagle. The assessment of significance of impacts for these species showed that the potential impacts from risk of collision and loss of habitat arising from the Project were not considered significant.	The First Annual Report on the Implementation of the Bird and Bat Adaptive Management Program prepared by Nature Advisory (September 2022) concluded that there are no further changes to the monitoring program recommended. The auditor acknowledged the Applicant response and confirmed that this construction phase related action is now closed. The total cleared area of EEC was 40.12 hectares which is less than the limit of 42 hectares The impacts of the CWF appear consistent or lower than the predicted flora and fauna impacts at the time of this audit.	Y

Aspect	Summary of predicted impacts	Summary of actual impacts observed during audit period	Consistent (Y/N)
Aboriginal Heritage	Ground disturbance activities during operations may result in disturbance to Aboriginal objects or places with Archaeological significance	<p>This impact was largely related to the construction phase as that was when ground disturbance occurred. It is understood that no unexpected finds of Aboriginal heritage occurred during the construction phase.</p> <p>During operation, the Project has maintained the unexpected finds procedure and implemented the mitigation measures in the OEMP for managing Aboriginal registered sites at the CWF.</p> <p>Predicted and actual impacts were consistent.</p>	Y
Traffic and Transport	The primary access to the Project site would be via the Hume Highway and Lerida Road South. Access to turbine locations within the project site would be through Lerida Road South, existing Crown roads and new internal access roads to be constructed where there are no existing roads. All access roads will be constructed or upgraded to handle construction loads. Internal roads will be maintained for operational and maintenance access after construction.	The primary access remains the same and internal roads were maintained and there were no changes from the predicted impact.	Y
Hazards and Risks Aeronautical	There will be no impact on the safety of aerial agricultural operations near the site nor hang gliding activities near Collector.	There have been no reported impacts on aeronautical space within the Wind Farm area.	Y
Fire and Bushfire Impacts	<p>The potential for fires occurring in wind turbines or fire caused by the operation of a wind farm is considered to be extremely low (1 in 14,000 years). However, factors such as overheating of machinery, electrical faults and lightning strikes can initiate a fire. CWF will incorporate fire safety features such as automatic shutdown, alarm for electrical faults, and lightning arresters to minimise the risk of equipment initiating fires.</p> <p>Moreover, buffers would be maintained around the control room and substation buildings to prevent the spread of fire from these structures.</p>	No fires are reported to have occurred during the operation of the Wind Farm, therefore impacts same or lower than predicted.	Y

Aspect	Summary of predicted impacts	Summary of actual impacts observed during audit period	Consistent (Y/N)
Electromagnetic Fields	<p>There is limited potential for the general public to be exposed to electromagnetic fields (EMF) from the CWF as it is located entirely on private land. Access to these areas by persons other than those involved in agricultural activities on the land would be restricted to appropriately trained and qualified maintenance staff.</p> <p>Property owners accessing the project site would not be expected to spend long periods near the wind turbines which are located away from frequent use areas such as sheds, yards and residences.</p>	<p>The access to the Wind Farm is restricted and the area is fenced with security camera being monitoring for unauthorised access.</p> <p>The nearest resident is 5 km from the turbines.</p> <p>The impact from EMF is the same or lower than predicted.</p>	Y
Water Quality	<p>The majority of the project site is drained by intermittent (non-perennial) streams and most of the project infrastructure is located on elevated locations along the Cullerin Range which are significantly offset from drainage lines.</p>	<p>Erosion and sedimentation controls are being managed accordingly through the implementation of landscape management plan.</p> <p>Impact same or lower than predicted.</p>	Y

3.11 Compliance with EPL

The requirements of the EPL 21250 conditions were also reviewed during this audit. There was no non-compliance against the requirements of the EPL. Refer to Appendix A-2 for the detailed assessment.

4. SUMMARY OF AUDIT FINDINGS AND RECOMMENDATIONS

Table 8 presents a summary of findings and recommendations during this audit.

Table 8: Audit Findings and Recommendation for IA-2022

Item	Ref.	Type	Details of Item	Finding and Recommendation	Applicant Response	Status
IA2022_01	B7	Non-compliance	<p>Within 2 years of the commencement of construction, unless otherwise agreed by the Secretary, the Proponent must retire the required biodiversity credits of a number and class specified in Table 1B and 1C below to the satisfaction of OEH.</p> <p>The retirement of these credits must be carried out in accordance with the NSW Biodiversity Offsets Policy for Major Projects (see Column A) and NSW Biodiversity Offsets Scheme (see Column B – MOD 2), and can be achieved by:</p> <p>(a) acquiring or retiring 'biodiversity credits' within the meaning of the Biodiversity Conservation Act 2016;</p> <p>(b) making payments into an offset fund that has been established by the NSW Government; or</p> <p>(c) funding a biodiversity conservation action that benefits the threatened entity impacted by the project, consistent with the 'Ancillary rules: Biodiversity conservation actions</p>	<p>Non-compliance:</p> <p>On the evidence available at the time of the site inspection and audit interview the credits were not fully retired by the latest DPE approved extension date of 31 October 2022 due to circumstances outside the Proponent's control.</p> <p>Recommendation:</p> <p>Proceed with the retirement of the biodiversity credits and consult with DPE if any further delays occur.</p>	<p>A further application to DPE was made by the Proponent on 21 March 2023 seeking an extension to the timeframe to complete the retirement process due to issues outside the Project's control.</p> <p>In the latter stages of finalising this Audit Report, it was learned that on 3 April, an extension was granted by DPE under B7 to extend the credit retirement deadline to 30 June 2023.</p> <p>It is clear that the credit retirement process is in the final stages and COLWF expect it to be fully completed by April 2023.</p>	Closed
IA2022_02	B18	Non-compliance	<p>The Proponent must, in consultation with the local RFS, prepare a Bushfire Risk Management Plan based on the guidelines Planning for Bushfire Protection (RFS, 2006 or its latest edition). The plan must include:</p> <p>(a) details of the bushfire hazards and risks associated with the project;</p> <p>(b) mitigation measures including contingency plans;</p> <p>(c) procedures and programs for liaison and regular drills with the local RFS; and</p> <p>(d) procedures for regular fire prevention inspections by the local RFS and implementation of any recommendations.</p>	<p>Non-compliance:</p> <p>The latest Bushfire Risk Management Plan (BRMP) dated 13 May 2021 was not submitted to Crookwell RFS. Additionally, there was no annual fire drill conducted in 2022 with the RFS as required by the BRMP.</p> <p>Recommendation:</p> <p>Update the BRMP to address RFS advice that as annual drills are not possible given their resource constraints, they should be replaced by site familiarisations every 1-2 years.</p>	<p>On-site familiarisation meeting held with the RFS district coordinator on 14 March 2023 - evidenced in Rural Fire Service Meeting minutes dated 14 March 2023 and email correspondence following the meeting.</p>	Closed
IA2022_05	NA	Observation	<p>Given the concerns raised by PLALC regarding consultation during the construction phase, it is recommended that the Project team maintains a proactive dialogue with the PLALC during operations.</p>	<p>That consideration be given to inviting a representative from the PLALC to join the Community Consultative Committee for the Project.</p>	<p>The Proponent acknowledges the concerns that have been raised by PLALC through the audit consultation. While some of the issues raised are not consistent with the efforts that occurred through the project to consult and engage with the three Registered Aboriginal Parties, the concerns are noted and further consultation is planned.</p>	Open

5. LIMITATIONS

This Document has been provided by WolfPeak Pty Ltd (WolfPeak) to the Client and is subject to the following limitations:

This Document has been prepared for the particular purpose/s outlined in the WolfPeak proposal/contract/relevant terms of engagement, or as otherwise agreed, between WolfPeak and the Client.

In preparing this Document, WolfPeak has relied upon data, surveys, analyses, designs, plans and other information provided by the Client and other individuals and organisation (the information). Except as otherwise stated in the Document, WolfPeak has not verified the accuracy or completeness of the information. To the extent that the statements, opinions, facts, findings, conclusions and/or recommendations in this Document (conclusions) are based in whole or part on the information, those conclusions are contingent upon the accuracy and completeness of the information. WolfPeak will not be liable about incorrect conclusions should any information be incomplete, incorrect or have been concealed, withheld, misrepresented or otherwise not fully disclosed to WolfPeak.

With respect to conditions relating to compliance with the design, Building Codes of Australia (BCA) or satisfaction of the Independent Verifier / Certifier / Certifying Authority, the Independent Audits relied on confirmation from the Independent Verifier / Certifier / Certifying Authority that this is the case. The Independent Audits do not extend to an assessment of the works against the design or BCA requirements themselves, nor did they examine the steps the Independent Verifier / Certifier / Certifying Authority has taken to verify that the design is compliant.

The assessment of actual impacts and those predicted in the Environmental Impact Assessment(s) was a high-level assessment qualitative assessment only. The Environmental Impact Assessment(s) include a voluminous number of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project (including mitigation measures). Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the requirements specified in the, to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Independent Audit.

Audits of all post approval documents prepared to satisfy the conditions, including an assessment of the implementation of Environmental Management Plans and Sub-plans, adopts a Judgement Based Sampling approach. Judgement Based Sampling is the process of selecting a sample of commitments and evidence from within the total available data set (population) to obtain and evaluate evidence about some characteristic of that population, in order to form a conclusion concerning the population.

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APPENDIX A – MP10_0156 CONDITIONS OF APPROVAL

Table 5: Collector Wind Farm MP10_0156 Condition of Consent

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
SCHEDULE B – CONDITIONS OF APPROVAL				
PART A ADMINISTRATIVE CONDITIONS				
OBLIGATION TO MINIMISE HARM TO THE ENVIRONMENT				
A0	In addition to meeting the specific environmental performance criteria established under this approval, the Proponent shall implement all reasonable and feasible measures to prevent and/or minimise any material harm to the environment that may result from the construction, operation, or decommissioning of the project.	Evidence referred to elsewhere in this Audit Table Site inspection 15/11/22 Refer to site photos on Appendix E	The proponent has demonstrated that reasonable and feasible measures are being implemented to prevent or minimise harm to the environment. Also, there were no incidents and three complaints reported during this audit period.	Compliant
TERMS OF APPROVAL				
A1	The Proponent shall carry out the Project: (a) generally by the EA; and (b) in accordance with the statement of commitments and the conditions of this approval	Site inspection 15/11/22 Refer to site photos on Appendix E Independent Environmental Audit Report – Collector Wind Farm MP_0156 dated 20/01/20 prepared by J2M Systems COLWF Operation Environmental Management Plan dated 17/02/21 prepared by Collector Wind Farm P/L Collector Wind Farm Compliance Program Rev 5 dated 23/11/22 prepared COLWF	During the conduct of site inspection and records review, the auditee presented evidence that the Project was carried out in accordance with the procedures, commitments, preventive actions, performance outcomes and mitigation measures set out and executed accordingly. Overall compliance with the conditions of approval was noted, except for the non-compliance against CoA B18 during this audit period.	Compliant
A2	If there is any inconsistency between the above documents, the most recent document shall prevail to the extent of the inconsistency. However, the conditions of this approval shall prevail to the extent of any inconsistency	Interview with the auditee 13/12/22	No inconsistency identified or reported.	Compliant
A3	The Proponent shall comply with any reasonable requirement(s) of the Secretary arising from the Department's assessment of: (a) any reports, plans or correspondence that are submitted in accordance with this Approval; and (b) the implementation of any actions or measures contained within these reports, plans or correspondence.	Interview with the auditee 13/12/22	The auditee reported that no specific requirements have been provided by the Secretary following the submission of any reports.	Compliant
A4	Subject to confidentiality, the Proponent shall make all documents required under this Approval available for public inspection on request.	Project website: https://www.collectorwindfarm.com.au/	The COLWF website contains the documentation required under the project approval which was accessed by the auditor on 12/12/22.	Compliant
LIMITS OF APPROVAL				
A5	This Approval lapses five (5) years after the date of this Approval unless the Proponent has confirmed to the satisfaction of the Secretary that orders have been placed for wind turbines or demonstrated that work subject of this Approval has been completed on the Site before the date on which the Approval would otherwise lapse under this	Letter dated 28/11/18 from DPE to COLWP re: Secretary was satisfied that orders for wind turbines have been placed in compliance with this requirement (A5). Correspondence (emails) between RATCH and NSW DPE between 25/09/2019 and 29/11/2019 about Condition 5	The Proponent confirmed to the satisfaction of the Secretary that orders were placed for wind turbines and that the work was commenced within the timeframe required by this condition.	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>condition. Work, for the purpose of this condition includes at least one of the following:</p> <p>(a) internal track construction;</p> <p>(b) civil works associated with the construction of the foundations for the wind turbine footings;</p> <p>(c) control room construction;</p> <p>(d) electrical substation construction;</p> <p>(e) underground cabling; or</p> <p>(f) internal overhead transmission line construction.</p>			
A6	The Proponent may construct, operate and replace or upgrade as necessary up to 55 wind turbines.	<p>Overall layout plan by Vestas / CivilEx (COLWF-C-5300-1) contained within the Collector Wind Farm– Wind Turbine Micro Siting Assessment, Revision 2, May 2019.</p> <p>Annual Operating Report for Collector Wind Farm dated 06/18/21 to 06/17/22 prepared by Vestas.</p> <p>Change service order Turbine 48 – list all the maintenance and service orders.</p> <p>Preventive Maintenance CIM4784 Inspection chain guide tube inserts 06/05/2022.</p> <p>Corrective Maintenance Turbine 28 Annual Service Report 231706</p>	The construction, operation and maintenance of 54 turbines was noted through the presentation of evidence i.e., maintenance records, SCADA system online, and on the spot triggers and presentation of energy efficiencies and online defects.	Compliant
A7	Prior to the commencement of construction, the Proponent shall provide written evidence to the satisfaction of the Secretary that the lease agreements with the site landowners have adequate provisions to require that decommissioning occurs in accordance with this Approval and is the responsibility of the Proponent.	<p>Letter dated 07/11/18 from RATCH Australia re: decommissioning and requirements of Condition A7 and F2 of the project approval.</p> <p>Letter dated 228/02/19 from DPE re: confirming requirements of Condition A7 has been met.</p>	Requirements under this condition were previously complied with by the Proponent and are triggered during the Operations Stage.	Not triggered
A8	If any wind turbine is not used for the generation of electricity for a continuous period of 12 months, it shall be decommissioned by the Proponent, unless otherwise agreed by the Secretary . The Proponent shall keep independently verified annual records of the use of wind turbines for electricity generation. Copies of these records shall be provided to the Secretary upon request. The relevant wind turbine and any associated infrastructure is to be dismantled and removed from the site by the Proponent within 18 months of the date that the wind turbine was last used to generate electricity.	<p>Annual Operating Report for Collector Wind Farm dated 06/18/21 to 06/17/22 prepared by Vestas</p> <p>Conformity Statement (Statement No.) SSDA-06564-1 issued 18/11/20 issued by DNV-GL issued for Site Specific Design Statement</p> <p>Site inspection 15/11/22</p>	<p>All wind turbines are functioning except for those that are under-going maintenance and repair.</p> <p>No wind turbine was decommissioned during this audit period.</p>	Not Triggered
A8A	Wind Turbine Height No wind turbines may be greater than 150 metres in height (measured from above ground level to the blade tip).	<p>Vertical Structure Notification Form for COLWF-Mar 2021-TBL-WTG Location & Height</p> <p>Conformity Statement issued 18/11/20 for Site Specific Design Assessment of V117-4.2 MW wind turbine with HH91.5m tower.</p>	<p>Vertical Structure Notification Form provided information that the 54 wind turbine has the required height.</p> <p>Conformity Statement affirms that the turbine height was in accordance with the requirement.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
A8B	<p>Micro-siting Restrictions</p> <p>The Proponent may micro-site the wind turbines and ancillary infrastructure without further approval provided:</p> <p>(a) no wind turbine or ancillary infrastructure is moved more than 100 metres from the locations shown on the figures and table in Attachment 2; (b) turbine 45 is not moved any closer to residence FF;</p> <p>(c) all feasible and reasonable effort is made to locate wind turbines at least 60 metres from existing hollow-bearing trees which have the potential to provide roost or nesting habitat for bird and bat species identified to be at risk of rotor collision during turbine operation, unless the Secretary agrees otherwise; and</p> <p>(d) the revised location of the wind turbine and/or ancillary infrastructure would not increase the impact of the project when compared to the approved locations and would not result in any non-compliance with the conditions of this approval.</p>	Interview with the auditee 13/12/22	Requirements under this condition were previously complied with by the Proponent and are not relevant to the Operation Phase.	Not triggered
A8C	<p>Final Layout Plans</p> <p>Prior to the commencement of construction, the Proponent shall submit detailed plans of the final layout of the project to the Secretary, including:</p> <p>(a) details on the micro-siting of any wind turbines and/or ancillary infrastructure; and</p> <p>(b) the GIS coordinates of the wind turbines.</p>	Interview with the auditee 13/12/22	Requirements under this condition were previously complied with by the Proponent and are not relevant to the Operation Phase.	Not triggered
NOTIFICATION TO DEPARTMENT				
A8D	<p>Prior to the commencement of the construction, operation and/or decommissioning of the project, the Proponent shall notify the Department in writing of the date of commencement.</p> <p>If the construction, operation and/or decommissioning of the project is to be staged, then the Proponent must notify the Department in writing prior to the commencement of the relevant stage, and clearly identify the development that would be carried out during the relevant stage.</p>	<p>Letter dated 11/05/21 from COLWF to DPE re: Notification of Commencement of Operation 20th May 2021</p> <p>Email dated 13/05/21 from DPE to COLWF re: acknowledgement of the receipt of notification of operation.</p> <p>Collector Wind Farm Pre-Operation Compliance Report (POCR) Rev4 dated 05/14/21 prepared by ARCADIS.</p>	<p>The COLWF notified the Department of the completion of relevant construction and commissioning activities, the Operation Phase date of 20/05/21.</p> <p>The Department provided confirmation of receipt of the notification.</p> <p>COLWF operation is not staged.</p>	Compliant
STRUCTURAL ADEQUACY				
A8E	<p>The Proponent shall ensure that the wind turbines are constructed in accordance with the relevant standards, including the structural design requirements of IEC 61400-1 Wind turbines – Part 1: Design Requirements (or equivalent).</p>	<p>Collector Wind Farm WTG Foundation for Vestas Wind System A/S, drawings and specifications issued for construction (COLWF-C-5601- 5607, Rev 0.</p> <p>Collector Wind Farm Pre-Operation Compliance Report (POCR) Rev 4 dated 05/14/21 prepared by ARCADIS.</p> <p>COLWF-WTG-SPCE-0001.V01.IFR</p>	<p>The drawings and specifications were reviewed and approved by 13 Consulting P/L Engineering Consultants.</p> <p>Collector Wind Farm Pre-Operation Compliance Report provided a project compliance summary and stated that it has been deemed all pre-operation conditions have been met.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
A8F	The Proponent shall ensure that all new buildings and structures, and any alterations or additions to existing buildings and structures, are constructed in accordance with the relevant requirements of the BCA.	Interview with auditee 13/12/22	Construction phase is completed. The Project team reported that no new buildings, structures, alterations or additions to existing works occurred during this audit period. This audit period covers the Operation Stage.	Not triggered
DEMOLITION				
A8G	The Proponent shall ensure that all demolition work on site is carried out in accordance with AS 2601-2001: The Demolition of Structures, or its latest version.	Interview with auditee	No demolition was done during this audit period.	Not triggered
OPERATION OF PLANT AND EQUIPMENT				
A8H	The Proponent shall ensure that all plant and equipment used on site, or in connection with the project, is: (a) maintained in a proper and efficient condition; and (b) operated in a proper and efficient manner.	SAP Easy Access to records and maintenance plan. Environmental Aspects Check Sheet dated 27/09/22 prepared by Eduardo Dos Santos COLWF Monthly Report SC-032182– November 2022 prepared by Vestas. Annual Operating Report for COLWF from 18/06/21 to 17/06/22 prepared by Vestas includes: a. energy production figures (MWh) of every individual Wind Turbine; b. Availability Guarantee calculations for the Wind Farm; c. failure statistics of each individual Wind Turbine including Serviced Equipment; d. summary of completed Scheduled Maintenance; e. summary of repair activities; f. summary of meteorological data measured from the Met Masts; g. summary of the Environmental performance of the Wind Farm Change service order Turbine 48 – list all the maintenance and service orders. Preventive Maintenance CIM4784 Inspection chain guide tube inserts 06/05/2022. Corrective Maintenance Turbine 28 Annual Service Report 231706 Troubleshoot information records for Turbine 48 Weekly and Monthly Reports of Maintenance Report for 2022 October Report. Include: Summary of Service Schedule 2021	The Project demonstrated compliance on conducting maintenance to the wind turbines through its preventive maintenance and service records. The Environmental Aspects Check Sheet shows that the following environmental aspects are monitored: <ul style="list-style-type: none"> • Vegetation • Farming Activities • Site access • Aboriginal heritage • Service activities • Traffic volume/ access tracks • Road maintenance • Dust suppression • Emergency event • Waste management The monthly report prepared by Vestas includes the following: <ul style="list-style-type: none"> • Manpower/hours worked. • HSE/incidents • Safety/environment • WTG operation Weekly and Monthly Reports of Maintenance Report for 2022 October Report include Operational time and generation, Incidents, Maintenance performance,	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
		<p>CIM 5102 re-inspection T03April / T03 May/ T03 June / To3 July /To7 Aug / T07 Nov</p> <p>Repairing the blade – Turbine 7 and 5 records of maintenance sighted on SAP Easy Access</p> <ul style="list-style-type: none"> 3 years' service are reviewed a year before it happened and in 6 months before the time of service. <p>Actual Turbine 13 and Turbine 15</p> <p>The SCADA is link to the SAP on corrective maintenance/ trouble shooting.</p>	<p>Schedule Maintenance, Weather conditions, Corrective Maintenance.</p> <p>SCADA system showing the Turbine status, wind speed, power generation, alarm, warnings, defects and operate and stop the turbine from the system.</p> <p>The Project team ensures that the wind turbines are operated in a proper and efficient manner through the reporting and monitoring system.</p> <p>CIM (Continuous Improvement Management) is being implemented on the Project.</p>	
A9	<p>With the approval of the Secretary, the Proponent may submit any strategy, plan or program required by this approval on a progressive basis.</p> <p>To ensure the strategies, plans or programs under the conditions of this approval are updated on a regular basis, the Proponent may at any time submit revised strategies, plans or programs to the Secretary for approval.</p> <p>With the agreement of the Secretary, the Proponent may prepare any revised strategy, plan or program without undertaking consultation with all the parties referred to under the relevant condition of this approval.</p>	<p>Operation Environmental Management Plan dated 17/02/21 prepared by Collector Wind Farm P/L (COLWF)</p> <p>Letter dated 24/02/21 from DPE to Ratch Australia re: DPE reviewed the OEMP and satisfied that it is consistent with the relevant conditions of consent.</p> <p>Operation Noise Management Plan Rev 2 dated 13/04/21 prepared by Marshall Day Acoustics</p> <p>Letter dated 14/04/21 from DPE to Ratch Australia re: Approval of ONMP</p> <p>COLWF Post-Construction Noise Assessment dated 25/02/22 prepared by Marshall Day Acoustics</p> <p>COLWF Bird & Bat Adaptive Management Program prepared Brett Lane & Associates P/L</p> <p>Letter dated 15/01/19 from DPE to RATCH re: Approval of Bird & Bat Adaptive Management Program</p> <p>Pollution Incident Response Management Plan dated 27/05/22 prepared by COLWF.</p>	<p>The Proponent demonstrated that all relevant operational management plans, strategies and programs were in place and updated with the Department's approval.</p>	Compliant
Part B: ENVIRONMENTAL PERFORMANCE				
BIODIVERSITY				
Clearing				
B1	<p>The clearing of all native vegetation is to be limited to the minimal extent practicably required. Details regarding the procedures for clearing vegetation and minimising the extent of clearing shall be clearly included in the Construction Flora and Fauna Management Plan contained in condition D25 (f).</p>	<p>COLWF Tracking Analysis</p> <p>Pre-Clearing Reports</p> <ul style="list-style-type: none"> Pre-clearing Survey Report for Collector Wind Farm Group 4,5,6,7 & 8dated Nov 2019 prepared by NARLA Environment 	<p>This condition largely relates to the construction phase which is now closed. The total cleared area of EEC was 40.12 hectares which is less than the limit of 42 hectares under this condition.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	The Proponent shall ensure that no more than 42 hectares of EEC is cleared for the project unless the Secretary agrees otherwise in consultation with OEH.	<ul style="list-style-type: none"> Pre-clearing Survey Report for Collector Wind Farm Track 3,4,5 and Substation CG1 dated Oct 2019 prepared by NARLA Environment Pre-clearing Survey Report for Collector Wind Farm Group 2 & 3 dated Sept 2020 prepared by NARLA Environment 19/02/20. Pre-clearing Survey Report for Collector Wind Farm Lerida Road South & Site Comp. June 2019 prepared by NARLA Environment Pre-clearing Survey Report for Collector Wind Farm dated Feb 2020 prepared by NARLA Environment <p>Post-Clearing Reports</p> <ul style="list-style-type: none"> Post Clearing Report No.1 Collector Wind Farm Lerida Road South Aug 2019 Post Clearing Report No.2 Collector Wind Farm Track 3,4,5, & Substation CG1 Sept 2019 Post Clearing Report No.2 Collector Wind Farm Collector Group 2,3,4, & 5 Jan 2020 Post Clearing Report No.2 Collector Wind Farm Track 6,7, & 8 March 2020 <p>COLWF Tracking Analysis</p>		
B2	Tree trunks and major branches from cleared areas should be used, to the fullest extent practicable, to enhance habitat (coarse woody debris) in rehabilitated areas or derived native grassland (either in offset areas or areas adjoining impacted areas) and details contained within the Construction Flora and Fauna Management Plan contained in condition D25(f).	<p>Site inspection 15/11/22</p> <p>Interview with auditee 13/12/22</p>	Tree trunks and major branches from cleared areas were being used to enhance habitat in rehabilitated areas.	Compliant
B3-B5	Deleted			
BIRD AND BAT MONITORING AND MANAGEMENT				
B6	Prior to the commissioning of any wind turbines, the Proponent shall prepare and submit for the Approval of the Secretary a Bird and Bat Adaptive Management Program , which takes into account bird/ bat monitoring methods identified in the current editions of AusWEA Best Practice Guidelines for the Implementation of Wind Energy Projects in Australia and Wind Farm and Birds: Interim Standards for Risk Assessment. The Program shall be prepared and implemented by a suitably qualified expert, approved by the Secretary. The Program shall incorporate spring – summer pre-construction baseline surveys, post construction and operational monitoring, and a Decision Matrix that	<p>Email dated 7/10/22 from DPE to Ratch re: Acknowledgement receipt of First Annual Report on the Implementation of Bird & Bat Adaptive Management Program Report No.: 13100.4 (15.0)</p> <p>Email dated 6/10/22 from Ratch to DPE re: Submission of the First Annual Report on the Implementation of Bird & Bat Adaptive Management Program Report No.: 13100.4 (15.0)</p>	<p>The Department approved the Bird & Bat Adaptive Management Program required under this Condition.</p> <p>The First Annual Report on the Implementation of Bird & Bat Adaptive Management Program Report No.: 13100.4 (15.0) dated September 2022 was submitted to the Department.</p> <p>The Report did not recommend any changes to the monitoring program.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>clearly sets out how the Proponent will respond to the outcomes of monitoring. It shall:</p> <p>(a) incorporate an ongoing role for the suitably qualified expert;</p> <p>(b) set out monitoring requirements in order to assess the impact of the Project on bird and bat populations, including details on spring-summer baseline survey and post-construction monitoring locations, parameters to be measured, frequency, timing and methods of monitoring and analyses and reporting. The monitoring program shall be capable of detecting any changes to the population of birds and/ or bats that can reasonably be attributed to the operation of the Project, and includes spring-summer pre-construction baseline survey data;</p> <p>(c) incorporate a decision-making framework that sets out specific actions and when they may be required to be implemented to reduce any impacts on bird and bat populations that have been identified as a result of the monitoring;</p> <p>(d) identify 'at risk' bird and bat groups, seasons and/or areas within the Project site which may attract high levels of mortality and include monthly mortality assessments and periodic local population census' and bird utilisation surveys;</p> <p>(e) identify potential mitigation measures and implementation strategies in order to reduce impacts on birds and bats such as minimising the availability of raptor perches, swift carcass removal, pest control including rabbits, use of deterrents, and sector management including switching off turbines that are predicted to or have had an unacceptable impact on bird/bat mortality at certain times;</p> <p>(f) identify matters to be addressed in periodic reports in relation to the outcomes of baseline surveys and post-construction and operational monitoring, the application of the decision-making framework, the mitigation measures identified, progress with the implementation of such measures, and their success; and</p> <p>(g) include a detailed program to monitor and report on:</p> <ul style="list-style-type: none"> i. the effectiveness of these measures and plans; and ii. Bird and bat strike annually, or as otherwise directed by the Secretary. Collector Wind Farm NSW Government 8 Department of Planning, Industry & Environment. <p>The Reports referred to under part (f) shall be submitted to the Secretary and OEH on an annual basis for the first five years of operation and every two years thereafter (unless otherwise agreed to by the Secretary) and shall be prepared within two months of the end of the reporting period. The Secretary may, at the request of the Proponent at any time, vary the reporting requirement or period by notice in writing to the Proponent.</p> <p>The Proponent is required to implement reasonable and feasible mitigation measures as identified under part € where the need for</p>	<p>First Annual Report on the Implementation of Bird & Bat Adaptive Management Program Report No.: 13100.4 (15.0) September 2022 prepared by Nature Advisory</p> <p>Bird & Bat Adaptive Management Program dated Nov 2018 prepared by Brett Lane & Associates P/L</p> <p>Letter dated 15/01/19 from DPE to Ratch Australia re: Approval Bird & Bat Adaptive Management Program</p> <p>YM02 services for weed spray.</p> <p>Bird and Bat Monthly Inspection is done by Vestas.</p>		

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	further action is identified through the Bird and Bat Adaptive Management Programme, or as otherwise agreed with the Secretary .			
RETIREMENT OF CREDITS				
B7	<p>Within 2 years of the commencement of construction, unless otherwise agreed by the Secretary, the Proponent must retire the required biodiversity credits of a number and class specified in Table 1B and 1C below to the satisfaction of OEH.</p> <p>The retirement of these credits must be carried out in accordance with the NSW Biodiversity Offsets Policy for Major Projects (see Column A) and NSW Biodiversity Offsets Scheme (see Column B – MOD 2), and can be achieved by:</p> <p>(a) acquiring or retiring 'biodiversity credits' within the meaning of the Biodiversity Conservation Act 2016;</p> <p>(b) making payments into an offset fund that has been established by the NSW Government; or</p> <p>(c) funding a biodiversity conservation action that benefits the threatened entity impacted by the project, consistent with the 'Ancillary rules: Biodiversity conservation actions.</p>	<p>Email dated 17/10/22 re: Correspondence detailing status of compliance with biodiversity credit requirements of Condition B7, following recent updates from Biodiversity Conservation Trust</p> <p>Email dated 17/10/22 from DPE to RATCH re: Acknowledgement for the submission of biodiversity credit to the DPE portal.</p> <p>Relevant docs:</p> <ul style="list-style-type: none"> Confirmation of payment into Offset Fund for some biodiversity & all of species' credits (BCF203 - Certificate 6.33 – Signed) Biodiversity Stewardship Agreement finalised for LGA property (BS0074 Melliodora Park - Final for landholder signing (2)) Biodiversity Offset Agreement, between LGA & COLWF for acquisition of credits executed (221117 CWF AGR Biodiversity Offsets Agreement (fully signed)) Correspondence from NGH confirming equivalence of credits in consent condition and in BSA (19-545 Collector BSSAR PCT equivalency final V1.0) All correspondence with DPE about timing of completion of BSA & hence retirement of credits from BSA (DPE Correspondence (Folder)) <p>RATCH letter to DPE dated 3/3/23 setting out the next steps and expected timeframe (28 April 2023) to complete the biodiversity credit retirement process, lodged in Major Project Portal on same day</p>	<p>The Project will fulfill their credit obligations via a combination of a stewardship site for PCT 227 and PCT 290 and purchasing the remaining credits (PCT 1191 and species credits) from the BCT.</p> <p>Non-compliance:</p> <p>At the time of the site inspection and audit interview, the biodiversity credits were not retired by the most recent DPE approved deadline of 31 October 2022.</p> <p>A further application to DPE was made by the Proponent on 21 March 2023 seeking an extension to the timeframe to complete the retirement process due to issues outside the Project's control.</p> <p>In the latter stages of finalising this Audit Report, it was learned that on 3 April, an extension was granted by DPE under B7 to extend the credit retirement deadline to 30 June 2023.</p> <p>It is clear that the credit retirement process is in the final stages and COLWF expect it to be fully completed by April 2023.</p>	Non-compliant

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	<p>Table 1B: Ecosystem credit requirements</p> <table border="1"> <thead> <tr> <th rowspan="2">Homogenous Vegetation Zone</th> <th rowspan="2">Condition</th> <th rowspan="2">Total Habitat Loss (ha)</th> <th colspan="2">Ecosystem Credits Required</th> </tr> <tr> <th>A</th> <th>B</th> </tr> </thead> <tbody> <tr> <td>PCT 277: Blakely's Red Gum - Yellow Box grassy woodland of the NSW South Western Slopes Bioregion (LA120) - Tree cover high diversity</td> <td>Moderate to good</td> <td>2.36 + 1.28</td> <td>113</td> <td>40</td> </tr> <tr> <td>PCT 277: Blakely's Red Gum - Yellow Box grassy woodland of the NSW South Western Slopes Bioregion (LA120) - Tree cover low moderate diversity</td> <td>Moderate to good</td> <td>7.93 + 2.0</td> <td>109</td> <td>44</td> </tr> <tr> <td>PCT 277: Blakely's Red Gum - Yellow Box grassy woodland of the NSW South Western Slopes Bioregion (LA120) - Derived grassland high diversity</td> <td>Moderate to good</td> <td>4.43 + 0.9</td> <td>111</td> <td>18</td> </tr> <tr> <td>PCT 277: Blakely's Red Gum - 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WATER QUALITY AND HYDROLOGY																																																																				
B8	Except as may be provided by an EPL, the Project shall be constructed and operated to comply with section 120 of the Protection of the Environment Operations Act 1997, which prohibits the pollution of waters.	Site inspection 15/11/22 COLWF EPC Defect Register version date 14/09/22	It was observed during the site inspection that all drainage lines on site were dry. No incidents related to water pollution were reported/recorded during the audit period. Erosion and sediment control plans were established by a qualified soil conservationist and were observed during the conduct of the site inspection.	Compliant																																																																
B9	Waterway crossings shall be designed and constructed in consultation with DoI – L&W and DPI (Fisheries) and consistent with DPI (Fisheries) guidelines, Policy and Guidelines for Fish Friendly Waterway Crossings (2004), or its latest version and Why Do Fish Need to Cross the Road? Fish Passage Requirements for Waterway Crossings (2004), or its latest version and DoI – L&W's guideline Controlled Activity Guidelines (NSW Office of Water, 2012), or its latest version.	Site inspection 15/11/22 Construction Soil & Water Quality Management Plan, Rev 6 Correspondence dated 24/05/19 from Secretary re approval of the Construction Soil & Water Quality Management Plan	It was observed during the conduct of site inspection that no waterways crossings were present that triggered the requirements of this condition.	Not triggered																																																																
NOISE																																																																				

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B10	Any overhead transmission line associated with the Project shall be designed, constructed and operated to minimise the generation of corona and aeolian noise as far as feasible and reasonable at nearest existing sensitive receivers.	Site inspection 15/11/22 Email dated 14/05/19 from Renewable & Commercial Connection proposal manager to Ratch re: Collector Wind Farm Noise Design	It was stated in the Noise Design that 'All TransGrid transmission lines are designed to minimise both corona noise and losses by the appropriate selection of conductor diameter, bundle size and structure geometry.	Compliant
HAZARDS AND RISK				
B11	Dangerous goods, as defined by the Australian Dangerous Goods Code, shall be stored and handled strictly in accordance with: (a) all relevant Australian Standards; (b) for liquids, a minimum bund volume requirement of 110% of the volume of the largest single stored volume within the bund; and I the Environment Protection Manual for Authorised Officers: Bunding and Spill Management, technical bulletin (Environment Protection Authority, 1997). In the event of an inconsistency between the requirements listed in (a) to (c) above, the most stringent requirement shall prevail to the extent of the inconsistency.	Site inspection completed on 15/11/22. Refer to site photos. Onsite oil spill drill was conducted on 27/09/2022.	During the site inspection, it was observed that the storage of dangerous goods was properly maintained at the site.	Compliant
AVIATION OBSTACLES AND HAZARDS				
B12	Prior to the commencement of construction, the Proponent shall consult with: (a) aerodrome operators that have an aerodrome located within 30 kilometres of the boundaries of the site, to determine any impact on Obstacle Limitation Surfaces at such aerodromes; (b) Air Services Australia, to determine potential impacts on instrument approach procedures at aerodromes, navigational aids, communications and surveillance facilities; and Collector Wind Farm NSW Government 10 Department of Planning, Industry & Environment I Aerial Agriculture Association Australia, to determine potential hazards to aerial application and related operations. Feasible and reasonable mitigation measures for each of the potential impacts and hazards identified, shall be determined in consultation with the respective groups identified in this condition, prior to the commencement of construction.	Interview with auditee 13/12/22 Site inspection 15/11/22	This requirement is not covered by this audit period.	Not triggered
B13	Prior to the commencement of construction, the Proponent shall provide the following information to the Civil Aviation Safety Authority, Airservices Australia, Royal Australian Air Force – Aeronautical Information Services, the Aerial Agricultural Association of Australia, Rural Fire Service as well as all known users of privately owned local airfields: (a) "as constructed" coordinates in latitude and longitude of each wind turbine generator;	Interview with auditee 13/12/22 Site inspection 15/11/22	This requirement is not covered by this audit period.	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	(b) final height of each wind turbine generator in Australian Height Datum; and I ground level at the base of each wind turbine generator in Australian Height Datum.			
B14	The Proponent shall consult with all local aerial agricultural stakeholders to develop a strategy to minimise any aerial agricultural impacts. Should increases to the costs of aerial agricultural spraying on any non-associated property surrounding the site be attributable to the operation of the Project, the Proponent shall fully fund to the affected landowner, the reasonable cost difference between pre-construction aerial agricultural spraying and the increased cost, as agreed between the relevant parties.	Interview with auditee 13/12/22 No complaints or concerns raised by the surrounding landowners relating to this condition during operations.	No complaints regarding aerial impacts.	Not triggered
RADIO COMMUNICATION				
B15	Prior to the commencement of construction, the Proponent shall: (a) consult with the NSW Government Telecommunications Authority and other registered communications licensees (including emergency services) to ensure that risks to these services are minimised as far as feasible and reasonable. This may include the installation of additional radio sites or services to ensure coverage of radio communications are not degraded; (b) in the event that any disruptions to radio communication service links (installed before construction of the Project) arise as a result of the Project, the Proponent shall undertake appropriate remedial measures in consultation with the NSW Government Telecommunications Authority and relevant licensee to rectify any issue, including arranging the deployment of temporary measures in order to maintain effective coverage whilst more permanent measures are effected, within three months of the problem being identified, and at the expense of the Proponent; I consider remedial measures, including i. modification to or relocation of the existing antennae; ii. Installation and maintenance of additional radio sites or services; iii. Installation of a directional antennae; and / or iv. Installation of an amplifier to boost the signal strength.	Interview with auditee 13/12/22	This requirement is not covered by this audit period.	Not triggered
BUSHFIRE RISK				
B16	The Proponent shall ensure that all Project components on site are designed, constructed and operated to minimise ignition risks, provide for asset protection consistent with relevant RFS design guidelines (Planning for Bushfire Protection 2006 and Standards for Asset Protection) and provide for necessary emergency management including appropriate fire-fighting equipment and water supplies on site to respond to a bush fire.	Site inspection 15/11/22 Bushfire Risk Management Plan (BRMP) COLWF-2021-05-13-PLN-BushFire RMP Rev 1.0 dated 13/05/21 prepared by Collector Windfarm 18 June 2021 commencement of operations	Fire extinguishers were installed in different site locations and test and tag were up to date. Evacuation plan was displayed on site.	Compliant

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B17	Throughout the operational life of the Project, the Proponent shall regularly consult with the local RFS about details of the Project, including the construction timetable, the final location of all infrastructure on the site and contact information. The Proponent shall comply with any reasonable request of the local RFS to reduce the risk of bushfire, minimise impacts on bushfire fighting operations and to enable fast access in emergencies.	Bushfire Risk Management Plan (BRMP) COLWF-2021-05-13-PLN-BushFire RMP Rev 1.0 dated 13/05/21 prepared by Collector Windfarm	No request from RFS received to date.	Compliant
B18	The Proponent must, in consultation with the local RFS, prepare a Bushfire Risk Management Plan based on the guidelines Planning for Bushfire Protection (RFS, 2006 or its latest edition). The plan must include: (a) details of the bushfire hazards and risks associated with the project; (b) mitigation measures including contingency plans; (c) procedures and programs for liaison and regular drills with the local RFS; and (d) procedures for regular fire prevention inspections by the local RFS and implementation of any recommendations.	Bushfire Risk Management Plan (BRMP) COLWF-2021-05-13-PLN-BushFire RMP Rev 1.0 dated 13/05/21 prepared by Collector Windfarm Email dated 21/05/19 from RATCH to Crookwell Rural Fire Service re: submission of the revised plan. Rural Fire Service Meeting minutes dated 14 March 2023 and email correspondence following the meeting.	Non-compliance: The latest Bushfire Risk Management Plan (BRMP) dated 13 May 2021 was not submitted to Crookwell RFS. Additionally, there was no annual fire drill conducted in 2022 with the RFS as required by the BRMP. Recommendation: Update the BRMP to address RFS advice that as annual drills are not possible given their resource constraints, they should be replaced by site familiarisations every 1-2 years. Note: This finding has been closed on basis of on-site familiarisation meeting held with the RFS district coordinator on 14 March 2023 - evidenced in Rural Fire Service Meeting minutes dated 14 March 2023 and email correspondence following the meeting.	Non-compliant
VISUAL AMENITY				
Views				
B19	All residents, business owners or public authorities, whose dwelling, business or public area respectively, may be subject to medium, medium to high or high visual significance, as defined in the Collector Wind Farm LVIA Addendum A, shall be consulted regarding impact minimisation measures. The outcomes of this consultation process shall be used to inform the Design and Landscape Plan, required under condition B27.	Site inspection 11/15/22 and interview 13/12/22 RATCH RLA Project Schedule 2021212 Quotation dated 09/09/22 from Regenerative Landscape Australia re: undertaking regenerative works with inclusion of preliminary site visit, drone mapping, undertake site soil preparation, supply and install of 45x100mm tube stock in Pioneer plus 500mm guards, stakes, Rain Catch Mat, tree planting materials and 3-month establishment period. Collector Wind Farm DLP Preliminary Design v4 7 May 2019 prepared by RATCH. Development of Design & Landscape Plan dated 7/5/19 prepared by Green Bean Design occurred in coordination with DPE. COLWF off site landscape works prepared by Green Bean Design	The DLP has been developed with consultation with the surrounding landowners. The landscape design was coordinated with the DPE which was provided to Vestas / TransGrid as part of delivery contracts, for implementation on site. Green Bean Design provided a sample of completed off site landscape works that assist in minimising the visual impacts. RATCH RLA Project Schedule 2021212 provided completed works for 31 residents performed by RLA.	Compliant

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		<p>Example of works completed - RLA Quotation 2022#1079 Stage 8 RATCH</p> <p>RATCH RLA Project Schedule 2021212 Stages 1 – 6 completed works (31 residents) performed by RLA</p>		
B20	<p>At the request of any owners of residential dwellings or businesses with views of a turbine(s) located within five kilometres of their dwellings, the Proponent shall provide and bear the full cost of reasonable and feasible landscaping treatments to visually screen these dwellings. Such a request may be made in writing by the owner of the dwelling or business to the Proponent within 6 months from the commencement of operation of the wind farm, and landscaping treatments agreed between the parties shall be implemented and completed within 12 months of such an agreement. Should the parties not be able to reach agreement on the scope of landscaping treatments, then either party may refer the matter to the Secretary for resolution. The Secretary's decision on such a referral shall be final and binding on the parties.</p>	<p>RATCH RLA Project Schedule 2021212</p> <p>Quotation dated 09/09/22 from Regenerative Landscape Australia (RLA) re: undertaking regenerative works with inclusion of preliminary site visit, drone mapping, undertake site soil preparation, supply and install of 45x100mm tube stock in Pioneer plus 500mm guards, stakes, Rain Catch Mat, tree planting materials and 3-month establishment period.</p> <p>Collector Wind Farm DLP Preliminary Design v4 7 May 2019 prepared by RATCH.</p> <p>Development of Design & Landscape Plan dated 7/5/19 prepared by Green Bean Design occurred in coordination with DPE.</p> <p>COLWF off site landscape works prepared by Green Bean Design</p> <p>Example of works completed - RLA Quotation 2022#1079 Stage 8 RATCH</p> <p>RATCH RLA Project Schedule Stages 1 – 6 completed works (31 residents) performed by RLA</p>	<p>The DLP has been developed with consultation with the surrounding landowners.</p> <p>The landscape design was coordinated with the Department which was provided to Vestas / TransGrid as part of delivery contracts, for implementation on site.</p> <p>Green Bean Design provided a sample of completed off site landscape works that assist in minimising the visual impacts</p>	Compliant
B21	<p>Landscaping treatments to reduce the visual impact of the Project shall generally comprise of plantings of indigenous and locally occurring tree and shrub species.</p>	<p>RATCH RLA Project Schedule 2021212</p> <p>Quotation dated 09/09/22 from Regenerative Landscape Australia (RLA) re: undertaking regenerative works with inclusion of preliminary site visit, drone mapping, undertake site soil preparation, supply and install of 45x100mm tube stock in Pioneer plus 500mm guards, stakes, Rain Catch Mat, tree planting materials and 3-month establishment period.</p> <p>Collector Wind Farm DLP Preliminary Design v4 7 May 2019 prepared by RATCH.</p> <p>Development of Design & Landscape Plan dated 7/5/19 prepared by Green Bean Design occurred in coordination with DPE.</p> <p>COLWF off site landscape works prepared by Green Bean Design</p> <p>Example of works completed - RLA Quotation 2022#1079 Stage 8 RATCH</p> <p>RATCH RLA Project Schedule Stages 1 – 6 completed works (31 residents) performed by RLA</p>	<p>The Proponent prepared a design landscape plan that visually complement the surrounding environment.</p> <p>The landscape design was coordinated with the Department which was provided to Vestas / TransGrid as part of delivery contracts, for implementation on site.</p> <p>Green Bean Design provided a sample of completed off site landscape works that assist in minimising the visual impacts</p>	Compliant

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Turbine and Associated Infrastructure External Design				
B22	The Proponent shall maximise the use of building materials and treatments for associated infrastructure which visually complement the surrounding environment.	Collector Wind Farm DLP Preliminary Design v4 7 May 2019 prepared by RATCH. Development of Design & Landscape Plan dated 7/5/19 prepared by Green Bean Design occurred in coordination with DPE. COLWF off site landscape works prepared by Green Bean Design	The Proponent prepared a design landscape plan that visually complement the surrounding environment. The landscape design was coordinated with the Department which was provided to Vestas / TransGrid as part of delivery contracts, for implementation on site. Green Bean Design provided a sample of completed off site landscape works that assist in minimising the visual impacts	Compliant
B23	The turbines shall be painted off-white/grey. The blades shall be finished with a surface treatment that minimises any potential for glare or reflection. No advertising, signs or logos shall be mounted on the turbines, except where required for safety purposes.	Site inspection 15/11/22	It was observed during the site inspection that the turbines were painted off-white/grey. No advertising, signs or logos were mounted on the turbines.	Compliant
Shadow Flicker				
B24	Shadow flicker from the Project must not exceed 30 hours/annum at any residence not associated with the Project.	Shadow Flicker Assessment March 2012 prepared by RATCH. Site inspection 15/11/22	Assessment completed for EIS, which concluded 10 hours/year in the worst-case situation. No complaints were received relative to this condition	Compliant
Substations				
B25	The Proponent shall ensure that the substations and associated facility sites are designed and constructed to minimise visual intrusion to the nearest sensitive receptors as far as reasonable and feasible, including appropriate external finishes to minimise glare or reflection, landscape planting to screen views, and external lighting requirements in accordance with condition B26.	Site inspection 15/11/22	During site inspection, it was observed that the substation and associated site facilities were being maintained to meet this condition.	Compliant
Night Lighting				
B26	With the exception of aviation hazard lighting implemented in accordance with the requirements of this condition, no external lighting other than low intensity security night lighting is permitted on site unless otherwise agreed or directed by the Secretary or required by the Civil Aviation Safety Authority. Prior to the commencement of construction, the Proponent shall consult with the Civil Aviation Safety Authority on the need for aviation hazard lighting in relation to the wind turbines. If required, any aviation hazard lighting shall be implemented in a manner that minimises visual intrusion to surrounding non-associated receivers as far as reasonable and feasible.	Consultation with CASA undertaken, correspondence dated Oct 2018 (B26 - Collector Wind MOD 2 - CASA comments on EIS) Tall Structure/Vertical Obstacle Notification effective date Dec 2020 prepared by RATCH for COLWF Vertical Structure Notification Form Reporting for November 2020 Email dated 16/11/20 from RATCH to David Harley, Aeronautical Information Specialist-Spatial Data Airservices Australia re: updated data for Collector wind farm, incorporating accuracy levels and confidence levels. Email dated 2/11/20 from RATCH to David Harley, Aeronautical Information Specialist-Spatial Data Airservices	Tall Structure/Vertical Obstacle Notification was initiated to provide information to the Airservices relative to tall structure/obstacles in the interest of aviation safety. Vertical Structure Notification Form Reporting provided evidence that the height of wind turbines do not exceed 150 metres. This condition was satisfied during the design and construction phase of the Project.	Compliant

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		Australia re: Submission of data/specification relative to the wind turbine structure. Additional pre-construction correspondence <ul style="list-style-type: none"> • Aerial obstacles (6 consultation) • Correspondence - Consultation sent 09/05/19 with the residents (7 was viewed) was conducted relative to this condition. Pre-operations correspondence with relevant stakeholders <ul style="list-style-type: none"> • Pre-operations (folder) 		
Design and Landscape Plan				
B27	<p>A Design and Landscaping Plan shall be prepared to outline measures to ensure appropriate development and maintenance of landscaping on the site to achieve adequate landscape buffers and address the visual impacts arising from the Project, including turbines, site access roads and associated above ground infrastructure, as far as is reasonable and feasible. The Plan shall be prepared by a qualified landscape architect and be prepared in consultation with the Community Consultative Committee. The Plan shall include design treatments for the turbines and ancillary infrastructure, including, but not necessarily limited to:</p> <p>(a) the landscape screening measures at residences in close proximity to the Project site and along nearby roadsides to screen potential moderate to significant views of the Project, including an outline of additional measures available for landscaping treatments requested by owners of residential dwellings or businesses;</p> <p>(b) landscape elements and built elements, including proposed treatments, finishes and materials of exposed surfaces (including colour specifications);</p> <p>(c) lighting;</p> <p>(d) a schedule of species to be used in landscaping;</p> <p>(e) details of the timing and progressive implementation of landscape works; and</p> <p>(f) procedures and methods to monitor and maintain landscaped areas.</p> <p>The Plan shall be submitted for the approval of the Secretary prior to the commencement of construction, unless otherwise agreed by the Secretary. The Plan may be submitted in stages to suit the staged construction program of the Project.</p>	Site inspection 15/11/22 Collector Wind Farm DLP Preliminary Design v4 7 May 2019 prepared by RATCH. Development of Design & Landscape Plan occurred in coordination with DPE, which was provided to Vestas / TransGrid as part of delivery contracts, for implementation on site (specific relevance in Table 2, Section 3)	It was observed during the site inspection that the Design & Landscape Plan had been implemented and that procedures and methods to monitor and maintain landscaped areas were also being implemented.	Compliant
B28	Utilities, services and other infrastructure potentially affected by construction and operation shall be identified prior to construction to determine requirements for access to, diversion, protection, and/or support. Consultation with the relevant owner and/or provider of	Site inspection 15/11/22 Interview with auditee 13/12/22	The Project team reported that utilities, services and other infrastructure were managed and monitored accordingly.	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	services that are likely to be affected by the Project shall be undertaken to make suitable arrangements for access to, diversion, protection, and/or support of the affected infrastructure as required. The cost of any such arrangements shall be borne by the Proponent.			
WASTE MANAGEMENT				
B29	The Proponent shall not cause, permit or allow any waste generated outside the site to be received at the site for storage, treatment, processing, reprocessing, or disposal on the site, except as expressly permitted by a licence under the Protection of the Environment Operations Act 1997, if such a licence is required in relation to that waste.	<p>Interview with auditee13/12/22</p> <p>Site inspection completed on 15/11/22.</p> <p>Waste management is managed by Cleanaway.</p> <p>Waste Register is updated to October 2022.</p> <p>Email dated 04/08/22 from VESTAS to RAC Operation re: summary of waste.</p>	No waste materials are being brought to site.	Compliant
B30	The Proponent shall maximise the reuse and/or recycling of waste materials generated on site by the Project, to minimise the need for treatment or disposal of those materials outside the site.	<p>Interview with auditee13/12/22</p> <p>Site inspection completed on 15/11/22.</p> <p>Waste management is managed by Cleanaway.</p> <p>Waste Register is updated to October 2022.</p> <p>Email dated 04/08/22 from VESTAS to RAC Operation re: summary of waste. (RE_COLWF – NGER data.msg)</p>	<p>The Project team reported that there was a minimal waste production during the operation phase.</p> <p>No requirement of treatment outside the site.</p> <p>No complaint was recorded relative to this condition.</p>	Compliant
B31	The Proponent shall ensure that no green waste associated with the Project is burnt on site during the life of the Project.	<p>Interview with auditee13/12/22</p> <p>Site inspection completed on 15/11/22.</p> <p>Included in the WHSEMP and OEMP</p>	<p>The Project team reported that no green waste burning allowed on site and this is a requirement of the WHSEMP and OEMP.</p> <p>Site waste is managed by Cleanaway.</p>	Compliant
B32	The Proponent shall ensure that all liquid and/or non-liquid waste generated on the site by the Project is assessed and classified in accordance with Waste Classification Guidelines (DECC, 2008), or any future guideline that may supersede that document and were removed from the site is only directed to a waste management facility lawfully permitted to accept the materials.	<p>Site inspection completed on 15/11/22.</p> <p>2/07/2022 Liquid Waste Certificate Docket from N-100 waste Transport Certificate No, 2T01266514 dated 21/06/2022 by Cleanaway.</p> <p>Email dated 04/08/22 from VESTAS to RAC Operation re: summary of waste. (RE_COLWF – NGER data.msg)</p>	Evidence was provided that all liquid and/or non-liquid waste generated on the site were appropriately assessed and classified.	Compliant
PROPERTY IMPACTS				
B33	Crown land Prior to the commencement of construction of the Project, the Proponent shall consult with and comply with the requirements of the	<p>Interview with auditee13/12/22</p> <p>Site inspection completed on 15/11/22</p>	This condition was assessed during the project construction phase, and it complied with the	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	NSW Crown Lands Division in relation to any Crown land affected by the Project to enable the lawful use of that land by the Project.		requirements. Not applicable during the conduct of this audit period (Operation Phase).	
B34	<p>Trigonometric Reserves</p> <p>Disturbance to Trigonometric Reserves shall be avoided during the life of the Project, unless otherwise approved by the Surveyor General and the relevant licence under the Crown Lands Act 1989 is obtained by the Proponent.</p>	<p>Interview with auditee 13/12/22</p> <p>Site inspection completed on 15/11/22</p>	This condition was assessed during the project construction phase, and it complied with the requirements. Not applicable during the conduct of this audit period (Operation Phase).	Not triggered
B35	<p>Mineral Resources</p> <p>Prior to the commencement of relevant construction works, the Proponent shall consult with the Department of Planning (Division of Resources and Geoscience) and holders of mineral, mining and exploration titles or tenements, with respect to measures to be applied during construction and operation of the Project so as to minimise the potential for any sterilisation of resources on the tenement.</p>	<p>Interview with auditee 13/12/22</p> <p>Site inspection completed on 15/11/22</p>	This condition was assessed during the project construction phase, and it complied with the requirements. Not applicable during the conduct of this audit period (Operation Phase).	Not triggered
Part C: COMMUNITY INFORMATION, REPORTING AND AUDITING				
COMMUNITY INFORMATION, CONSULTATION AND INVOLVEMENT				
Community Consultative Committee				
C1	<p>The Proponent must operate a Community Consultative Committee for the project to the satisfaction of the Secretary, in accordance with the Community Consultative Committee Guidelines for State Significant Projects (2016), or its latest version.</p>	<p>https://www.collectorwindfarm.com.au/community-consultative-committee</p> <p>Minutes online – most recently on 9/2/23</p> <p>Community Charter_2012</p> <p>Terms of Reference – Community Consultative Committee (CCC) for COLWF dated MAY2020FINAL</p>	CCC continues to meet with most recent meeting held in February 2023.	Compliant
C2	<p>Prior to the commencement of construction of the project, the Proponent shall submit to the Secretary, details for a Community Enhancement Program.</p> <p>The Community Enhancement Program is to be managed by a legal entity such as an entity established in accordance with the Associations Incorporation Act 2009, the Cooperatives Act 1992, the Corporations Act 2001 or the Local Government Act 1993. The entity shall:</p> <p>(a) comprise representatives from the local community, Council and the Proponent; and</p> <p>(b) establish governance, administration and reporting procedures.</p> <p>The Community Enhancement Program shall not require any financial contribution from any recipient of the scheme, nor shall the program be conditional on the extent of government subsidies or rebates available for measures to be funded by the program.</p>	<p>Two enhancement programs have been established (s355 managed by ULSC & Collector Community Trust).</p> <p>https://www.collectorwindfarm.com.au/collector-wind-farm-s355-fund</p> <p>https://www.collectorwindfarm.com.au/collector-community-trust</p>	This requirement was previously complied with and not covered during this audit period.	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
Complaints and Enquiries Procedure				
C3	<p>Prior to the commencement of construction, or as otherwise agreed by the Secretary, the Proponent shall ensure that the following are available for community enquiries and complaints for the life of the Project (including construction and operation) or as otherwise agreed by the Secretary:</p> <p>(a) an attended 24-hour telephone number(s) on which complaints and enquiries about the Project may be registered;</p> <p>(b) a postal address to which written complaints and enquires may be sent;</p> <p>(c) an email address to which electronic complaints and enquiries may be transmitted; and (d) a complaints management and mediation system for complaints unable to be resolved.</p> <p>The telephone number, the postal and email addresses shall be published in the newspaper(s) circulating in the local area prior to the commencement of construction and prior to the commencement of operation. This information shall also be provided on the website (or dedicated pages) required by this Approval.</p>	<p>Compliance previously noted in Nov-2019 audit report. Website continues to be in operation that contains:</p> <p>(a) 24-hr telephone number 1800 280 013</p> <p>(b) postal address</p> <p>(c) email address</p> <p>(d) Contact page</p> <p>https://www.collectorwindfarm.com.au/contact</p> <p>Complaints and Enquiries Register as of November 2022</p>	<p>The Project team maintain the Complaints and Enquiries Register during the operation phase.</p> <p>Project website contains relevant telephone numbers, postal addresses, and email address.</p> <p>1800 # was tested after hours and was answered by a person</p>	Compliant
Community Information Plan				
C4	<p>Prior to the commencement of construction, the Proponent must prepare and implement a Community Information Plan which sets out the community communications and consultation processes to be undertaken during construction and operation of the project. The Plan must include but not be limited to:</p> <p>(a) procedures to inform the local community of planned investigations and construction activities; (b) procedures to inform the affected community of construction traffic routes and any potential disruptions to traffic flows and amenity impacts; and</p> <p>(c) procedures to consult with local landowners with regard to construction traffic to ensure the safety of livestock and to limit disruption to livestock movements; and</p> <p>(d) procedures to inform the community where work has been approved to be</p> <p>(e) undertaken outside the normal Construction hours, in particular noisy Activities.</p>	Interview with the auditee	Not relevant to this audit period (Operations phase). This was covered during construction phase.	Not triggered
C5	<p>Prior to the commencement of construction, or as otherwise agreed by the Secretary, the Proponent shall prepare and implement a Complaints Management System consistent with AS 4269: Complaints Handling and maintain the System for the life of the Project.</p> <p>Information on all complaints received, including the means by which they were addressed and whether resolution was reached, with or</p>	<p>Procedures available online through the Collector website.</p> <p>Complaints and enquiries register as of November 2022</p>	<p>To date, the Project team is maintaining a Complaints and Enquiries Register.</p> <p>The Project team presents continual monitoring of the complaints.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	without mediation, shall be maintained in complaints register and included in the compliance reports required by this Approval. The information contained within the System shall be made available to the Secretary on request.			
Provision of Electronic Information				
C6	<p>Prior to the commencement of construction, or as otherwise agreed by the Secretary, the Proponent shall establish and maintain a new website, or dedicated pages within an existing website, for the provision of electronic information associated with the Project, for the life of the Project. The Proponent shall, subject to confidentiality, publish and maintain up-to-date information on the website or dedicated pages including, but not necessarily limited to:</p> <p>(a) information on the current implementation status of the Project;</p> <p>(b) a copy of the documents referred to under condition A1 of this Approval, and any documentation supporting modifications to this Approval that may be granted;</p> <p>(c) a copy of this Approval and any future modification to this Approval;</p> <p>(d) a copy of each relevant environmental approval/approval, licence or permit required and obtained in relation to the Project;</p> <p>(e) a copy of each current strategy, plan, program, review, or other document required under this Approval;</p> <p>(f) minutes of meetings held by the Community Consultative Committee;</p> <p>(g) the outcomes of compliance tracking in accordance with condition C7 and the annual review in accordance with condition C10 of this Approval; and</p> <p>(h) details of the contact point(s) to which community complaints and inquiries may be directed, including a telephone number, postal and email addresses.</p>	<p>(a) https://www.collectorwindfarm.com.au/construction/#construction-progress</p> <p>https://www.collectorwindfarm.com.au/operations</p> <p>(b) https://www.collectorwindfarm.com.au/construction/#pre-construction-information</p> <p>(c) https://www.collectorwindfarm.com.au/planning</p> <p>(d) https://www.collectorwindfarm.com.au/construction/#pre-construction-information</p> <p>(e) https://www.collectorwindfarm.com.au/construction/#pre-construction-information</p> <p>(f) https://www.collectorwindfarm.com.au/community-consultative-committee</p> <p>(g) https://www.collectorwindfarm.com.au/construction/#pre-construction-information</p> <p>(h) https://www.collectorwindfarm.com.au/contact</p> <p>Weekly and Monthly Reports of Maintenance Report for 2022 October Report. Include:</p> <ul style="list-style-type: none"> Operational time and generation Incidents Maintenance performance Schedule Maintenance Weather conditions Corrective Maintenance 	The Project website contains all of the documents required under this condition.	Compliant
Revision of Strategies, Plans, and Programs				
C6A	<p>Within 3 months of:</p> <p>(a) the submission of an incident report under condition C8 below;</p> <p>(b) the submission of an audit under condition C10 below; or</p>	<p>Interview with the auditee 13/12/22</p> <p>COLWF Incident Register</p>	There have been no incidents reported during the audit period and this is the first operational phase audit following which the operational management plans will need to be reviewed in accordance with this condition.	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	(c) any modification to the conditions of this approval (unless the conditions require otherwise), the Proponent shall review and, if necessary, revise the strategies, plans, and programs required under this approval to the satisfaction of the Secretary. Where this review leads to revisions in any such document, then within 4 weeks of the review, unless the Secretary agrees otherwise, the revised document must be submitted to the Secretary for approval.			
COMPLIANCE REPORTING AND NOTIFICATIONS				
Compliance Reporting				
C7	The Proponent must submit a compliance report for the project in accordance with the Compliance Reporting Post Approval Requirements (Department of Planning and Environment, 2018) prior to: (a) the commencement of construction; (b) the commencement of operations; and (c) prior to decommissioning	Pre-Construction Compliance Report dated 24/05/19 prepared by Arcadis considered compliant in the Nov-2019 audit report. Collector Wind Farm Pre-Operation Compliance Report_Rev4_FINAL dated 14/05/21 prepared by Arcadis, COLWF-2021-05-11-LTR-DPE CWF Condition A8D, Communication regarding Notification of Operations commencement 2021 MP10_0156-PA-15 Email dated 13/05/21 from DPE re: Communication regarding notification of operations commencement 2021.	The Pre-Operation Compliance report was prepared, submitted and posted in the Project website demonstrating compliance with this requirement.	Compliant
Incident Notification				
C8	The Department must be notified in writing to compliance@planning.nsw.gov.au immediately after the Proponent becomes aware of the incident. The notification must identify the project, including the project application number and the name of the project, and set out the location and nature of the incident.	Interview with the auditee 13/12/22 COLWF Incident Register	The project team indicated that no incidents were reported/recorded during this audit period.	Not triggered
Non-Compliance Notification				
C9	The Department must be notified in writing to compliance@planning.nsw.gov.au within 7 days after the Proponent becomes aware of any non-compliance with the conditions of this approval. The notification must identify the project and the application number for it set out the condition of approval that the project is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been done, or will be undertaken, to address the non-compliance.	Site inspection 16/11/22 Interview with the auditee 13/12/22	No non-compliances were raised during this audit period. Note: Non-compliances raised during this audit will need to be notified to the Department in accordance with this condition.	Not triggered
INDEPENDENT ENVIRONMENTAL AUDIT				
C10	Within 6 months of the commencement of construction, and every 3 years thereafter, unless the Secretary directs otherwise, the Proponent must commission and pay the full cost of an Independent Environmental Audit of the project. This audit must:	Letter dated 8/11/22 from DPE to RATCH re; Independent Audit 2022 - Audit team approval.	The site inspection for this audit was undertaken within 3 years of the previous 2019 audit site inspection in accordance with this condition.	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>(a) be prepared in accordance with the relevant Independent Audit Post Approval Requirements (DPE 2018, or its latest version);</p> <p>(b) be conducted by a suitably qualified, experienced, and independent team of experts whose appointment has been endorsed by the Secretary;</p> <p>(c) be carried out in consultation with the relevant agencies;</p> <p>(d) assess whether the project complies with the relevant requirements in this approval, and any strategy, plan or program required under this approval; and</p> <p>(e) recommend appropriate measures or actions to improve the environmental performance of the project and any strategy, plan, or program required under this approval.</p> <p>Within 3 months of commencing an audit, or unless otherwise agreed by the Secretary, a copy of the audit report must be submitted to the Secretary, and any other NSW agency that requests it, together with a response to any recommendations contained in the audit report, and a timetable for the implementation of the recommendations.</p> <p>The recommendations must be implemented to the satisfaction of the Secretary.</p>	<p>Email dated 13/10/22 from WP to DPE re: MP10_0156 Collector Windfarm Independent Audit IA1 -Operations – Consultation</p> <p>Email dated 17/11/22 from WP to DPE re: Follow-up on the consultation.</p> <p>Previous audit report:</p> <p>Independent Environmental Audit Report – Collector Wind Farm MP_0156 dated 20/01/20 prepared by J2M Systems</p> <p>Letter from DPE to RATCH dated 3/3/23 providing extension to submission date for final audit report to 15/4/23</p>	<p>This audit covers the operation phase of the Project and is prepared in accordance with the following:</p> <p>a) In accordance with the Independent Audit Guideline Post Approval Requirements (IAPAR) 2020.</p> <p>b) Conducted by a suitably qualified, experienced and independent team of experts – WolfPeak and approved by the Department (see Appendix B)</p> <p>c) Carried out in consultation with relevant agencies (see Appendix C)</p> <p>d) Conducted assessment whether the project complies with the relevant requirements in this approval.</p> <p>e) Provide recommendation for appropriate measures to improve environmental performance of the Project.</p> <p>An extension of time for submission of the final audit report to 15 April 2023 was provided by the Department</p>	
Part D: CONSTRUCTION ENVIRONMENTAL MANAGEMENT				
DUST GENERATION				
D1	<p>The Proponent shall construct and operate the Project in a manner that minimises dust generation from the site, including wind-blown and traffic-generated dust as far as practicable. All Project related activities on the site shall be undertaken with the objective of preventing visible emissions of dust from the site. Should visibly dust emissions attributable to the Project occur during construction and operation, the Proponent shall identify and implement all practicable dust mitigation measures, including cessation of relevant works, as appropriate, such that emissions of visible dust cease.</p>	<p>Construction Soil & Water Quality Management Plan (CSWQMP)</p> <p>Operation Environmental Management Plan (OEMP) Rev 2 dated 17/02/21 prepared by COLWF.</p> <p>Pollution Incident Response Management Plan (PIRMP) Rev 1.3 dated 27/05/22 prepared by COLWF.</p> <p>Site inspection 15/11/22</p>	<p>CSWQMP Section 7.2 and Section 8 include dust mitigation measures.</p> <p>OEMP Section 5.3.4 includes the dust emission and air quality.</p> <p>PIRMP Section 7.0 Management Protocol and Section 7.1 Environmental Impact & Hazard Register include mitigation measures for excessive dust emission.</p> <p>It was observed during the site inspection that there was no dust emission, and the nature of operational works is unlikely to generate visible dust emissions.</p>	Compliant
HERITAGE				
D2	<p>This approval does not allow the Proponent to destroy, modify or otherwise physically affect human remains as part of the project.</p>	<p>Operation Environmental Management Plan (OEMP) Rev 2 dated 17/02/21 prepared by COLWF.</p> <p>Construction Heritage Management Plan approved by NSW DPE letter dated 10/09/2019.</p>	<p>OEMP Section 5.3.9 covers the heritage component of the Project.</p> <p>The operation team reported that as of the date of this audit, there have been no unexpected or unknown findings, and as a result, this condition is not triggered.</p>	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
D3	<p>In undertaking the Project, impacts to heritage, shall to the greatest extent practicable, be avoided and minimised. In particular the Proponent shall: (a) clearly identify and avoid the following sites described in the EA:</p> <ul style="list-style-type: none"> i. Survey Unit 29, Locale 1; ii. Survey Unit 37, Locale 1; iii. Survey Unit 37, Locale 2; iv. Survey Unit 42, Locale 1; v. Survey Unit 45, Locale 1; vi. Survey Unit 1, Locale 1; and vii. Survey Unit 54, Locale 1; <p>and include methods for restricting access to these sites as part of the Construction Heritage Management Plan required by condition D25(e); and</p> <p>(b) Where the Project impacts on other heritage items, assessed in the EA as being unavoidable, works shall be undertaken in accordance with the strategy outlined in the Construction Heritage Management Plan required by condition D25(e)</p>	<p>Operation Environmental Management Plan (OEMP) Rev 2 dated 17/02/21 prepared by COLWF.</p> <p>Construction Heritage Management Plan approved by NSW DPE letter dated 10/09/2019.</p>	<p>OEMP Section 5.3.9 covers the heritage component of the Project.</p> <p>The Project team reported that as of the date of this audit, there have been no unexpected or unknown findings, and as a result, this condition is not triggered.</p> <p>This requirement was previously complied by the Project team and no further works are anticipated to occur that will pose a risk to culturally significant locations.</p>	Not triggered
D4	<p>If during the course of construction, the Proponent becomes aware of any previously unidentified Aboriginal object(s), all work likely to affect the object(s) shall cease immediately and the OEH informed in accordance with section 89A of the National Parks and Wildlife Act 1974. Relevant works shall not recommence until written authorisation from the Secretary advising otherwise is received by the Proponent.</p>	<p>Operation Environmental Management Plan (OEMP) Rev 2 dated 17/02/21 prepared by COLWF.</p> <p>Construction Heritage Management Plan approved by NSW DPE letter dated 10/09/2019.</p> <p>Interview with auditee 13/12/22</p>	<p>The Project team reported that there were no unidentified Aboriginal objects found during construction and operations to date.</p>	Not triggered
D5	<p>If during the course of construction, the Proponent becomes aware of any previously unidentified heritage object(s), all work likely to affect the object(s) shall cease immediately and the Heritage Branch of OEH shall be notified immediately in accordance with section 146 of the NSW Heritage Act 1977. Relevant works shall not recommence until written authorisation from the Secretary advising otherwise is received by the Proponent.</p>	<p>Operation Environmental Management Plan (OEMP) Rev 2 dated 17/02/21 prepared by COLWF.</p> <p>Construction Heritage Management Plan approved by NSW DPE letter dated 10/09/2019.</p> <p>Interview with auditee 13/12/22</p>	<p>The Project team reported that there were no unidentified Aboriginal objects found during construction and operations to date.</p>	Not triggered
NOISE AND VIBRATION				
Construction Hours				
D6	<p>Unless the Secretary agrees otherwise, construction activities associated with the Project shall be undertaken during the following standard construction hours:</p> <ul style="list-style-type: none"> (a) 7:00am to 6:00pm Mondays to Fridays, inclusive; and (b) 8:00am to 1:00pm Saturdays; and 	<p>Interview with auditee 13/12/22</p> <p>Not relevant to the operations phase.</p>	<p>This requirement is not applicable to this audit period – Operation Phase.</p>	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	(c) at no time on Sundays or public holidays.			
D7	<p>The following construction activities may be undertaken outside these hours without the approval of the Secretary:</p> <p>(a) activities that are inaudible at non-associated residences;</p> <p>(b) activities where the Proponent has an agreement with the relevant owner/s of any impacted non-associated residences;</p> <p>(c) the delivery of materials requested by the NSW Police Force or other authorities for safety reasons; or</p> <p>(d) emergency work to avoid the loss of life, property and/or material harm to the environment.</p>	<p>Interview with auditee 13/12/22</p> <p>Not relevant to the operations phase.</p>	<p>This requirement is not applicable to this audit period – Operation Phase.</p>	Not triggered
D8	<p>Except as expressly permitted by the EPL, any activities resulting in impulsive or tonal noise emission (such as rock breaking, rock hammering, pile driving) shall only be undertaken:</p> <p>(a) between the hours of 8:00 am to 5:00 pm Mondays to Fridays;</p> <p>(b) between the hours of 8:00 am to 1:00 pm Saturdays;</p> <p>(c) at no time on Sundays or public holidays; and (d) in continuous blocks not exceeding three hours each with a minimum respite from those activities and works of not less than one hour between each block. For the purposes of this condition, 'continuous' includes any period during which there is less than a one-hour respite between ceasing and recommencing any of the work the subject of this condition.</p>	<p>Interview with auditee 13/12/22</p> <p>Not relevant to the operations phase.</p>	<p>This requirement is not applicable to this audit period – Operation Phase.</p>	Not triggered
D9	<p>Except as expressly permitted by the EPL, blasting operations shall only be undertaken during the following standard construction hours:</p> <p>(a) 9:00am to 5:00pm Mondays to Fridays, inclusive; and</p> <p>(b) 9:00am to 1:00pm Saturdays; and</p> <p>(c) at no time on Sundays or public holidays. Where compelling safety reasons exist, the EPA may permit a blast to occur outside the abovementioned hours. Prior written notification of any such blast must be made to the EPA.</p>	<p>Interview with auditee 13/12/22</p> <p>Not relevant to the operation phase.</p>	<p>This requirement is not applicable to this audit period – Operation Phase.</p>	Not triggered
Construction Noise and Vibration				
D10	<p>The Project shall be constructed with the aim of achieving the construction noise management levels detailed in the Interim Construction Noise Guideline (Department of Environment and Climate Change, 2009). All reasonable and feasible noise mitigation measures shall be implemented and any activities that could exceed the construction noise management levels shall be identified and managed in accordance with the Construction Noise and Vibration Management Plan required under condition PART AB35(b).</p>	<p>Interview with auditee 13/12/22</p> <p>Not relevant to the operation phase.</p>	<p>This requirement is not applicable to this audit period – Operation Phase.</p>	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status												
D11	The Project shall be constructed with the aim of achieving the following construction vibration goals: (a) for structural damage, the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration - effects of vibration on structures; and (b) for human exposure, the acceptable vibration values set out in the Environmental Noise Management Assessing Vibration: A Technical Guideline (Department of Environment and Conservation, 2006).	Interview with auditee 13/12/22 Not relevant to the operation phase.	This requirement is not applicable to this audit period – operation phase.	Not triggered												
D12	Air blast overpressure generated by blasting associated with the Project shall not exceed the criteria specified in Table 2 when measured at the most affected residence or another sensitive receiver. <table border="1"> <caption>Table 2 - Airblast overpressure criteria</caption> <thead> <tr> <th>Airblast overpressure (dB(Lin Peak))</th> <th>Allowable exceedance</th> </tr> </thead> <tbody> <tr> <td>115</td> <td>5% of total number of blasts over a 12 month period</td> </tr> <tr> <td>120</td> <td>0%</td> </tr> </tbody> </table>	Airblast overpressure (dB(Lin Peak))	Allowable exceedance	115	5% of total number of blasts over a 12 month period	120	0%	Interview with auditee 13/12/22 Not relevant to the operation phase.	This requirement is not applicable to this audit period – operation phase.	Not triggered						
Airblast overpressure (dB(Lin Peak))	Allowable exceedance															
115	5% of total number of blasts over a 12 month period															
120	0%															
D13	Ground vibration generated by blasting associated with the Project shall not exceed the criteria specified in Table 3 when measured at the most affected residence or another sensitive receiver. <table border="1"> <caption>Table 3 – Peak particle velocity criteria</caption> <thead> <tr> <th>Receiver</th> <th>Peak particle velocity (mm/s)</th> <th>Allowable exceedance</th> </tr> </thead> <tbody> <tr> <td>Residence on privately owned land</td> <td>5</td> <td>5% of total number of blasts over a 12 month period</td> </tr> <tr> <td></td> <td>10</td> <td>0%</td> </tr> <tr> <td>Historic heritage item</td> <td>3</td> <td>0%</td> </tr> </tbody> </table>	Receiver	Peak particle velocity (mm/s)	Allowable exceedance	Residence on privately owned land	5	5% of total number of blasts over a 12 month period		10	0%	Historic heritage item	3	0%	Interview with auditee 13/12/22 Not relevant to the operation phase.	This requirement is not applicable to this audit period – Operation Phase.	Not triggered
Receiver	Peak particle velocity (mm/s)	Allowable exceedance														
Residence on privately owned land	5	5% of total number of blasts over a 12 month period														
	10	0%														
Historic heritage item	3	0%														
D14	Wherever practical, piling activities shall be undertaken using quieter alternative methods than impact or percussion piling, such as bored piles or vibrated piles.	Interview with auditee 13/12/22 Not relevant to the operation phase.	This requirement is not applicable to this audit period – Operation Phase.	Not triggered												
PROPERTY IMPACTS																
D15	Access to property shall be maintained during construction unless otherwise agreed in advance with the affected property owner. Access that is physically affected by the Project shall be reinstated by the Proponent to at least an equivalent standard, in consultation with the affected property owner.	220914 Collector Wind Farm EPC Defects Register Interview with the auditee 13/12/22 Site inspection 15/11/22	Collector Wind Farm EPC Defects Register provided. The Project team reported that no issues have been communicated by the landowners within the Operations Phase. It was observed during the conduct of site inspection that access to private properties was properly maintained with no obstructions.	Compliant												
D16	Any damage caused to property as a result of the Project shall be rectified or the property owner compensated, within a reasonable timeframe, with the costs borne by the Proponent.	220914 Collector Wind Farm EPC Defects Register Interview with the auditee 13/12/22 Site inspection 15/11/22	The Project team reported that minor issues have been identified following recent rain. These are listed on the EPC defects register and will be resolved at no cost to the property owner.	Compliant												
SOIL, WATER QUALITY AND HYDROLOGY																
Construction Soil and Water Management																

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
D17	Soil and water management measures consistent with Managing Urban Stormwater - Soils and Construction Volumes 1 and 2, 4th Edition (Landcom, 2004), or its latest version shall be employed during the construction of the Project to minimise soil erosion and the discharge of sediment and other pollutants to land and/or waters.	Construction Soil and Water Quality Management Plan (CSWQMP) approved by NSW DPE 10/09/2019 – during construction phase. Operation Environmental Management Plan (OEMP) Rev 2 dated 17/02/21 prepared by COLWF	Section 5.3.8 of the OEMP addresses soil and water management control measures. This is primarily a construction phase requirement	Not triggered
D18	Where available, and of appropriate chemical and biological quality, stormwater, recycled water or other water sources shall be used in preference to potable water for construction activities, including concrete mixing and dust control.	Site inspection 15/11/22	No works relative to this condition were observed during the conduct of site inspection. This condition is not applicable to this audit period – Operation Phase.	Not triggered
D19	Construction activities within 40 metres of any watercourses, shall be consistent with the Controlled Activity Guidelines (NSW Office of Water, 2012) including, but not limited to, 'In-stream Works', 'Outlet Structures', 'Riparian Corridors', 'Vegetation Management Plans', and 'Watercourse Crossings', or any guidelines which supersede these documents.	Site inspection 15/11/22	No works relative to this condition was observed during the conduct of site inspection. There are no water courses on site that trigger this condition. This condition is not applicable to this audit period – Operation Phase.	Not triggered
TRANSPORT AND ACCESS				
Designated Heavy and Over-Dimensional Vehicle Routes				
D20	The Proponent shall ensure that all: (a) over-dimensional vehicle access to and from the site is via the Hume Highway and Lerida Road South; (b) other heavy vehicle access to the site is via the Hume Highway turning left onto Lerida Road South; and (c) other heavy vehicle egress from Lerida Road South does not turn right onto the Hume Highway, unless the Secretary agrees otherwise.	Collector Wind Farm Site Induction prepared by Vestas. Operation Environmental Management Plan (OEMP) Rev 2 dated 17/02/21 prepared by COLWF.	COLWF Site Induction (page 4) presents access/departure onto Hume Highway. Also provided maps/access to site and disseminated/issued to concerned service and delivery provider. OEMP addressed the Operational Traffic under Section 5.3.5. All heavy vehicle access to the site will be via the Hume Highway turning left into Lerida Road South. All heavy vehicle egress from the site will be from Lerida Road South turning left onto Hume Highway.	Compliant
Road Upgrades				
D20A	The Proponent must: (a) implement the road upgrades identified in Attachment 3 in accordance with the relevant timing requirements; and (b) upgrade or relocate cattle grids along the designated over-dimensional and heavy vehicle route, as necessary, prior to the use of Lerida Road South for any over dimensional or heavy vehicle traffic associated with the construction of the development, to the standard and satisfaction of Council.	Letter dated 30/06/20 Ref No. F16/276 from Upper Lachlan Shire Council (ULSC) re: COLWF Lerida Road South upgrade. Email dated 28/10/21 from Rex Cover, Project Manager Symal Infrastructure re: Report of items that had been completed relative to the defects. Email dated 25/06/21 from ULSC to Rex Cover re: Outgoing response 25 June2021/ Our Ref: F16/276: Outcome of Council Site Inspection on Collector Wind Farm - Lerida Road South Delap	Letter dated 30/06/20 from the ULSC provided satisfaction relative to the civil works completed on Lerida Road. Previous Project Manager provided communication and reporting to the ULSC relative to the repair and defects that has been completed. Email dated 25/06/21 from ULSC presents satisfaction that all defects reported in the dilapidation report, Council inspection report will be rectified within the defect liability period at no cost to Council.	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	If there is a dispute about the road upgrades to be implemented, or the implementation of these upgrades, then either party may refer the matter to the Secretary for resolution.	Email dated 18/05/21 from ULSC to Symal re: Informing the project manager with the completed site inspection conducted by ULSC on Lerida Road and to further validate the Dilapidation Report.	This is primarily a construction phase requirement	
Road Maintenance				
D20B	<p>The Proponent must:</p> <p>(a) prepare a baseline survey of Lerida Road South (using a method agreed to by Council) upon completion of the road upgrades required by Condition D20A;</p> <p>(b) prepare a post-dilapidation survey of Lerida Road South within 1 month of the completion of construction works;</p> <p>(c) rehabilitate and/or make good any project-related damage:</p> <ul style="list-style-type: none"> i. identified during the carrying out of the relevant construction works if it could endanger road safety, as soon as possible after the damage is identified, but within 7 days at the latest; and ii. identified during any dilapidation survey carried out following the completion of the relevant construction works within 2 months of the completion of the survey, unless Council agrees otherwise, <p>to the satisfaction of Council.</p> <p>If the construction of the project is to be staged, the obligations in this condition apply to each stage of construction.</p> <p>If there is a dispute about the scope of any remedial works or the implementation of the works, then either party may refer the matter to the Secretary for resolution.</p>	<p>Letter dated 30/06/20 Ref No. F16/276 from Upper Lachlan Shire Council (ULSC) re: COLWF Lerida Road South upgrade.</p> <p>Email dated 28/10/21 from Rex Cover, Project Manager Symal Infrastructure re: Report of items that had been completed relative to the defects.</p> <p>Email dated 25/06/21 from ULSC to Rex Cover re: Outgoing response 25 June 2021/ Our Ref: F16/276: Outcome of Council Site Inspection on Collector Wind Farm - Lerida Road South Delap</p> <p>Email dated 18/05/21 from ULSC to Symal re: Informing the project manager with the completed site inspection conducted by ULSC on Lerida Road and to further validate the Delap Report.</p>	<p>Letter dated 30/06/20 from the ULSC provided satisfaction relative to the civil works completed on Lerida Road.</p> <p>Previous Project Manager provided communication and reporting to the ULSC relative to the repair and defects that has been completed.</p> <p>Email dated 25/06/21 from ULSC presents satisfaction that all defects reported in the dilapidation report, Council inspection report will be rectified within the defect liability period at no cost to Council.</p> <p>This is primarily a construction phase requirement</p>	Not triggered
ANCILLARY FACILITIES				
D21	<p>Unless otherwise approved by the Secretary, the location of ancillary facilities associated with the construction of the Project shall:</p> <p>(a) be located more than 50 metres from a waterway;</p> <p>(b) be located within or adjacent to the Project;</p> <p>(c) have ready access to the road network;</p> <p>(d) be located to minimise the need for heavy vehicles to travel through residential areas;</p> <p>(e) be sited on relatively level land;</p> <p>(f) be separated from nearest residences by at least 200 metres (or at least 300 metres for a temporary batching plant);</p>		This condition is not applicable to this audit period – Operation Phase.	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>(g) not require vegetation clearing beyond that already required by the Project;</p> <p>(h) not impact on heritage sites (including areas of archaeological sensitivity) beyond those already approved to be impacted by the Project;</p> <p>(i) not unreasonably affect the land use of adjacent properties;</p> <p>(j) be above the 20-year ARI flood level unless a contingency plan to manage flooding is prepared and implemented; and</p> <p>(k) provide sufficient area for the storage of raw materials to minimise, to the greatest extent practical, the number of deliveries required outside standard construction hours.</p> <p>The location of the ancillary facilities shall be identified in the Construction Compound and Ancillary Facilities Management Plan required under condition D25(a) and include consideration of the above criteria. Where any of the above criteria cannot be met for any proposed ancillary facility, the Proponent shall demonstrate to the satisfaction of the Secretary that there will be no significant adverse impact from the ancillary facility's construction or operation. Such assessment(s) can be submitted separately or as part of the Construction Environmental Management Plan.</p>			
D22	All construction ancillary facility sites shall be rehabilitated to at least their pre-construction condition, unless otherwise agreed by the affected landowner.	Site inspection 15/11/22	It was observed during the site inspection that a portion of an ancillary facility was rehabilitated and has been established as construction office/laydown area which was adjacent to the permanent O&M facility.	Compliant
D23	Deleted			
CONSTRUCTION ENVIRONMENTAL MANAGEMENT PLAN				
D24	<p>Prior to the commencement of construction including haulage of construction materials for improving road access, or as otherwise agreed by the Secretary, the Proponent shall prepare and implement (following approval) a Construction Environmental Management Plan for the Project. The Plan shall outline the environmental management practices and procedures that are to be followed during construction and shall be prepared in consultation with the relevant agencies (including Upper Lachlan Shire Council) and in accordance with the Guideline for the Preparation of Environmental Management Plans (Department of Infrastructure, Planning and Natural Resources, 2004). The Plan shall include, but not necessarily be limited to:</p> <p>(a) a description of activities to be undertaken during construction of the Project (including staging and scheduling);</p> <p>(b) statutory and other obligations the Proponent is required to fulfil during construction, including approval/approvals, consultations and</p>		This condition is not applicable to this audit period – Operation Phase.	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>agreements required from authorities and other stakeholders under key legislation and policies;</p> <p>(c) a description of the roles and responsibilities for relevant employees involved in the construction of the Project, including relevant training and induction provisions for ensuring that employees, including contractors and sub-contractors are aware of their environmental and compliance obligations under these conditions of Approval</p> <p>(d) an environmental risk analysis to identify the key environmental performance issues associated with the construction phase; and</p> <p>(e) details of how environmental performance will be managed and monitored to meet acceptable outcomes, including what actions will be taken to address identified potential adverse environmental impacts (including any impacts arising from the staging of the construction of the Project). In particular, the following environmental performance issues shall be addressed in the Plan:</p> <ul style="list-style-type: none"> i. compounds and ancillary facilities management; ii. noise and vibration; iii. traffic and access; iv. soil and water quality and spoil management; v. air quality and dust management; vi. management of Aboriginal and historic heritage; vii. soil contamination, hazardous material and waste management; viii. management of ecological impacts; and ix. hazard and risk management, including bushfire risk. <p>The Plan shall be submitted for the approval of the Secretary no later than one month prior to the commencement of construction, or as otherwise agreed by the Secretary. The Plan may be prepared in stages; however, construction works shall not commence until written approval has been received from the Secretary.</p>			
D25	<p>As part of the Construction Environmental Management Plan for the Project required under condition D24 the Proponent shall prepare and implement a: (a) Construction Compound and Ancillary Facilities Management Plan to detail the management of site compounds associated with the Project. The Plan shall include but not necessarily be limited to:</p> <ul style="list-style-type: none"> i. a description of the facility, its components and the surrounding environment; ii. details of the activities to be carried out at each facility, including the hours of use and the storage of dangerous and hazardous goods; 		This condition is not applicable to this audit period – Operation Phase.	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>iii. details of the mitigation and management procedures specific to the facility that would be implemented to minimise environmental, and amenity impacts and an assessment of the adequacy of the mitigation or offsetting measures; iv. identification of the timing for the completion of activities at the facility and how the site will be decommissioned (including any necessary rehabilitation); and</p> <p>v. mechanisms for the monitoring, review and amendment of this Plan.</p> <p>(b) Construction Noise and Vibration Management Plan to detail how construction noise and vibration impacts will be minimised and managed. The Plan shall be consistent with the guidelines contained in the Interim Construction Noise Guidelines (DECC, 2009) and shall include, but not be limited to:</p> <p>i. identification of sensitive receivers and relevant construction noise and vibration goals applicable to the Project stipulated in this Approval;</p> <p>ii. details of construction activities and an indicative schedule for construction works; including the identification of key noise and/or vibration generating construction activities (based on representative construction scenarios, including at ancillary facilities) that have the potential to generate noise and/or vibration impacts on surrounding sensitive receivers;</p> <p>iii. identification of reasonable and feasible measures proposed to be implemented to minimise and manage construction noise and vibration impacts (including construction traffic noise impacts);</p> <p>iv. procedures and mitigation measures to ensure relevant vibration and blasting criteria are achieved, including a suitable blast management program, applicable buffer distances for vibration intensive works, use of low vibration generating equipment/ vibration dampeners or alternative construction methodology, and pre- and post- construction dilapidation surveys of sensitive structures where blasting and/ or vibration is likely to result in damage to buildings and structures (including surveys being undertaken immediately following a monitored exceedance of the criteria); v. a description of how the effectiveness of these actions and measures would be monitored during the proposed works, clearly indicating how often this monitoring would be conducted, the locations where monitoring would take place, how the results of this monitoring would be recorded and reported, and, if any exceedance is detected, how any non-compliance would be rectified;</p> <p>vii. mechanisms for the monitoring, review and amendment of this plan.</p>			

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>(c) Construction Traffic Management Plan for the project. The plan must be developed in consultation with the relevant road authority and must:</p> <ul style="list-style-type: none"> i. detail the measures that would be implemented to: <ul style="list-style-type: none"> a) minimise the traffic safety impacts of the project and disruption to local road users during construction of the project, including: <ul style="list-style-type: none"> i. temporary traffic controls, including detours and signage; ii. notifying the local community about project-related traffic impacts; iii. minimising potential conflict between project-related traffic and school buses, in consultation with local schools; iv. ensuring construction traffic is via the approved transport routes; v. implementing measures to minimise development-related traffic on the public road network outside of standard construction hours; vi. implementing measures to minimise dirt tracked onto the public road network from project- related traffic; vii. ensuring vehicles loaded with loose materials entering or leaving the site have their loads covered or contained; viii. providing sufficient parking on site for all project-related traffic; ix. responding to any emergency repair requirements or maintenance during construction; and x. a traffic management system for managing over-dimensional vehicles; and b) comply with the traffic conditions in this approval; <ul style="list-style-type: none"> ii. include a driver's code of conduct that addresses: a) travelling speeds; b) procedures to ensure that drivers adhere to the approved transport routes including ensuring that no construction traffic accesses the site via Lerida Road South from a southerly direction, Collector Road and Marked Tree Road; and c) procedures to ensure that drivers implement safe driving practices; and iii. include a detailed program to monitor and report on the effectiveness of these measures and the code of conduct. <p>(d) Construction Soil and Water Quality Management Plan to manage surface and groundwater impacts during construction of the Project. The plan shall be developed in consultation with DoI – L&W and include, but not necessarily be limited to:</p> <ul style="list-style-type: none"> i. details of construction activities and their locations, which have the potential to impact on water courses, storage facilities, stormwater flows, and groundwater; 			

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>ii. surface water and ground water impact assessment criteria consistent with Australian and New Zealand Environment Conservation Council (ANZECC) guidelines;</p> <p>iii. management measures to be used to minimise surface and groundwater impacts, including details of how spoil and fill material required by the Project will be sourced, handled, stockpiled, reused and managed; erosion and sediment control measures; and the consideration of flood events;</p> <p>iv. management measures for contaminated material and a contingency plan to be implemented in the case of unanticipated discovery of contaminated material during construction;</p> <p>v. a description of how the effectiveness of these actions and measures would be monitored during the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, how the results of the monitoring would be recorded and reported, and, if any exceedance of the criteria is detected how any non-compliance can be rectified; and vi. mechanisms for the monitoring, review and amendment of this Plan.</p> <p>(e) Construction Heritage Management Plan to detail how construction impacts on Aboriginal and Historic heritage will be minimised and managed. The sub-plan shall be developed in consultation with the OEH and registered Aboriginal stakeholders (for Aboriginal heritage), and include, but not necessarily be limited to i. In relation to Aboriginal Heritage: a) details of further investigation and identification of Aboriginal cultural heritage sites within the Project area; b) details of management measures to be carried out in relation to Aboriginal heritage, including a detailed methodology and strategies for protection, monitoring, salvage (including long term care e.g. care and control permit if applicable), and conservation, of sites and items associated with the Project; c) procedures for dealing with previously unidentified Aboriginal objects (excluding human remains) including cessation of works in the vicinity, assessment of the significance of the item(s) and determination of appropriate mitigation measures including when works can recommence by a suitably qualified archaeologist in consultation with the Department, OEH and registered Aboriginal stakeholders and assessment of the consistency of any new Aboriginal heritage impacts against the approved impacts of the Project, and registering of the new site in the OEH's Aboriginal Heritage Information Management System (AHIMS) register; d) procedures for dealing with human remains, including cessation of works in the vicinity and notification of the Department, NSW Police Force, OEH and registered Aboriginal stakeholders and not recommencing any works in the area unless authorised by the OEH and/ or the NSW Police Force; e) heritage training and induction processes for construction personnel (including procedures for keeping records of inductions) and obligations under the conditions of this Approval and National Parks and Wildlife Act 1974 (where relevant) including site identification,</p>			

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>protection and conservation of Aboriginal cultural heritage; and f) procedures for ongoing Aboriginal consultation and involvement for the duration of the Project, which includes a communication protocol and the identification of the roles and responsibilities for both parties; and ii. In relation to Historic Heritage: a) identification of heritage items directly and indirectly affected by the Project; b) details of management measures to be implemented to prevent and minimise impacts on heritage items (including further heritage investigations, archival recordings and/ or measures to protect unaffected sites during construction works in the vicinity); c) procedures for dealing with previously unidentified heritage objects, (including cessation of works in the vicinity, assessment of the significance of the item(s) and determination of appropriate mitigation measures including when works can re-commence by a suitably qualified and experienced archaeologist in consultation with the Heritage Branch of OEH and the Department, and assessment of the consistency of any new heritage impacts against the approved impacts of the Project; and d) heritage training and induction processes for construction personnel (including procedures for keeping records of inductions and obligations under the Heritage Act 1977) including site identification, protection and conservation of non-Aboriginal cultural heritage; and iii. mechanisms for the monitoring, review and amendment of this plan.</p> <p>(f) Construction Flora and Fauna Management Plan to detail how construction impacts on ecology will be minimised and managed. The Plan shall be developed in consultation with the OEH and shall include, but not necessarily be limited to i. plans for impacted and adjoining areas showing vegetation communities; important flora and fauna habitat areas; locations where threatened species, populations or ecological communities have been recorded; including preclearing surveys to confirm the location of threatened flora and fauna species and associated habitat features; ii. the identification of areas to be cleared or considered to be temporarily impacted and details of management measures (such as fencing, clearing procedures, removal and relocation of fauna during clearing, habitat tree management and construction worker education) to avoid any residual habitat damage or loss and to minimise or eliminate time lags between the removal and subsequent replacement of habitat; iii. rehabilitation details, including identification of flora species and sources, the reuse of cleared flora, and measures for the management and maintenance of rehabilitated areas; iv. weed management measures focusing on early identification of invasive weeds and effective management controls; v. a description of how the effectiveness of these actions and measures would be monitored during the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, how the results of the monitoring would be recorded and reported, and, if any exceedance of the criteria is detected how any non-compliance can be rectified; vi. a procedure for dealing with unexpected EECs/threatened species identified during construction, including cessation of work and notification of the OEH, determination of appropriate mitigation measures in consultation with the OEH (including relevant re-location measures and micro-siting) and updating</p>			

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	of ecological monitoring and/ or biodiversity offset requirements; vii. mechanisms for the monitoring, review and amendment of this plan; and viii. clear key milestones, performance indicators, proposed monitoring, corrective actions and timeframes for the completion of all actions outlined in the plan.			
Part E: OPERATION ENVIRONMENTAL MANAGENT				
HAZARD AND RISK				
Safety Management System				
E1	<p>At least two months prior to the commencement of commissioning, the Proponent shall prepare a report outlining a comprehensive Safety Management System, covering all on-site systems relevant to ensuring the safe operation of the Project. The report shall clearly specify all safety related procedures, responsibilities and policies, along with details of mechanisms for ensuring adherence to the procedures. Records shall be kept at the site and shall be available for inspection by the Department upon request. The Safety Management System shall be developed in accordance with the Department's Hazardous Industry Planning Advisory Paper No. 9, 'Safety Management', and should include:</p> <p>(a) procedures and programs for the maintenance and testing of the safety related equipment to ensure its integrity over the life of the Project; and</p> <p>(b) an outline of a documented procedure for the management of change.</p>	<p>Workplace Health & Safety Environmental Management Plan (WHSEMP) Rev 1.3 dated 30/4/20 prepared by Vestas.</p> <p>Letter dated 11/05/21 from COLWF to DPE re: Notification of Commencement of Operation 20th May 2021</p>	<p>WHSEMP was prepared within the required timeframe. It appears to be a comprehensive safety management system covering all on-site systems relevant to ensuring the safe operation of the Project.</p> <p>The COLWF WHSEMP provided by Vestas in Aug 2020, was shared with the Department.</p>	Compliant
Television and Radio Interference				
E2	Prior to the commencement of commissioning of the Project, the Proponent shall undertake an assessment of the existing quality of the television/radio transmission available at a representative sample of receivers located within five kilometres of any wind turbine.	<p>Post-Construction Television Assessments dated 25/02/21 prepared by Aitken & Partners Consulting Engineering</p> <p>R2 - RATCH - Collector Wind Farm - Report - 25 February 2021</p>	The Proponent undertook a post-construction television assessment to record the quality of the television/radio transmission available within five (5) kilometres of any wind turbine.	Compliant
E3	<p>In the event of a complaint from a receptor located within five kilometres of a wind turbine regarding television/radio transmission during the operation of the Project, the Proponent shall investigate the quality of transmission at the receptor compared with the pre-commissioning assessment and where any transmission problems can be reasonably attributable to the Project, rectify the problems within three months of the receipt of the complaint, through the implementation of measures including:</p> <p>(a) modification to or replacement of receiving antenna;</p> <p>(b) installation and maintenance of a parasitic antenna system;</p>	<p>Post-Construction Television Assessments dated 25/02/21 prepared by Aitken & Partners Consulting Engineering</p> <p>R2 - RATCH - Collector Wind Farm - Report - 25 February 2021</p> <p>Interview with the auditee 13/12/22</p> <p>Complaint and Enquiries Register as of November 2022</p>	The Project team reported that a complaint was received from a resident in the Collector village. The issue was investigated and found that the resident's aerial was not orientated correctly, and the poor reception was unrelated to the wind farm. The issue was resolved through hiring the services of a technician and paid by the resident.	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>(c) provision of a land line between the affected receptor and an antenna located in an area of favourable reception; and/or</p> <p>(d) other feasible measures.</p> <p>If interference cannot be overcome by the measures outlined in (a) to (d), the Proponent shall negotiate with the impacted landowner(s) about installing and maintaining a satellite receiving antenna or other agreed mitigation measures. The Proponent shall be responsible for all costs associated with any such mitigation measures.</p>			
REHABILITATION AND REVEGETATION				
E4	Disturbance to watercourses and/or associated riparian vegetation shall be rehabilitated to a standard equal to or better than the existing condition in consultation with the DPI-Water and DPI (Fisheries) within six months of the cessation of construction activities at the relevant area. Any revegetation measures undertaken shall be monitored and maintained by the Proponent consistent with the requirements of condition E5.	Site inspection 15/11/22	<p>No disturbance on the watercourse and riparian vegetation was observed during this audit period.</p> <p>This condition is not applicable to this audit period – Operation Phase.</p>	Not triggered
E5	The Proponent shall implement a revegetation and rehabilitation program for all areas of the Project footprint which are disturbed during the construction of the Project, and which are not required for the ongoing operation of the Project, including temporary construction facility sites and sections of construction access roads. The Proponent shall ensure that all revegetation measures are implemented progressively where possible and in all cases within six months of the cessation of construction activities at the relevant area. Unless otherwise agreed to by the Secretary , the Proponent shall monitor and maintain the health of all revegetated areas until such time that the plantings have been verified by an independent and suitably qualified expert (whose appointment has been agreed to by the Secretary) as being well established, in good health and self-sustaining.	<p>Collector Wind Farm DLP Preliminary Design v4 7 May 2019 prepared by RATCH.</p> <p>Development of Design & Landscape Plan occurred in coordination with DPE, which was provided to Vestas / TransGrid as part of delivery contracts, for implementation on site (specific relevance in Table 2, Section 3)</p> <p>COLWF off site landscape works prepared by Green Bean Design</p> <p>Site inspection 15/11/22</p> <p>Complaint Register as of November 2022</p>	<p>The Proponent presents compliance under this condition through the design landscape plan that visually complement the surrounding environment and implement a revegetation and rehabilitation program for the affected areas during construction.</p> <p>The landscape design was coordinated with the Department.</p> <p>Green Bean Design provided a sample of completed works that provided the requirement of visual complement.</p> <p>It was observed during the site inspection that a revegetation and rehabilitation program were implemented.</p>	Compliant
NOISE				
Operational Noise Criteria – Wind Turbines				
E6	<p>The Proponent shall ensure that the noise generated by the operation of wind turbines does not exceed the relevant criteria in Table 4 at any non-associated residence.</p> <p>Noise generated by the operation of the wind turbines is to be measured in accordance with the relevant requirements of the South Australian Environment Protection Authority's Wind Farms – Environmental Noise Guidelines 2009 (or its latest version), as modified by the provisions in Attachment 4. If this guideline is replaced by an equivalent NSW guideline, then the noise generated is to be measured in accordance with the requirements in the NSW guideline.</p>	<p>Operation Noise Management Plan dated 13/04/21 prepared by Marshall Day Acoustics</p> <p>Post-Construction Noise Assessment dated 25/02/22 prepared by Marshall Day Acoustics</p> <p>Letter dated 01/03/22 from DPE to RATCH re: Acceptance of the Noise Monitoring Report (Post-Construction Noise Assessment dated 25/02/22)</p>	<p>The Post-Construction Noise Assessment demonstrated that the wind farm is compliant with the operational noise requirements of the Project Approval.</p> <p>The Department acknowledged and accepted the Noise Monitoring Report submitted by the Proponent.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status																																															
	<p>Table 4 – Noise Criteria dB(A)</p> <table border="1"> <thead> <tr> <th rowspan="2">Residence</th> <th colspan="11">Criteria (dB(A)) with Reference to Hub Height Wind Speed (m/s)</th> </tr> <tr> <th>3</th> <th>4</th> <th>5</th> <th>6</th> <th>7</th> <th>8</th> <th>9</th> <th>10</th> <th>11</th> <th>12</th> <th>13</th> </tr> </thead> <tbody> <tr> <td>FF</td> <td>35</td> <td>35</td> <td>35</td> <td>35</td> <td>37</td> <td>39</td> <td>41</td> <td>43</td> <td>45</td> <td>47</td> <td>49</td> </tr> <tr> <td>All other non-associated residences</td> <td colspan="11">The higher of 35 dB(A) or the existing background noise level (L_{A90} (10-minute)) plus 5 dB(A)</td> </tr> </tbody> </table> <p><small>Note: To identify the residences referred to in Table 4, see the figure in Attachment 2.</small></p>	Residence	Criteria (dB(A)) with Reference to Hub Height Wind Speed (m/s)											3	4	5	6	7	8	9	10	11	12	13	FF	35	35	35	35	37	39	41	43	45	47	49	All other non-associated residences	The higher of 35 dB(A) or the existing background noise level (L _{A90} (10-minute)) plus 5 dB(A)													
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Operational Noise Criteria – Ancillary Infrastructure																																																			
E7	<p>The Proponent shall ensure that the noise generated by the operation of ancillary infrastructure does not exceed 35 dB(A) LAeq (15 minute) at any non-associated residence.</p> <p>Noise generated by the project is to be measured in accordance with the relevant requirements of the NSW Industrial Noise Policy (or its equivalent) as modified by the provisions in Attachment 4.</p>	<p>Operation Noise Management Plan dated 13/04/21 prepared by Marshall Day Acoustics</p> <p>Post-Construction Noise Assessment dated 25/02/22 prepared by Marshall Day Acoustics</p> <p>Letter dated 01/03/22 from DPE to RATCH re: Acceptance of the Noise Monitoring Report (Post-Construction Noise Assessment dated 25/02/22)</p>	<p>The Post-Construction Noise Assessment demonstrated that the wind farm is compliant with the operational noise requirements of the Project Approval.</p> <p>The Department acknowledged and accepted the Noise Monitoring Report submitted by the Proponent.</p>	Compliant																																															
Noise Monitoring																																																			
E8	<p>Within 3 months of the commencement of operations, unless otherwise agreed by the Secretary, the Proponent shall:</p> <p>(a) undertake noise monitoring to determine whether the project is complying with the relevant conditions of this approval; and</p> <p>(b) submit a copy of the monitoring results to the Department and the EPA.</p>	<p>Post-Construction Noise Assessment dated 25/02/22 prepared by Marshall Day Acoustics</p> <p>Letter dated 01/03/22 from DPE to RATCH re: Acceptance of the Noise Monitoring Report (Post-Construction Noise Assessment dated 25/02/22)</p> <p>Letter dated 11/05/21 from COLWF to DPE re: Notification of Commencement of Operation 20th May 2021</p>	<p>The noise monitoring was conducted between 9/06/21 and 15/12/21 by Marshall Day Acoustic within the required timeframe.</p>	Compliant																																															
E9	<p>The Proponent shall undertake further noise monitoring of the project if required by the Secretary.</p>	<p>Interview with the auditee 13/12/22</p>	<p>No requests to date from the Department.</p>	Not triggered																																															
E10 – E18	Deleted																																																		
OPERATIONAL ENVIRONMENTAL MANAGEMENT																																																			
E19	<p>Prior to the commencement of operation, or as otherwise agreed by the Secretary, the Proponent shall prepare and implement (following approval) an Operation Environmental Management Plan for the Project. The Plan shall outline the environmental management practices and procedures that are to be followed during operation, and shall be prepared in consultation with relevant agencies and in accordance with the Guideline for the Preparation of Environmental Management Plans (Department of Infrastructure, Planning and Natural Resources, 2004). The Plan shall include, but not necessarily be limited to:</p>	<p>Operation Environmental Management Plan (OEMP) dated 17/02/21 prepared by COLWF.</p> <p>Letter dated 24/04/21 from DPE to RATCH re: Approval of OEMP</p> <p>Letter dated 11/05/21 from COLWF to DPE re: Notification of Commencement of Operation 20th May 2021</p>	<p>The Proponent prepared an OEMP prior to the commencement of operation. The OEMP was approved by the Department prior to the commencement of operations and includes the following process and procedures:</p> <p>a) Section 2.2 of OEMP includes a description of activities to be undertaken during operation of the Project (including staging and scheduling) r;</p> <p>(b) Section 3 of the OEMP includes the statutory and other obligations that the Proponent is required to fulfil</p>	Compliant																																															

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>(a) a description of activities to be undertaken during operation of the Project (including staging and scheduling);</p> <p>(b) statutory and other obligations that the Proponent is required to fulfil during operation, including approval/approvals, consultations and agreements required from authorities and other stakeholders under key legislation and policies;</p> <p>(c) overall environmental policies, guidelines and principles to be applied to the operation of the Project;</p> <p>(d) a description of the roles and responsibilities for relevant employees involved in the operation of the Project, including relevant training and induction provisions for ensuring that employees are aware of their environmental and compliance obligations under these conditions of approval;</p> <p>(e) an environmental risk analysis to identify the key environmental performance issues associated with the operation phase of the Project; and</p> <p>(f) details of how environmental performance would be managed and monitored to meet acceptable outcomes, including what actions will be taken to address identified potential adverse environmental impacts, including those safeguards and mitigation measures detailed in the EA (and any impacts arising from the staging of the construction of the Project).</p> <p>The Plan shall be submitted for the approval of the Secretary no later than one month prior to the commencement of operation, or as otherwise agreed by the Secretary. Operation shall not commence until written approval has been received from the Secretary. Upon receipt of the Secretary's approval, the Proponent shall make the Plan publicly available as soon as practicable.</p>		<p>during operation, including approval/approvals, consultations and agreements required from authorities and other stakeholders under key legislation and policies;</p> <p>(c) Section 3.3 of the OEMP includes the overall environmental policies, guidelines and principles to be applied to the operation of the Project;</p> <p>(d) Section 4.3 of the OEMP includes a description of the roles and responsibilities for relevant employees involved in the operation of the Project, including relevant training and induction provisions for ensuring that employees are aware of their environmental and compliance obligations under these conditions of approval;</p> <p>(e) Section 5.2 of the OEMP includes an environmental risk analysis to identify the key environmental performance issues associated with the operation phase of the Project; and</p> <p>(f) Section 4 of the OEMP includes details of how environmental performance would be managed and monitored to meet acceptable outcomes, including what actions will be taken to address identified potential adverse environmental impacts, including those safeguards and mitigation measures detailed in the EA (and any impacts arising from the staging of the construction of the Project).</p>	
OEMP Section 7.1	<p>The OEMP will be reviewed at least annually, to review the adequacy of the environmental and sustainability controls, procedures, objectives and targets within the OEMP. This will enable the management team to determine whether the controls are still applicable to the activities being undertaken and to track progress against the objectives and targets.</p> <p>The review will consider as a minimum:</p> <ul style="list-style-type: none"> • Reviewing the results of audits; • Evaluation of the system, which improvements and corrective actions will be sought; • Evaluation of the operation of the OEMP and the PIRMP. <p>The review will be documented and changes to the plan made by the Site Manager (or delegate).</p>	<p>Operation Environmental Management Plan (OEMP) dated 17/02/21 prepared by COLWF.</p> <p>Letter dated 24/04/21 from DPE to RATCH re: Approval of OEMP</p> <p>Letter dated 11/05/21 from COLWF to DPE re: Notification of Commencement of Operation 20th May 2021</p> <p>Evidence of annual review provided on 7/2/23</p>	<p>Based on the results of this audit, the OEMP must be reviewed and updated accordingly as required and ensure that new processes and procedures currently applied onsite are documented in the OEMP and subplans.</p> <p>Note: Following the finalisation of this audit report, the OEMP should be reviewed within 3 months of the audit report being finalised and updated to ensure that the actual processes and procedures being implemented are documented in OEMP and subplans.</p>	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
E20	<p>As part of the Operation Environmental Management Plan required under condition E19 the Proponent shall prepare and implement (but not be limited to) the following:</p> <p>(a) an Operation Noise Management Plan to outline measures to minimise noise emissions from the operation of the Project. The Plan shall include, but not necessarily be limited to:</p> <ul style="list-style-type: none"> i. details of procedures to ensure ongoing compliance with the operational noise limits specified in conditions E6 and E7 as they apply to identified receivers. This should include identification of monitoring requirements; ii. identification and implementation of best practice management techniques for minimisation of noise emissions where reasonable and feasible; iii. procedures and corrective actions to be undertaken if non-compliance is detected. 	<p>Operation Environmental Management Plan (OEMP) dated 17/02/21 prepared by COLWF.</p> <p>Letter dated 24/04/21 from DPE to RATCH re: Approval of OEMP</p> <p>Letter dated 11/05/21 from COLWF to DPE re: Notification of Commencement of Operation 20th May 2021</p>	<p>OEMP includes a section covering noise emissions under <i>Section 5.3.1. Noise</i> and also:</p> <p>(a) Appendix D presents an Operation Noise Management Plan that outline measures to minimise noise emissions from the operation of the Project. The Plan shall include, but not necessarily be limited to:</p> <ul style="list-style-type: none"> i. Section 4.3 of the ONMP includes the details of procedures to ensure ongoing compliance with the operational noise limits specified in conditions E6 and E7 as they apply to identified receivers. This should include identification of monitoring requirements; ii. Section 6 of the ONMP includes identification and implementation of best practice management techniques for minimisation of noise emissions where reasonable and feasible; iii. Section 7.1 of the ONMP includes procedures and corrective actions to be undertaken if non-compliance is detected. 	Compliant
Part F: ADDITIONAL PROCEDURES				
DECOMMISSIONING				
F1	<p>Unless otherwise agreed by the Secretary, within 18 months of the cessation of operation of the Project, the site shall be decommissioned and returned by the Proponent, as far as practicable, to its condition prior to the commencement, in consultation with the relevant landowner(s) and to the satisfaction of the Secretary (and in accordance with the Decommissioning and Rehabilitation Plan included in the Collector Wind Farm Environmental Assessment (June 2012)).</p> <p>All generating facilities and associated infrastructure (including but not necessarily limited to the substations and transformers, switchyard, operation and maintenance facility, overhead transmission lines and access roads) shall be removed from the site unless otherwise agreed by the Secretary. Project related infrastructure (including access roads) may only be retained on site, where the Proponent has demonstrated to the satisfaction of the Secretary prior to the commencement of decommissioning, that these components: are permissible under the site's statutory land use provisions in force upon commencement of the decommissioning; would not pose an ongoing impediment to permissible land use at the properties; and their retention has been agreed to in writing (with evidence provided to the Secretary) by the relevant landowners.</p> <p>This condition does not apply to any infrastructure which, as at the relevant date, is owned by a network operator under the Electricity</p>			Not Triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	Supply Act 1995 (NSW) (or any equivalent provisions which are in force as at the relevant date).			
F2	The Proponent shall update the Decommissioning and Rehabilitation Plan , to the satisfaction of the Secretary , every five years from the date of preparation, until decommissioning and rehabilitation is completed, and a copy of the updated versions are to be made publicly available. The updated Plan shall be consistent with the requirements of the draft NSW Planning Guidelines – Wind Farms (December 2011), as updated. The updated Plan shall include estimated costs of and funding arrangements for decommissioning, including provision for a decommissioning bond or other funding mechanisms, where the Plan concludes that estimated costs and funding arrangements are inadequate.			Not Triggered
F3	Any individual turbine that ceases operating for a period of more than 12 consecutive months shall be dismantled within 18 months after the 12-month period.			Not Triggered
F4	<p>The Proponent must:</p> <p>(a) prepare a post-dilapidation survey of Lerida Road South within 1 month of the completion of decommissioning works;</p> <p>(b) rehabilitate and/or make good any project-related damage:</p> <ul style="list-style-type: none"> iii. identified during the carrying out of the relevant decommissioning works if it could endanger road safety, as soon as possible after the damage is identified, but within 7 days at the latest; and iv. identified during any dilapidation survey carried out following the completion of the relevant decommissioning works within 2 months of the completion of the survey, unless Council agrees otherwise, <p>to the satisfaction of Council.</p> <p>If the decommissioning of the project is to be staged, the obligations in this condition apply to each stage of decommissioning.</p> <p>If there is a dispute about the scope of any remedial works or the implementation of the works, then either party may refer the matter to the Secretary for resolution.</p>			Not Triggered
F5	Prior to the commencement of decommissioning, or as otherwise agreed by the Secretary , the Proponent shall prepare and implement (following approval) a Decommissioning Environmental Management Plan for the Project. The Plan shall outline the environmental management practices and procedures that are to be followed during decommissioning and shall be prepared in consultation with the relevant agencies and in accordance with the Guideline for the Preparation of Environmental Management Plans (Department of			Not Triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
	<p>Infrastructure, Planning and Natural Resources, 2004). The Plan shall include, but not necessarily be limited to:</p> <p>(a) a description of activities to be undertaken during decommissioning of the Project (including staging and scheduling);</p> <p>(b) statutory and other obligations the Proponent is required to fulfil during decommissioning, including approval/approvals, consultations and agreements required from authorities and other stakeholders under key legislation and policies;</p> <p>(c) a description of the roles and responsibilities for relevant employees involved in the decommissioning of the Project, including relevant training and induction provisions for ensuring that employees, including contractors and sub-contractors are aware of their environmental and compliance obligations under these conditions of Approval;</p> <p>(d) an environmental risk analysis to identify the key environmental performance issues associated with the decommissioning phase; and</p> <p>(e) details of how environmental performance will be managed and monitored to meet acceptable outcomes, including what actions will be taken to address identified potential adverse environmental impacts (including any impacts arising from the staging of the decommissioning of the Project). In particular, the following environmental performance issues shall be addressed in the Plan:</p> <ul style="list-style-type: none"> i. compounds and ancillary facilities management; ii. noise and vibration; iii. traffic and access; iv. soil and water quality and spoil management; v. air quality and dust management; vi. hazardous material and waste management; and vii. hazard and risk management, including bushfire risk. <p>The Plan shall be submitted for the approval of the Secretary no later than one month prior to the commencement of decommissioning, or as otherwise agreed by the Secretary. The Plan may be prepared in stages; however, decommissioning works shall not commence until written approval has been received from the Secretary.</p>			

Table 6: Environment Protection Licence Requirements

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status						
1 ADMINISTRATIVE CONDITIONS										
A1	What the licence authorises and regulates									
A1.1	<p>This licence authorises the carrying out of the scheduled development work listed below at the premises listed in A2.</p> <p>There are 2 stages to the scheduled development works of which the following stages are authorised by this licence:</p> <p>Stage 1 - Construction only.</p>	Site Inspection 15 November 2022 and online interview 13 December 2022	Construction was completed as per requirement of the licence.	Compliant						
A1.2	The licensee can only progress with Stage 2: Operation of wind turbines if the licence is varied accordingly.	Site Inspection 15 November 2022 and online interview 13 December 2022	<p>Licence was varied on 13/07/20 that relates to Condition A1.1 was amended to remove land-based extractive activities and crushing, grinding or separating.</p> <p>The licence transfer to Collector Wind Farm P/L was approved on 17/06/21.</p>	Compliant						
A1.3	<p>This licence authorises the carrying out of the scheduled activities listed below at the premises specified in A2. The activities are listed according to their scheduled activity classification, fee-based activity classification and the scale of the operation. Unless otherwise further restricted by a condition of this licence, the scale at which the activity is carried out must not exceed the maximum scale specified in this condition.</p> <table border="1" data-bbox="350 1333 1190 1430"> <thead> <tr> <th>Scheduled Activity</th> <th>Fee Based Activity</th> <th>Scale</th> </tr> </thead> <tbody> <tr> <td>Electricity generation</td> <td>Electricity works (wind farms)</td> <td>> 450 - 1000 GWh annual generating capacity</td> </tr> </tbody> </table>	Scheduled Activity	Fee Based Activity	Scale	Electricity generation	Electricity works (wind farms)	> 450 - 1000 GWh annual generating capacity	Site Inspection 15 November 2022 and online interview 13 December 2022	The activities carried out in the premise are as per the approved licence.	Compliant
Scheduled Activity	Fee Based Activity	Scale								
Electricity generation	Electricity works (wind farms)	> 450 - 1000 GWh annual generating capacity								
A2	Premises or plant to which this licence applies	Site Inspection 15 November 2022 and online interview 13 December 2022	All activities are within the premise.	Compliant						
A2.1	<p>The licence applies to the following premises:</p> <table border="1" data-bbox="350 1724 819 1871"> <thead> <tr> <th>Premises Details</th> </tr> </thead> <tbody> <tr> <td>COLLECTOR WIND FARM</td> </tr> <tr> <td>LERIDA ROAD SOUTH</td> </tr> <tr> <td>CULLERIN</td> </tr> <tr> <td>NSW 2581</td> </tr> </tbody> </table>	Premises Details	COLLECTOR WIND FARM	LERIDA ROAD SOUTH	CULLERIN	NSW 2581	Site Inspection 15 November 2022 and online interview 13 December 2022	Noted	Compliant	
Premises Details										
COLLECTOR WIND FARM										
LERIDA ROAD SOUTH										
CULLERIN										
NSW 2581										

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
A2.2	In relation to Condition A2.1, the premises is defined by the red boundary line in the map titled "Figure 2: Project Layout" on page 47 of the Project Approval for MP10_0156 Mod 1, dated 22 July 2016 (EPA Reference: DOC19/232210).	Site Inspection 15 November 2022 and online interview 13 December 2022	All activities appear to be within the EPL premise boundary.	Compliant
A3	Information supplied to the EPA			
A3.1	Works and activities must be carried out in accordance with the proposal contained in the licence application, except as expressly provided by a condition of this licence. In this condition the reference to "the licence application" includes a reference to: <ul style="list-style-type: none"> a) the applications for any licences (including former pollution control approvals) which this licence replaces under the Protection of the Environment Operations (Savings and Transitional) Regulation 1998; and b) the licence information form provided by the licensee to the EPA to assist the EPA in connection with the issuing of this licence. 	Site Inspection 15 November 2022 and online interview 13 December 2022 Annual Return for 2020 received by EPA on 27/05/20. Annual Return for 2021 received by EPA on 11/06/21 and 14/12/21. Annual Return for 2022 received by EPA on 27/05/22.	Works and activities were carried out in accordance with the issued EPA licence through the submission of annual return.	Compliant
2 Limit Conditions				
L1	Pollution of waters			
L1.1	Except as may be expressly provided in any other condition of this licence, the licensee must comply with section 120 of the Protection of the Environment Operations Act 1997.	Site Inspection 15 November 2022 and online interview 13 December 2022	No pollution incidents or events were reported during the audit period and no pollution of waters was noted during the site inspection.	Compliant
L2	Waste			
L2.1	The licensee must not cause, permit or allow any waste generated outside the premises to be received at the premises for storage, treatment, processing, reprocessing or disposal or any waste generated at the premises to be disposed of at the premises, except as expressly permitted by the licence.	Site Inspection 15 November 2022 and online interview 13 December 2022	COLWF does not permit nor allow waste generated outside the premises to be received at the premises for storage or for treatment.	Compliant
L2.2	The licensee must ensure that all liquid and/or non-liquid waste generated and/or stored on the site is assessed and classified in accordance with <i>Waste Classification Guidelines Part 1: Classifying Waste</i> (NSW EPA, 2014) or any future guideline that may supersede that document.	Site inspection completed on 15/11/22. 2/07/2022 Liquid Waste Certificate Docket from N-100 waste Transport Certificate No, 2T01266514 dated 21/06/2022 by Cleanaway. Email dated 04/08/22 from VESTAS to RAC Operation re: summary of waste. (RE_COLWF – NGER data.msg)	Evidence was provided that all liquid and/or non-liquid waste generated on the site was appropriately assessed and classified.	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
L3	Blasting			
L3.1	The air blast overpressure level from blasting operations at the premises must not exceed 115dB (Lin Peak) at any noise sensitive locations for more than five per cent of the total number of blasts over each reporting period. Error margins associated with any monitoring equipment used to measure this are not to be taken into account in determining whether or not the limit has been exceeded.	Site Inspection 15 November 2022 and online interview 13 December 2022	No air blasting was performed.	Not Triggered
L3.2	The air blast overpressure level from blasting operations at the premises must not exceed 120dB (Lin Peak) at any time at any noise sensitive locations. Error margins associated with any monitoring equipment used to measure this are not to be taken into account in determining whether or not the limit has been exceeded.	Site Inspection 15 November 2022 and online interview 13 December 2022	No air blasting was performed.	Not Triggered
L3.3	The ground vibration peak particle velocity from blasting operations carried out in or on the premises must not: <ul style="list-style-type: none"> a) exceed 5mm/second for more than 5% of the total number of blasts carried out on the premises within the 12 months annual reporting period; and b) exceed 10mm/second at any time at any residence or noise sensitive location (such as a school or hospital) that is not owned by the licensee or subject of a private agreement between the owner of the residence or noise sensitive location and the licensee as to an alternative ground vibration level. 	Site Inspection 15 November 2022 and online interview 13 December 2022	No air blasting was performed.	Not Triggered
L3.4	Blasting operations at the premises may only take place between: <ul style="list-style-type: none"> a) 9:00am-5:00pm Monday to Friday; b) 9:00am-1:00pm Saturday c) c) At no time on Sunday or public holidays. <p>Blasting outside the hours specified above can only take place with the written approval of the EPA. Note: The air blast overpressure and ground vibration levels in the above conditions do not apply at noise sensitive locations that are owned by the licensee or subject to a private agreement, relating to air blast overpressure and ground vibration levels, between the licensee and landowner.</p>	Site Inspection 15 November 2022 and online interview 13 December 2022	No air blasting was performed.	Not Triggered
L4	Hours of operation			

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
L4.1	<p>Unless permitted by another condition of this licence, construction works and activities must:</p> <ul style="list-style-type: none"> a) only be undertaken between the hours of 7:00 am and 6:00 pm Monday to Friday; b) only be undertaken between the hours of 8:00 am and 1:00 pm Saturday; and c) not be undertaken on Sundays or Public Holidays. 	<p>Site Inspection 15 November 2022 and online interview 13 December 2022</p> <p>Annual Maintenance Plan (AMP) for COLWF Doc No. COLL-RADE, 14/06/22</p>	Construction phase completed.	Not triggered
L4.2	<p>The categories of works that may be undertaken outside the hours of operation permitted by the above condition are:</p> <ul style="list-style-type: none"> a) work that causes LAeq(15 minute) noise levels that are no more than 5 dB above rating background levels at any residence; or b) the delivery of materials requested by police or other authorised authorities for safety reasons; or c) emergency work to avoid the loss of lives, property, and/or to prevent environmental harm; or d) other activities as agreed by the EPA; or e) works approved through an out-of-hours work protocol prepared as part of the <i>Construction Noise and Vibration Management Plan</i> required by condition of consent D25(b) of approval MP10_0156, provided the EPA is notified of each out-of-hours work occurrence. 	<p>Site Inspection 15 November 2022 and online interview 13 December 2022</p> <p>Annual Maintenance Plan (AMP) for COLWF Doc No. COLL-RADE, 14/06/22</p>	Construction phase completed.	Not Triggered
3 Operating Conditions				
O1	Activities must be carried out in a competent manner			
O1.1	<p>Licensed activities must be carried out in a competent manner. This includes:</p> <ul style="list-style-type: none"> a) the processing, handling, movement and storage of materials and substances used to carry out the activity; and b) the treatment, storage, processing, reprocessing, transport and disposal of waste generated by the activity. 	<p>Site Inspection 15 November 2022 and online interview 13 December 2022</p>	No incidents, or regulatory action has occurred during the period of operations and the outcomes of this audit and observations made during the site inspection and interviews with project personnel indicate that the activities currently being undertaken at the site are being carried out in a competent manner.	Compliant
O2	Maintenance of plant and equipment			

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status																								
O2.1	All plant and equipment installed at the premises or used in connection with the licensed activity: <ul style="list-style-type: none"> a) must be maintained in a proper and efficient condition; and b) must be operated in a proper and efficient manner. 	<p>Site Inspection 15 November 2022 and online interview 13 December 2022</p> <p>Annual Maintenance Plan (AMP) for COLWF Doc No. COLL-RADE, 14/06/22 by VESTAS</p> <p>Preventive Maintenance CIM4784 Inspection chain guide tube inserts 06/05/2022.</p> <p>Weekly and Monthly Reports of Maintenance Report for 2022 October Report. Include:</p> <ul style="list-style-type: none"> • Operational time and generation • Incidents • Maintenance performance • Schedule Maintenance • Weather conditions • Corrective Maintenance 	<p>Operational plant and maintenance were appropriately managed by Vestas. Records of plant and turbine maintenance register were presented during this audit. Internal audits and site inspections were also conducted.</p> <p>AMP includes under Section 3 maintenance strategy for the facility and Section 4 operation strategy for the facility.</p> <p>All plant and equipment installed at the premises were properly maintained and operated in efficient manner.</p>	Compliant																								
O3	Dust																											
O3.1	All operations and activities occurring at the premises must be carried out in a manner that will minimise the emission of dust from the premises.	Site Inspection 15 November 2022 and online interview 13 December 2022	Operation activities occurring at COLWF do not produce dust emission. Access roads were stabilised and no dust emissions were observed on the day of the site inspection and no complaints regarding dust have occurred during the audit period.	Compliant																								
O3.2	Trucks entering and leaving the premises that are carrying loads of dust generating materials must have their loads covered at all times, except during loading and unloading.	Site Inspection 15 November 2022 and online interview 13 December 2022	Construction has been completed.	Not triggered																								
O3.3	The licence must prepare and implement an Erosion and Sediment Control Plan for the proposal. The Plan must describe the measures that will be employed to minimise soil erosion and the discharge of sediment and other pollutants to land and/or waters during construction. The Plan should be prepared in accordance with the guidance described in 'Managing Urban Stormwater - Soils and Construction - Volume 2C.'	<p>Site Inspection 15 November 2022 and online interview 13 December 2022</p> <p>Construction Soil and Water Quality Management Plan (CSWQMP) approved by NSW DPE 10/09/2019 – during construction phase.</p> <p>Operation Environmental Management Plan (OEMP) Rev 2 dated 17/02/21 prepared by COLWF</p>	<p>Construction phase has completed.</p> <p>OEMP under Section 5.3.8 soil and water management and Table 5-13: Soil and water management measures presents and describe mitigation measures to implement during operation stage.</p> <p>Table 5-13: Soil and water management measures</p> <table border="1"> <thead> <tr> <th>ID #</th> <th>Mitigation measure</th> <th>Timing</th> <th>Responsibility</th> </tr> </thead> <tbody> <tr> <td>SW-01</td> <td>Spill kits will be provided at oil and fuel storages and on vehicles</td> <td>Throughout operation</td> <td>All staff</td> </tr> <tr> <td>SW-02</td> <td>Storages of oils, fuels, other hazardous chemicals and liquid wastes will be appropriately bundled.</td> <td>Throughout operation</td> <td>Site Supervisor</td> </tr> <tr> <td>SW-03</td> <td>Hazardous material, waste and sewage will be managed in accordance with regulatory requirements.</td> <td>Throughout operation</td> <td>All staff</td> </tr> <tr> <td>SW-04</td> <td>Appropriate drainage structures, and erosion controls as required, will be maintained on hardstands, access roads, tracks and the substation to manage run-off and reduce the risk of erosion and scour from concentrated flows where required.</td> <td>Throughout operation</td> <td>Site Supervisor</td> </tr> <tr> <td>SW-05</td> <td>Erosion and Sediment Control Plans (ESCP) will be prepared and implemented in advance of maintenance in high-risk locations, including earthworks with high erosion potential and steep grades, works near waterways and stockpiling.</td> <td>Throughout operation</td> <td>Site Supervisor</td> </tr> </tbody> </table>	ID #	Mitigation measure	Timing	Responsibility	SW-01	Spill kits will be provided at oil and fuel storages and on vehicles	Throughout operation	All staff	SW-02	Storages of oils, fuels, other hazardous chemicals and liquid wastes will be appropriately bundled.	Throughout operation	Site Supervisor	SW-03	Hazardous material, waste and sewage will be managed in accordance with regulatory requirements.	Throughout operation	All staff	SW-04	Appropriate drainage structures, and erosion controls as required, will be maintained on hardstands, access roads, tracks and the substation to manage run-off and reduce the risk of erosion and scour from concentrated flows where required.	Throughout operation	Site Supervisor	SW-05	Erosion and Sediment Control Plans (ESCP) will be prepared and implemented in advance of maintenance in high-risk locations, including earthworks with high erosion potential and steep grades, works near waterways and stockpiling.	Throughout operation	Site Supervisor	Compliant
ID #	Mitigation measure	Timing	Responsibility																									
SW-01	Spill kits will be provided at oil and fuel storages and on vehicles	Throughout operation	All staff																									
SW-02	Storages of oils, fuels, other hazardous chemicals and liquid wastes will be appropriately bundled.	Throughout operation	Site Supervisor																									
SW-03	Hazardous material, waste and sewage will be managed in accordance with regulatory requirements.	Throughout operation	All staff																									
SW-04	Appropriate drainage structures, and erosion controls as required, will be maintained on hardstands, access roads, tracks and the substation to manage run-off and reduce the risk of erosion and scour from concentrated flows where required.	Throughout operation	Site Supervisor																									
SW-05	Erosion and Sediment Control Plans (ESCP) will be prepared and implemented in advance of maintenance in high-risk locations, including earthworks with high erosion potential and steep grades, works near waterways and stockpiling.	Throughout operation	Site Supervisor																									

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
O4	Other operating conditions			
O4.1	The licensee must prepare and submit a Construction Environmental Management Plan (CEMP) to the EPA prior to the commencement of construction works at the premises.	Site Inspection 15 November 2022 and online interview 13 December 2022 Construction Soil and Water Quality Management Plan (CSWQMP) approved by NSW DPE 10/09/2019 – during construction phase.	This requirement was previously complied with prior to construction phase.	Not triggered
O4.2	Bund Construction & Maintenance The Licensee must ensure that fuel and chemical storage areas have appropriate bunding. Bunds must be designed, constructed and maintained in accordance with the EPA Technical Guideline <i>Bunding and Spill Management</i> (found at http://www.epa.nsw.gov.au/mao/bundingspill.htm).	Site Inspection 15 November 2022 and online interview 13 December 2022 Appointed Pro Cert Group Pty Ltd in mid-Dec 2019 as the Principal Certifying Authority for all 'building work' associated with the project; Obtained a construction certificate for 'building work' involving civil construction for wind turbine foundations and access roads; as issued on 8 January 2020 to CWF, DPE and ULSC; Annual Maintenance Plan (AMP) for COLWF Doc No. COLL-RADE, 14/06/22 by VESTAS	The site office was constructed to be bunded with oil-water separator. Spill kits were available.	Compliant
O4.3	Construction Noise Construction noise generated at the premises must be managed in accordance with the most current version of the Construction Noise and Vibration Management Plan.	Site Inspection 15 November 2022 and online interview 13 December 2022	Construction phase completed.	Not triggered
O4.4	The licensee must ensure that all feasible and reasonable noise and vibration mitigation and management measures are implemented during construction work authorised by this licence, in accordance with the <i>Interim Construction Noise Guideline</i> (DECC, 2009).	Site Inspection 15 November 2022 and online interview 13 December 2022	Construction phase completed.	Not triggered
4 Monitoring and Recording Conditions				
M1	Monitoring records			
M1.1	The results of any monitoring required to be conducted by this licence or a load calculation protocol must be recorded and retained as set out in this condition.	Site Inspection 15 November 2022 and online interview 13 December 2022	No monitoring is required under this licence.	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
M1.2	All records required to be kept by this licence must be: <ul style="list-style-type: none"> a) in a legible form, or in a form that can readily be reduced to a legible form; b) kept for at least 4 years after the monitoring or event to which they relate took place; and c) produced in a legible form to any authorised officer of the EPA who asks to see them. 	Site Inspection 15 November 2022 and online interview 13 December 2022	Waste classification records were observed.	Compliant
M1.3	The following records must be kept in respect of any samples required to be collected for the purposes of this licence: <ul style="list-style-type: none"> a) the date(s) on which the sample was taken; b) the time(s) at which the sample was collected; c) the point at which the sample was taken; and d) the name of the person who collected the sample. 	Site Inspection 15 November 2022 and online interview 13 December 2022	No sampling records are required to be kept by the Licence	Not triggered
M2	Recording of pollution complaints			
M2.1	The licensee must keep a legible record of all complaints made to the licensee or any employee or agent of the licensee in relation to pollution arising from any activity to which this licence applies.	Site Inspection 15 November 2022 and online interview 13 December 2022 Compliant register as November 2022 CWF website https://www.collectorwindfarm.com.au/operations is available and included contact details for the public to raise enquiries and complaints (enquiries@ratchaustalia.com) if needed	No complaints were received related to the operation of project during the audit period	Compliant
M2.2	The record must include details of the following: <ul style="list-style-type: none"> a) the date and time of the complaint; b) the method by which the complaint was made; c) any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect; d) the nature of the complaint; e) the action taken by the licensee in relation to the complaint, including any follow-up contact with the complainant; and f) if no action was taken by the licensee, the reasons why no action was taken. 	Site Inspection 15 November 2022 and online interview 13 December 2022 Compliant register as November 2022	Compliant register includes the information as required by this condition.	Compliant
M2.3	The record of a complaint must be kept for at least 4 years after the complaint was made.	Site Inspection 15 November 2022 and online interview 13 December 2022 Compliant register as November 2022	Records of complaints were being kept.	Compliant

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
M2.4	The record must be produced to any authorised officer of the EPA who asks to see them.	Site Inspection 15 November 2022 and online interview 13 December 2022 Compliant register as November 2022	Complaints Register is available.	Compliant
M3	Telephone complaints line	Site Inspection 15 November 2022 and online interview 13 December 2022 CWF website https://www.collectorwindfarm.com.au/operations is available and included contact details for the public to raise enquiries and complaints (enquiries@ratchaustralia.com) if needed	Information is ready online at the project website.	Compliant
M3.1	The licensee must operate during its operating hours a telephone complaints line for the purpose of receiving any complaints from members of the public in relation to activities conducted at the premises or by the vehicle or mobile plant, unless otherwise specified in the licence.	Site Inspection 15 November 2022 and online interview 13 December 2022 Compliant register as November 2022 CWF website https://www.collectorwindfarm.com.au/operations is available and included contact details for the public to raise enquiries and complaints (enquiries@ratchaustralia.com) if needed	The licensee operates during its operating hours a telephone complaints line for the purpose of receiving any complaints from members of the public in relation to activities conducted at the premises or by the vehicle or mobile plant, unless otherwise specified in the licence. 1800 # was tested by the auditor and it was answered by a Project team member after hours	Compliant
M3.2	The licensee must notify the public of the complaints line telephone number and the fact that it is a complaints line so that the impacted community knows how to make a complaint.	Site Inspection 15 November 2022 and online interview 13 December 2022 Compliant register as November 2022 CWF website https://www.collectorwindfarm.com.au/operations is available and included contact details for the public to raise enquiries and complaints (enquiries@ratchaustralia.com) if needed	The Project website includes the complaints line telephone number and the fact that there is a complaints line so that the impacted community knows how to make a complaint.	Compliant
M3.3	The preceding two conditions do not apply until 3 months after the date of the issue of this licence.	Site Inspection 15 November 2022 and online interview 13 December 2022	Noted.	Compliant
5 Reporting Conditions				
R1	Annual return documents			

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
R1.1	<p>The licensee must complete and supply to the EPA an Annual Return in the approved form comprising:</p> <ol style="list-style-type: none"> 1. a Statement of Compliance, 2. a Monitoring and Complaints Summary, 3. a Statement of Compliance - Licence Conditions, 4. a Statement of Compliance - Load based Fee, 5. a Statement of Compliance - Requirement to Prepare Pollution Incident Response Management Plan, 6. a Statement of Compliance - Requirement to Publish Pollution Monitoring Data; and 7. a Statement of Compliance - Environmental Management Systems and Practices. <p>At the end of each reporting period, the EPA will provide to the licensee notification that the Annual Return is due.</p>	<p>Annual Return for 2020 received by EPA on 27/05/20. Annual Return for 2021 received by EPA on 11/06/21 and 14/12/21. Annual Return for 2022 received by EPA on 27/05/22.</p>	<p>Annual Return was prepared and submitted to EPA for the year 2020 to 2022 that covers this audit period. The submitted Annual Returns contains the information required under this condition.</p>	Compliant
R1.2	<p>An Annual Return must be prepared in respect of each reporting period, except as provided below. Note: The term "reporting period" is defined in the dictionary at the end of this licence. Do not complete the Annual Return until after the end of the reporting period.</p>	<p>Annual Return for 2020 received by EPA on 27/05/20. Annual Return for 2021 received by EPA on 11/06/21 and 14/12/21. Annual Return for 2022 received by EPA on 27/05/22.</p>	<p>Annual Returns were prepared in accordance with the required reporting period.</p>	Compliant
R1.3	<p>Where this licence is transferred from the licensee to a new licensee:</p> <ol style="list-style-type: none"> a) the transferring licensee must prepare an Annual Return for the period commencing on the first day of the reporting period and ending on the date the application for the transfer of the licence to the new licensee is granted; and b) the new licensee must prepare an Annual Return for the period commencing on the date the application for the transfer of the licence is granted and ending on the last day of the reporting period. <p>Note: An application to transfer a licence must be made in the approved form for this purpose.</p>	<p>Licence Transfer No.1609808 received by EPA on 17/06/21. Annual Return for 2020 received by EPA on 27/05/20. Annual Return for 2021 received by EPA on 11/06/21 and 14/12/21. Annual Return for 2022 received by EPA on 27/05/22.</p>	<p>Application for licence transfer was submitted to EPA on 17/06/21 by the auditee from VESTAS to COLWF. Annual Return was prepared and submitted under the new licensee (COLWF).</p>	Compliant
R1.4	<p>Where this licence is surrendered by the licensee or revoked by the EPA or Minister, the licensee must prepare an Annual Return in respect of the period commencing on the first day of the reporting period and ending on:</p> <ol style="list-style-type: none"> a) in relation to the surrender of a licence - the date when notice in writing of approval of the surrender is given; or b) in relation to the revocation of the licence - the date from which notice revoking the licence operates. 	<p>Licence Transfer No.1609808 received by EPA on 17/06/21. Annual Return for 2020 received by EPA on 27/05/20. Annual Return for 2021 received by EPA on 11/06/21 and 14/12/21. Annual Return for 2022 received by EPA on 27/05/22.</p>	<p>This requirement is not applicable since the licence is not surrendered nor revoked by the EPA.</p>	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
R1.5	The Annual Return for the reporting period must be supplied to the EPA via eConnect EPA or by registered post not later than 60 days after the end of each reporting period or in the case of a transferring licence not later than 60 days after the date the transfer was granted (the 'due date').	Annual Return for 2020 received by EPA on 27/05/20. Annual Return for 2021 received by EPA on 11/06/21 and 14/12/21. Annual Return for 2022 received by EPA on 27/05/22.	Annual Return for the reporting period was submitted via eConnect EPA within the required timeframe.	Compliant
R1.6	The licensee must retain a copy of the Annual Return supplied to the EPA for a period of at least 4 years after the Annual Return was due to be supplied to the EPA.	Annual Return for 2020 received by EPA on 27/05/20. Annual Return for 2021 received by EPA on 11/06/21 and 14/12/21. Annual Return for 2022 received by EPA on 27/05/22.	The Annual Return was retained by the Auditee.	Compliant
R1.7	Within the Annual Return, the Statements of Compliance must be certified, and the Monitoring and Complaints Summary must be signed by: <ul style="list-style-type: none"> a) the licence holder; or b) by a person approved in writing by the EPA to sign on behalf of the licence holder. 	Site Inspection 15 November 2022 and online interview 13 December 2022	Statement of Compliance was certified in the Annual Return and monitoring, and complaints were signed by an eligible person.	Compliant
R2	Notification of environmental harm			
R2.1	Notifications must be made by telephoning the Environment Line service on 131 555. Note: The licensee or its employees must notify all relevant authorities of incidents causing or threatening material harm to the environment immediately after the person becomes aware of the incident in accordance with the requirements of Part 5.7 of the Act.	Site Inspection 15 November 2022 and online interview 13 December 2022	No environmental harm incident was reported/recorded during the audit period.	Not triggered
R2.2	The licensee must provide written details of the notification to the EPA within 7 days of the date on which they became aware of the incident.	Site Inspection 15 November 2022 and online interview 13 December 2022	No environmental harm incident was reported/recorded during the audit period	Not triggered
R3	Written report			
R3.1	Where an authorised officer of the EPA suspects on reasonable grounds that: <ul style="list-style-type: none"> a) where this licence applies to premises, an event has occurred at the premises; or b) where this licence applies to vehicles or mobile plant, an event has occurred in connection with the carrying out of the activities authorised by this licence, and the event has caused, is causing or is likely to cause material harm to the environment (whether the harm occurs on or off premises to which the licence applies), the authorised officer may request a written report of the event. 	Site Inspection 15 November 2022 and online interview 13 December 2022	No environmental harm incident was reported/recorded during the audit period	Not triggered
R3.2	The licensee must make all reasonable inquiries in relation to the event and supply the report to the EPA within such time as may be specified in the request.	Site Inspection 15 November 2022 and online interview 13 December 2022	No environmental harm incident was reported/recorded during the audit period	Not triggered

Unique ID	Compliance requirement	Evidence collected	Independent Audit findings and recommendations	Compliance Status
R3.3	<p>The request may require a report which includes any or all of the following information:</p> <ul style="list-style-type: none"> a) the cause, time and duration of the event; b) the type, volume and concentration of every pollutant discharged as a result of the event; c) the name, address and business hours telephone number of employees or agents of the licensee, or a specified class of them, who witnessed the event; d) the name, address and business hours telephone number of every other person (of whom the licensee is aware) who witnessed the event, unless the licensee has been unable to obtain that information after making reasonable effort; e) action taken by the licensee in relation to the event, including any follow-up contact with any complainants; f) details of any measure taken or proposed to be taken to prevent or mitigate against a recurrence of such an event; and g) any other relevant matters. 	Site Inspection 15 November 2022 and online interview 13 December 2022	No environmental harm incident was reported/recorded during the audit period	Not triggered
R3.4	The EPA may make a written request for further details in relation to any of the above matters if it is not satisfied with the report provided by the licensee. The licensee must provide such further details to the EPA within the time specified in the request.	Site Inspection 15 November 2022 and online interview 13 December 2022	No environmental harm incident was reported/recorded during the audit period	Not triggered
6 General Conditions				
G1	Copy of licence kept at the premises or plant			
G1.1	A copy of this licence must be kept at the premises to which the licence applies.	Site Inspection 15 November 2022 and online interview 13 December 2022	Copy of EPA licence was sighted during the site inspection.	Compliant
G1.2	The licence must be produced to any authorised officer of the EPA who asks to see it.	Site Inspection 15 November 2022 and online interview 13 December 2022	EPA licence can be provided upon request from the auditee.	Compliant
G1.3	The licence must be available for inspection by any employee or agent of the licensee working at the premises.	Site Inspection 15 November 2022 and online interview 13 December 2022	The licence was available during the conduct of site inspection.	Compliant

APPENDIX B – PLANNING SECRETARY AGREEMENT OF INDEPENDENT AUDITORS

Department of Planning and Environment



Mr Neil Weston
Development Manager
RATCH-AUSTRALIA DEVELOPMENTS PTY LIMITED
Level 7, 111 Pacific Highway
North Sydney NSW 2060

08/11/2022

Dear Mr Weston

**Collector Wind Farm - (MP10_0156)
Independent Audit 2022 - team endorsement**

I refer to your request (MP10_0156-PA-25) submitted on 17 October 2022 for the Secretary's approval of suitably qualified persons to undertake the Independent Audit (IA) and prepare the IA report for the Collector Wind Farm as required under C10 of MP10_0156 (as modified).

The Department of Planning and Environment (the department) has reviewed the nominations and information you have provided and is satisfied that these experts are suitably qualified and experienced. Consequently, in accordance with C 10 (b) MP10_0156 (as modified) and the Independent Audit Post Approval Requirements, the Secretary has agreed to the following audit team from WolfPeak Pty Ltd:

- Annabelle Tungol – Project Director, Lead Auditor
- Steve Fermio – Principal Auditor

Please ensure this correspondence is appended to the Independent Audit Report.

The Independent Audit must be prepared, undertaken and finalised in accordance with the Independent Audit Post Approval Requirements. Failure to meet these requirements will require revision and resubmission.

Notwithstanding the agreement for the above listed audit team for this Project, each respective project approval or consent requires a request for the agreement to the auditor or audit team be submitted to the department, for consideration of the Secretary. Each request is reviewed and depending on the complexity of future projects, the suitability of a proposed auditor or audit team will be considered.

Should you wish to discuss the matter further, please contact me on 0429400261 compliance@planning.nsw.gov.au

Yours sincerely



Katrina O'Reilly
Team Leader - Compliance
Compliance
As nominee of the Planning Secretary

APPENDIX C – CONSULTATION RECORDS

Response from Department of Planning and Environment

From: Katrina O'Reilly <Katrina.OReilly@planning.nsw.gov.au>
Sent: Thursday, 17 November 2022 12:30 PM
To: Annabelle Tungol
Subject: Collector Wind Farm IEA

Annabelle,

Good afternoon. Apologies for not responding to your initial request (it appears it was not forwarded from the inbox).

The department would like you to consult with TfNSW, Local Council, Local Aboriginal Councils, BCD, Department of Industry – Lands & Water, NSW Heritage, RFS and EPA.

Areas the department would like reviewed include:

- Noise compliance and monitoring (given now in operations)
- Bird and bat management/ monitoring
- Protection and management of heritage sites and retained vegetation
- Land management issues (weed management, erosion and sediment controls/measures)
- Update on progress of implementation of design and landscape management plan
- Update on any watercourses and/or associated riparian vegetation rehabilitation
- Update on the implementation of the revegetation and rehabilitation program
- Adherence to commitments in management plans
- Implementation and compliance with the OEMP
- Compliant handling, management and reporting
- Status of biodiversity credits.

If you wish to discuss any point please call me on 0429400261.

Regards
Katrina

Katrina O'Reilly
Team Leader Compliance

Planning & Assessment | Department of Planning and Environment
T 02 6229 7909 | M 0429 400261 | E katrina.oreilly@planning.nsw.gov.au
PO Box 5475 | Level 1 11 Farrer Place Queanbeyan NSW 2620
www.dpie.nsw.gov.au



The Department of Planning and Environment acknowledges that it stands on Aboriginal land. We acknowledge the traditional custodians of the land and we show our respect for elders past, present and emerging through thoughtful and collaborative approaches to our work, seeking to demonstrate our ongoing commitment to providing places in which Aboriginal people are included socially, culturally and economically

Response form Biodiversity and Conservation | Department of Planning and Environment

From: Allison Treweek <Allison.Treweek@environment.nsw.gov.au>
Sent: Thursday, 9 March 2023 4:06 PM
To: Annabelle Tungol
Subject: RE: Collector Wind Farm - MP10_0156 - Biodiversity Credit

Hello Annabelle, we have communicated to Planning that we have provided an extension to the windfarm to finalise the offsets for this project. We have also provided advice back to planning on the BBAMP which we have requested be updated.

Thanks Allison

Allison Treweek

Senior Team Leader Planning

My office is on Ngunnawal Country

Biodiversity and Conservation | Department of Planning and Environment

T 02 62297082 | M 0400706004 | E allison.treweek@environment.nsw.gov.au

Level 3, Farrer Place, Queanbeyan NSW 2620

www.dpie.nsw.gov.au

In order to ensure a high level of customer service and monitor work flow, South East Planning Team has an email address: rog.southeast@environment.nsw.gov.au. Please address all further email correspondence in relation to Planning matters to this address. If appropriate, emails can be marked to the attention of your usual contact in the team.

Response from TfNSW

From: Anna Paul <anna.paul@transport.nsw.gov.au>
Sent: Tuesday, 7 March 2023 2:55 PM
To: Annabelle Tungol
Subject: RE: Collector Wind Farm Independent Audit - Consultation with RMS (TfNSW ref: STH10/00195/22)

Hi Annabelle

TfNSW is responding to your request for TfNSW comments on the operation of the Collector Wind Farm (TfNSW reference STH10/00195/22).

TfNSW notes for this referral:

- The key state road is the Hume Highway
- The Wind Farm is accessed via the intersection of Lerida Road South and Hume Highway
- An independent audit is required as part of the conditions of approval for the project.

Through discussions with internal stakeholders, TfNSW has no comments or concerns to raise regarding the operation of the Collector Wind Farm at this time.

Kind regards,
Anna

Anna Paul

Development Services Case Officer
Community and Place | South Region
Regional and Outer Metropolitan
Transport for NSW

T 02 9595 4624

Level 4, 90 Crown St, Wollongong NSW 2500

Steve Fermio

From: Ana Maria Munoz Acosta
Sent: Monday, 3 April 2023 4:32 PM
To: Steve Fermio
Subject: FW: Collector Wind Farm Independent Audit - Consultation with Council

FYI (see below received today) - Council has no feedback re. Collector Wind Farm operation audit.

Regards,

Ana Maria Munoz
Principal Auditor – Risk, Audit & Compliance

E: ammunoz@wolfpeak.com.au

M: 0430 314 557



From: Jane Ramsay <JRamsay@upperlachlan.nsw.gov.au>
Sent: Monday, April 3, 2023 4:29 PM
To: Ana Maria Munoz Acosta <ammunoz@wolfpeak.com.au>
Subject: FW: Collector Wind Farm Independent Audit - Consultation with Council

Hi Ana Maria

I just received Annabelle's out of office so thought I would send the below to you.

Thanks.

Jane Ramsay
Executive Assistant | Director Environment and Planning
Upper Lachlan Shire Council

P: 4830 1000 | PO Box 42 Gunning NSW 2581

W: www.upperlachlan.nsw.gov.au



You are requested to send your email correspondence to Council's email address council@upperlachlan.nsw.gov.au instead of individual Council staff. Community members are encouraged to use Council's email address for appropriate record keeping, and timely responses.

From: Jane Ramsay
Sent: Monday, 3 April 2023 4:27 PM
To: 'Annabelle Tungol' <atungol@wolfpeak.com.au>
Subject: RE: Collector Wind Farm Independent Audit - Consultation with Council

Hi Annabelle

I'm not sure if this feedback is too late but our Acting Director of Environment and Planning has responded to me: "I can advise that at present Council has no feedback or concerns with respect to the operation of the Collector Wind Farm."

Jane Ramsay
Executive Assistant I Director Environment and Planning
Upper Lachlan Shire Council

P: 4830 1000 | PO Box 42 Gunning NSW 2581
W: www.upperlachlan.nsw.gov.au



You are requested to send your email correspondence to Council's email address council@upperlachlan.nsw.gov.au instead of individual Council staff. Community members are encouraged to use Council's email address for appropriate record keeping, and timely responses.

From: Annabelle Tungol <atungol@wolfpeak.com.au>
Sent: Saturday, 11 February 2023 12:36 PM
To: Upper Lachlan Shire Council <council@upperlachlan.nsw.gov.au>
Subject: FW: Collector Wind Farm Independent Audit - Consultation with Council

CAUTION: This email originated from outside the organisation. Do not click links or open attachments unless you recognise the sender and know the content is safe.

To whom it may concern,

I conducted an audit on the operations of Collector Wind Farm on 15 November 2022 (site inspection) as per Conditions of Approval MP10_0156_C10, as per the Department of Planning and Environment, I need to consult your office should you have any feedback or concern on the operations of the wind farm. Your feedback is highly appreciated so we can include it in this audit. Thank you. Kindly respond to this email or give me a call on my mobile at 0430592174.

Regards,

Annabelle Tungol
Practice Lead – Risk, Audit & Compliance



E: atungol@wolfpeak.com.au

M: 0430 592 174

P: 1800 979 716

A: Suite 2, Level 10, 82 Elizabeth Street, Sydney NSW 2000

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Consider the environment. Please don't print this e-mail unless really necessary.

From: Katrina O'Reilly <Katrina.OReilly@planning.nsw.gov.au>

Sent: Thursday, 17 November 2022 12:30 PM

To: Annabelle Tungol <atungol@wolfpeak.com.au>

Subject: Collector Wind Farm IEA

Annabelle,

Good afternoon. Apologies for not responding to your initial request (it appears it was not forwarded from the inbox).

The department would like you to consult with TfNSW, Local Council, Local Aboriginal Councils, BCD, Department of Industry – Lands & Water, NSW Heritage, RFS and EPA.

Areas the department would like reviewed include:

- Noise compliance and monitoring (given now in operations)
- Bird and bat management/ monitoring
- Protection and management of heritage sites and retained vegetation
- Land management issues (weed management, erosion and sediment controls/measures)
- Update on progress of implementation of design and landscape management plan
- Update on any watercourses and/or associated riparian vegetation rehabilitation
- Update on the implementation of the revegetation and rehabilitation program
- Adherence to commitments in management plans
- Implementation and compliance with the OEMP
- Compliant handling, management and reporting
- Status of biodiversity credits.

If you wish to discuss any point please call me on 0429400261.

Regards

Katrina

Katrina O'Reilly
Team Leader Compliance

Planning & Assessment | Department of Planning and Environment

T 02 6229 7909 | M 0429 400261 | E katrina.oreilly@planning.nsw.gov.au

PO Box 5475 | Level 1 11 Farrer Place Queanbeyan NSW 2620

www.dpie.nsw.gov.au



The Department of Planning and Environment acknowledges that it stands on Aboriginal land. We acknowledge the traditional custodians of the land and we show our respect for elders past, present and emerging through thoughtful and collaborative approaches to our work, seeking to demonstrate our ongoing commitment to providing places in which Aboriginal people are included socially, culturally and economically

This message is intended for the addressee named and may contain confidential information. If you are not the intended recipient, please delete it and notify the sender. Views expressed in this message are those of the individual sender, and are not necessarily the views of the Upper Lachlan Shire Council, unless otherwise stated. For the purposes of the Copyright Act, the permission of the holder of copyright in this communication may be taken to have been granted, unless stated otherwise, for the copying or forwarding of this message, as long as both the content of this communication and the purposes for which it is copied or forwarded are work-related.

Please consider the environment before printing this email.

Response from RFS

From: Webmaster <webmaster@rfs.nsw.gov.au>
Sent: Wednesday, 15 February 2023 3:06 PM
To: Annabelle Tungol
Subject: RE: Collector Wind Farm Independent Audit - Consultation with RFS

Good afternoon,

Thank you for your email.

Your enquiry has been forwarded to the relevant department.

Kind regards,
NSW Rural Fire Service

No Response from NSW Heritage

From: Annabelle Tungol
Sent: Saturday, 11 February 2023 1:12 PM
To: heritagemailbox@environment.nsw.gov.au
Subject: FW: Collector Wind Farm Independent Audit - Consultation with NSW Heritage

To whom it may concern,

I conducted an audit on the operations of Collector Wind Farm on 15 November 2022 (site inspection) as per Conditions of Approval MP10_0156_C10, as per the Department of Planning and Environment, I need to consult your office should you have any feedback or concern on the operations of the wind farm. Your feedback is highly appreciated so we can include it in this audit. Thank you. Kindly respond to this email or give me a call on my mobile at 0430592174.

Regards,

Annabelle Tungol
Practice Lead – Risk, Audit & Compliance

No Response from EPA

From: Annabelle Tungol
Sent: Saturday, 11 February 2023 12:46 PM
To: 'info@epa.nsw.gov.au'
Subject: Collector Wind Farm Independent Audit - Consultation with EPA

To whom it may concern,

I conducted an audit on the operations of Collector Wind Farm on 15 November 2022 (site inspection) as per Conditions of Approval MP10_0156_C10, as per the Department of Planning and Environment, I need to consult your office should you have any feedback or concern on the operations of the wind farm. Your feedback is highly appreciated so we can include it in this audit. Thank you. Kindly respond to this email or give me a call on my mobile at 0430592174.

Regards,

Annabelle Tungol
Practice Lead – Risk, Audit & Compliance

Response from DPE Water

From: DPE Water Assessments Mailbox <water.assessments@dpie.nsw.gov.au>
Sent: Wednesday, 22 February 2023 11:39 AM
To: Annabelle Tungol
Subject: re: Collector Wind Farm Independent Audit - Consultation with DPI-Land and Water
CRM:0122368
Attachments: DPE Water Response - Collector Wind Farm - IEA.pdf

Dear Annabelle,

Please see attached response from DPE Water. Please note a change in consultation contacts took place in November 2022 for post approval SSD/SSI matters and this is detailed in the response.

Regards
Tim

Tim Baker
Senior Project Officer
Water Assessments
Department of Planning and Environment—Water
T 0428162097 | E tim.baker@dpie.nsw.gov.au

Department of Planning and Environment

Our ref: OUT23/2558

Annabelle Tungol
Practice Lead – Risk, Audit & Compliance
Suit 2, Level 10, 82 Elizabeth Street, Sydney NSW 2000
Email: atungol@wolfpeak.com.au

Date: 22/02/2023

Subject: Collector Wind Farm - Independent Environmental Audit (MP10_0156_C10)

Dear Annabelle,

I refer to your request seeking advice from the Department of Planning and Environment – Water (the department) on an audit for the above matter. It is understood this consultation is in accordance with conditions of approval for the project.

The department understands that the scope of the audit as outlined under the development consent and the reference guideline, “*Independent Audit Post Approval Requirements (2020)*” extends to at least the following:

- Identification of compliance requirements and documentation of any non-compliances.
- Assessment of the adequacy and implementation of management plans and sub plans.
- Assessment of compliance against relevant regulatory requirements and legislation.
- Assessment of compliance between actual and predicted impacts in the environmental assessment.
- Reporting requirements for management plans.
- Identification of strengths of the project in environmental management and opportunities for improvement.

The department requests that the audit address compliance with the following specific elements of the consent conditions and related legislative requirements in a manner consistent with the above audit scope:

- The requirement to prepare and implement management plans that relate to water sources and their dependent ecosystems and users, and associated impact management and mitigation. These plans may include:
 - Water Management Plans and related sub-plans eg. Site Water Balance, Erosion and Sediment Control Plan, Stormwater Management Plan, Surface and Groundwater Management Plan.
- The requirement to prepare and implement trigger action response plans for water source impacts which set clearly defined limits and actions. This is to be reported on within annual and exceedance-based reporting.
- Water supply availability is clearly defined for the project.
- Water take at the site via storage, diversion, interception or extraction is clearly documented and is authorised by a relevant Water Access Licence or exemption under the Water Management (General) Regulation 2018.
- Water metering at the site is in accordance with the NSW Non-Urban Metering Framework where relevant.

Department of Planning and Environment

- Water Access Licence/s used to account for water take by the project nominates the work where the water is being taken from.
- Annual reporting clearly documents; 1) water take, use and water source impacts, 2) compares results with previous year's, and 3) identifies exceedances and how these are managed/mitigated.

Please note contacts for consultation with water agencies on post approval matters for major projects has changed since November 2022. For consultation on post approval documents as required by conditions of consent please refer via the major projects portal to DPE Water or water.assessments@dpie.nsw.gov.au. For compliance matters please refer these to the Natural Resources Access Regulator at nrar.enquiries@nrar.nsw.gov.au Further information on consultation for major projects can be found at the following link: <https://water.dpie.nsw.gov.au/licensing-and-trade/major-projects>

Should you have any further queries in relation to this submission please do not hesitate to contact DPE Water Assessments at water.assessments@dpie.nsw.gov.au

Yours sincerely,



Tim Baker
Senior Project Officer
Water Assessments
Department of Planning and Environment – Water
T 0428162097 | E tim.baker@dpie.nsw.gov.au

Response from Pejar Local Aboriginal Land Council

From: Annabelle Tungol
Sent: Tuesday, 28 March 2023 9:46 AM
To: pejar1@bigpond.com
Subject: RE: [NSWALC Website] LALC Contact Form from Annabelle Tungol

Hi Delise,
As per our phone conversation earlier, I just want to summarise what we had discussed:

The Pejar Local Aboriginal Land Council expressed deep disappointment at being left out of the consultation process during the installation of turbines and construction of the substation (near the registered sites), which they saw as a clear breach of their requirements. They noted that they were not invited to conduct cultural awareness training for new subcontractors and staff when they began working at the Collector Wind Farm. The Council felt that their concerns were not given due consideration until the opening of operations and stressed the importance of being included in all stages of the project to avoid future breaches of their requirements.

Kindly confirm if the above is correct.

Regards,
Annabelle Tungol
Practice Lead – Risk, Audit & Compliance



E: atungol@wolfpeak.com.au
M: 0430 592 174
P: 1800 979 716
A: Suite 2, Level 10, 82 Elizabeth Street, Sydney NSW 2000

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Consider the environment. Please don't print this e-mail unless really necessary.

From: pejar1@bigpond.com <pejar1@bigpond.com>
Sent: Monday, February 13, 2023 9:37 AM
To: Annabelle Tungol <atungol@wolfpeak.com.au>
Subject: RE: [NSWALC Website] LALC Contact Form from Annabelle Tungol

Good Morning Annabelle

Thank you for your email.

I am happy to discuss this with you. However, I have only just come back to work today and I am overwhelmed with emails. Is it OK if I speak with you Wednesday, hopefully by then I would have sorted out several them.

My contact details are listed below.



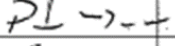

Kind regards

Delise Freeman
CEO
Pejar Local Aboriginal Land Council
80 Combermere Street or
PO Box 289
Goulburn NSW 2580
0417254813 (mobile)
02 - 48223552 (phone)

APPENDIX D – ATTENDANCE SHEET



INDEPENDENT AUDIT MEETING ATTENDANCE RECORD

PROJECT (NAME AND APPROVAL NUMBER)	COLLECTOR WIND FARM - SITE INSPECTION			
LOCATION:	UPPER LACHLAN CHIRE NORTHWEST OF COLLECTOR, NSW			
DATE/TIME Opening Meeting:	15 NOVEMBER 2022	DATE/TIME Closing Meeting:		
Lead Auditor:	Annabelle Tungol	Audit Scope:	Part A to Part E	
NAME	POSITION / TITLE	ORGANISATION	SIGNATURE	
			Opening Meeting	Closing Meeting
ANNABELLE TUNGOL	LEAD AUDITOR	WOLFPEAK		
JAYCE ACIENDA	ANALYST	WOLFPEAK		
PAUL McDONALD	OPERATIONS MANAGER	RAC		
Paison Sutjarit	General Manager	RAC		





WolfPeak Pty Ltd | ABN 52 152 940 586



info@wolfpeak.com.au
 Sydney office | Suite 2, Level 10, 62 Elizabeth Street, Sydney NSW 2000
 Wauchope office | 17A High Street, Wauchope NSW 2446
 www.wolfpeak.com.au




APPENDIX E – SITE PHOTOS



Observations from the site inspection are provided in **Error! Reference source not found.13**. The Auditor was escorted around the site by Project personnel who made themselves available for this purpose.




Table 7: Photos taken during the site inspection - 15 November 2022



No.	Comment	Photograph
1	Service Activity Planner available on site	
2	ISO Certificates displayed at the working station	



No.	Comment	Photograph
3	Spill kit was available at the maintenance shed.	
4	Diesel tank self-bunded	



No.	Comment	Photograph
5	Chemicals stored in a bund	 <p>09:32 15/11/2022</p>
6	Compressed gas stored properly and chained	 <p>09:32 15/11/2022</p>
7	Site Office and Maintenance shed is paved and bunded	 <p>09:33 15/11/2022</p>



No.	Comment	Photograph
8	Eye wash station at maintenance shed	
9	Maintenance shed was organised, and waste bins were available	



No.	Comment	Photograph
10	Stabilised haul road	
11	The substation was fenced off and with security camera installed.	
12	Some scouring noted along the batters. This was raised as an observation .	



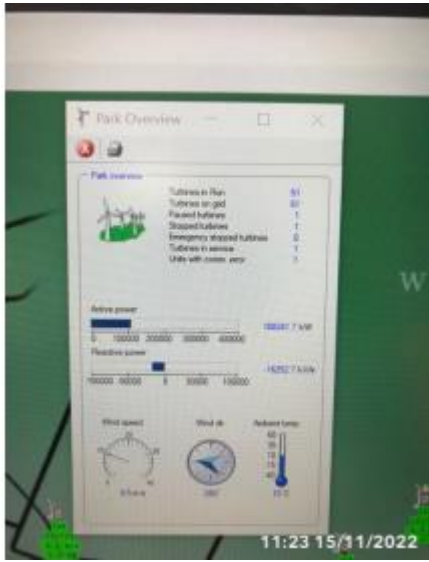
No.	Comment	Photograph
13	<p>On-going routine maintenance with one of the wind turbines</p>	
14	<p>Scouring noted along the drain lines to be rectified as part of the defects list.</p> <p>This was raised as an observation.</p>	

No.	Comment	Photograph
15	To be rehabilitated for crane pad purposes	
16	Gates were installed for security	

No.	Comment	Photograph
17	Vestas Management System ISO Certificates (Safety) posted at site office.	
18	Vestas Management System ISO 45001:2018 Certificate posted at posted at site office.	

No.	Comment	Photograph
19	Vestas Management System ISO 9001:2015 Certificate posted at site office.	
20	Vesta's quality, health, safety and environmental policy	

No.	Comment	Photograph
21	Fire indication Panel and Fire Certificate	 <p>The photograph shows a white fire alarm control panel mounted on a wall. To the right of the panel are two framed documents, likely fire certificates. A timestamp in the bottom right corner of the photo reads '11:20 15/11/2022'.</p>
22	Fire extinguisher with tag showing inspection as of August 2022	 <p>The photograph is a close-up of a yellow maintenance tag attached to a fire extinguisher. The tag is titled 'AS 1851 MAINTENANCE RECORD' and features a grid for recording monthly inspections from January to December. The 'AUG' column is marked with a checkmark. Below the grid, there is a 'PRESSURE TEST' section with a grid for recording test results. A timestamp in the bottom right corner of the photo reads '11:21 15/11/2022'.</p>

No.	Comment	Photograph
23	SCADA operation actual monitoring	
24	SCADA Monitoring system in placed	
25	Turbine reading	

No.	Comment	Photograph
26	Signage for high voltage	
27	Site notice / signage	

APPENDIX F – AUDITOR DECLARATIONS

Declaration of Independence - Auditor


Project Name:	Collector Wind Farm
Consent Number:	MP10_0156
Description of Project:	Collector Wind Farm, including: <ul style="list-style-type: none"> • 55 wind turbine generators and associated infrastructure; • a wind farm substation and transformers; • underground cabling and an overhead transmission connection; • operations and maintenance building; and • access tracks.
Project Address:	Upper Lachlan Shire, approximately 3.5 km northwest of Collector, NSW
Applicant:	RATCH-Australia Developments Pty Ltd
Date:	24/01/2023

I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- i. the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;
- ii. the findings of the audit are reported truthfully, accurately and completely;
- iii. I have exercised due diligence and professional judgement in conducting the audit;
- iv. I have acted professionally, objectively and in an unbiased manner;
- v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
- vi. I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

Notes:

- a) Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

Name of auditor	Annabelle Tungol
Signature	
Qualification	Lead Environmental Auditor (Exemplar Global -Certificate #119536) Quality Auditor (Exemplar Global -Certificate #119536) Bachelor of Science in Chemical Engineering – Saint Louis University Baguio City Philippines March 1998
Company	WolfPeak Pty Ltd
Company address	Suite 2, Level 10, 82 Elizabeth Street, Sydney NSW 2000

Declaration of Independence - Auditor

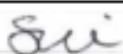
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Date:	24/01/2023

I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- i. the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;
- ii. the findings of the audit are reported truthfully, accurately and completely;
- iii. I have exercised due diligence and professional judgement in conducting the audit;
- iv. I have acted professionally, objectively and in an unbiased manner;
- v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
- vi. I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

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- b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

Name of auditor	Steve Fermio
Signature	
Qualification	Bachelor of Science (Honours) Exemplar Global Auditor Number 110498
Company	WolfPeak Pty Ltd
Company address	Suite 2, Level 1, 19 Short Street Port Macquarie NSW 2444

APPENDIX G – APPROVAL FOR THE EXTENSION OF AUDIT REPORT SUBMISSION

Department of Planning and Environment



Mr Neil Weston
Development Manager
RATCH-AUSTRALIA DEVELOPMENTS PTY LIMITED
Level 7, 111 Pacific Highway
North Sydney NSW 2060

03/03/2023

Dear Mr Weston

Collector Wind Farm - (MP10_0156)
Request - Extension of Time to submit Independent Environmental Audit

Reference is made to your post approval matter (MP10_0156-PA-29) submitted to the Department of Planning and Environment (the department) on 2 March 2023 requesting a further extension of time to submit the Independent Environmental Audit (IEA) report for Collector Wind Farm as required under condition C10 of MP10_0156.

The Department has reviewed the request and notes the reasons for the extension. In accordance with the provisions of C10, the Planning Secretary grants an extension of time to submit the final IEA report and RATCH's response to recommendations until 15 April 2023.

If you wish to discuss the matter further, please contact me on 0429400261 or at compliance@planning.nsw.gov.au

Yours sincerely



Katrina O'Reilly
Team Leader - Compliance
Compliance

As nominee of the Planning Secretary